

TransDigm Group INC
Form 4
November 25, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Laubenthal Raymond F

(Last) (First) (Middle)

TRANSDIGM GROUP
INCORPORATED, 1301 EAST 9TH
STREET, SUITE 3000

(Street)

CLEVELAND, OH 44114

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TransDigm Group INC [TDG]

3. Date of Earliest Transaction
(Month/Day/Year)
02/23/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|--------|---|--|---|
| | | | | (A) or (D) | Amount | Price | | | |
| Common Stock | 02/23/2015 | | J(1) | V | 60,000 | D \$ 0 | 0 | D | |
| Common Stock | 04/14/2015 | | J(2) | V | 3,650 | A \$ 0 | 3,789 | D | |
| Common Stock | 11/18/2015 | | J(2) | V | 23,875 | A \$ 0 | 27,794 | D | |
| Common Stock | 11/24/2015 | | J(1) | V | 27,655 | D \$ 0 | 139 | D | |
| Common Stock | 04/14/2015 | | J(2) | V | 3,650 | D \$ 0 | 66,350 | I | Raymond F Laubenthal |

Edgar Filing: TransDigm Group INC - Form 4

| | | | | | | | | | |
|--------------|------------|------------------|---|--------|---|------|--------|---|---|
| Common Stock | 11/18/2015 | J ⁽²⁾ | V | 23,875 | D | \$ 0 | 42,475 | I | 2014 Relative GRAT 11/14/14 Raymond F Laubenthal 2014 Relative GRAT 11/14/14 |
| Common Stock | 11/24/2015 | J ⁽¹⁾ | V | 27,655 | A | \$ 0 | 27,655 | I | Raymond Laubenthal Grantor Retained Annuity Trust #3 |
| Common Stock | 02/23/2015 | J ⁽¹⁾ | V | 60,000 | A | \$ 0 | 60,000 | I | Raymond F Laubenthal Grantor Retained Annuity Trust #2 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Laubenthal Raymond F TRANSDIGM GROUP INCORPORATED 1301 EAST 9TH STREET, SUITE 3000 CLEVELAND, OH 44114 | X | | | |

Signatures

| | |
|---|------------|
| Halle Fine Terrion as attorney in fact for Raymond Laubenthal | 11/25/2015 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer of title to GRAT trust for estate planning purpose.
 - (2) Reversion from GRAT trust

Remarks:

This Form 4 is being filed solely to report changes from direct to indirect ownership and vice versa.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.