

MITEK SYSTEMS INC  
Form 4  
December 07, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**THORNTON JOHN M**

2. Issuer Name and Ticker or Trading Symbol  
**MITEK SYSTEMS INC [MITK]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**8911 BALBOA AVENUE, SUITE B**  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**12/03/2015**

Director  10% Owner  
 Officer (give title below)  Other (specify below)

**SAN DIEGO, CA 92123**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	12/03/2015		S	108,000 D \$ 5.15	153,084	I	By Trust
Common Stock	12/03/2015		S	200 D \$ 5.1525	152,884	I	By Trust
Common Stock	12/03/2015		S	500 D \$ 5.155	152,384	I	By Trust
Common Stock	12/03/2015		S	1,700 D \$ 5.16	150,684	I	By Trust
Common Stock	12/03/2015		S	200 D \$ 5.17	150,484	I	By Trust
	12/03/2015		S	100 D \$ 5.2	150,384	I	By Trust

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Common Stock									
Common Stock	12/04/2015	S	22,432	D	\$ 5.05	127,952	I		By Trust
Common Stock	12/04/2015	S	1,310	D	\$ 5.06	126,642	I		By Trust
Common Stock	12/04/2015	S	1,310	D	\$ 5.08	125,332	I		By Trust
Common Stock	12/04/2015	S	6,285	D	\$ 5.1	119,047	I		By Trust
Common Stock						101,246	I		By Wife
Common Stock						15,000	I		By Foundation
Common Stock						201,044 <sup>(1)</sup>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

THORNTON JOHN M  
8911 BALBOA AVENUE  
SUITE B  
SAN DIEGO, CA 92123

X

## Signatures

/s/ James B. DeBello, by Power of  
Attorney

12/07/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Comprised of 81,044 shares of common stock and 120,000 shares subject to restricted stock units held by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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