PPL Corp Form 4 January 25, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person *

Sorgi Vincent

(Last) (First)

(Middle)

TWO NORTH NINTH STREET

(Street)

ALLENTOWN, PA 18101

(City)

(State)

(Zip)

1. Title of 2. Transaction Date 2A. Deemed (Month/Day/Year)

Security (Instr. 3)

Execution Date, if

(Month/Day/Year)

Code

Symbol

PPL Corp [PPL]

(Month/Day/Year)

Filed(Month/Day/Year)

3.

01/21/2016

3. Date of Earliest Transaction

4. If Amendment, Date Original

Disposed of (D) (Instr. 8)

TransactionAcquired (A) or

(Instr. 3, 4 and 5)

4. Securities

(A)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

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Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Sr. Vice President and CFO

6. Ownership

Form: Direct

(D) or Indirect Beneficial

6. Individual or Joint/Group Filing(Check

X Form filed by One Reporting Person Form filed by More than One Reporting

(T)

(Instr. 4)

10% Owner Other (specify

7. Nature of

Ownership

(Instr. 4)

SEC 1474

(9-02)

Indirect

Issuer

below)

Person

5. Amount of

Securities

Following

Reported

Owned

Beneficially

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Director

Applicable Line)

X_ Officer (give title

Estimated average

burden hours per

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	3)	Acquired (or Dispose (D) (Instr. 3, 4, and 5)	d of				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Unit (SIP)	(1)	01/21/2016		A		17,045		(2)	(2)	Common Stock	17,045
Performance Stock Unit (SIP)	<u>(4)</u>	01/21/2016		A		18,056		<u>(4)</u>	<u>(4)</u>	Common Stock	18,056

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sorgi Vincent
TWO NORTH NINT

TWO NORTH NINTH STREET ALLENTOWN, PA 18101

Sr. Vice President and CFO

Signatures

/s/Frederick C. Paine, as Attorney-In-Fact for Vincent Sorgi

01/25/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No conversion or exercise price applies. Under the terms of the Stock Incentive Plan (SIP), a restricted stock unit converts to a share of common stock on the applicable vesting date.
- (2) The units will vest on 01/21/2019.
- As of 01/25/2016, total restricted stock units beneficially owned is 47,494.783. This total includes the 01/24/2013 grant of 8,885.2 restricted stock units, 01/23/2014 grant of 8,173.598 restricted stock units and the 01/22/2015 grant of 13,390.985 restricted stock units, plus in each case, the incremental addition of restricted stock units credited to the original grant in amounts equal to dividend equivalents deemed earned on shares underlying such restricted stock units, and the 01/21/2016 grant of 17,045 restricted stock units.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Stock Incentive Plan (SIP), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending 12/31/2018. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2019.
 - As of 01/25/2016, total performance units beneficially owned is 53,395.856. This total includes the 01/24/2013 grant of 5,356.187 performance units, 01/23/2014 grant of 7,985.08 performance units, 06/10/2014 grant of 4,959.471 performance units and the 01/22/2015
- (5) grant of 17,039.118 performance units, plus in each case, the incremental addition of performance units credited to the original grant in amounts equal to dividend equivalents deemed earned on shares underlying such performance units, and the 01/21/2016 grant of 18,056 performance units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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