

3D SYSTEMS CORP

Form 4

August 27, 2015

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LOEWENBAUM G WALTER II

(Last) (First) (Middle)

333 THREE D SYSTEMS CIRCLE

(Street)

ROCK HILL, SC 29730

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
3D SYSTEMS CORP [DDD]

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/25/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |                       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|-----------------------|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount | (A) or (D) Price      |   |  |   |
| Common Stock                    | 08/25/2015                           |  | P                              |   | 8,800  | A \$ 11.87 <u>(1)</u> | 914,410   | D  |   |
| Common Stock                    |                                      |  |                                |   |        |                       | 376,441   | I  | By The Loewenbaum 1992 Trust <u>(2)</u> <u>(3)</u>    |
| Common Stock                    |                                      |  |                                |   |        |                       | 210,715   | I  | By spouse <u>(2)</u>                                  |
| Common Stock                    |                                      |  |                                |   |        |                       | 33,279  | I  | By The Lillian Shaw Loewenbaum                        |

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|                 |         |   |  |  |
|-----------------|---------|---|--|--|
|                 |         |   |  | Trust <sup>(2)</sup> <sup>(3)</sup>  |
| Common<br>Stock | 5,100   | I |  | By Anna<br>Willis<br>Loewenbaum<br>1993 Trust <sup>(2)</sup><br><sup>(3)</sup>   |
| Common<br>Stock | 5,100   | I |  | The Elizabeth<br>Scott<br>Loewenbaum<br>1993 Trust <sup>(2)</sup><br><sup>(3)</sup>  |
| Common<br>Stock | 60,000  | I |  | By The<br>Wally's Trust<br>u/w/o Joel<br>Simon<br>Loewenbaum<br><sup>(2)</sup> <sup>(4)</sup>  |
| Common<br>Stock | 55,565  | I |  | By The<br>Waterproof<br>Partnership,<br>L.P. <sup>(2)</sup> <sup>(5)</sup>   |
| Common<br>Stock | 67,500  | I |  | Blanco Cattle<br>& Ranch,<br>LLC <sup>(6)</sup>  |
| Common<br>Stock | 223,802 | I |  | Elizabeth<br>Scott<br>Loewenbaum<br>2010 Trust <sup>(2)</sup><br><sup>(4)</sup>  |
| Common<br>Stock | 205,000 | I |  | Anna<br>Loewenbaum<br>Hargrove<br>2010 Trust <sup>(2)</sup><br><sup>(4)</sup>  |
| Common<br>Stock | 18,328  | I |  | George<br>Walter<br>Loewenbaum<br>TTEE the<br>GWLDDD<br>2014<br>AnnuityTrust<br>U/A Dtd<br>04/14/2014 <sup>(2)</sup><br><sup>(4)</sup> |
| Common<br>Stock | 44,967  | I |  | Lillian Shaw<br>Loewenbaum   |

|                 |  |  |  |        |   |  |
|-----------------|--|--|--|--------|---|--|
|                 |  |  |  |        |   | TTEE the<br>LSLDDD<br>2014 Annuity<br>Trust U/A Dtd<br>04/14/2014 <sup>(2)</sup><br><u>(7)</u>                                   |
| Common<br>Stock |  |  |  | 50,000 | I | Lillian Shaw<br>Loewenbaum<br>TTEE the<br>LSL3DS 2014<br>Annuity Trust<br>U/A/ Dtd<br>11/13/2014 <sup>(2)</sup><br><u>(7)</u>    |
| Common<br>Stock |  |  |  | 50,000 | I | George<br>Walter<br>Loewenbaum<br>TTEE the<br>GWL3DS<br>2014 Annuity<br>Trust U/A Dtd<br>11/13/2014 <sup>(2)</sup><br><u>(4)</u> |
| Common<br>Stock |  |  |  | 81,672 | I | The GWL3D<br>2015 Annuity<br>Trust U/A Dtd<br>05/18/2015 <sup>(2)</sup><br><u>(4)</u>  |
| Common<br>Stock |  |  |  | 55,033 | I | The LSL3D<br>2015 Annuity<br>Trust U/A Dtd<br>05/18/2015 <sup>(2)</sup><br><u>(7)</u>  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following |
|---|--|---|---|--------------------------------------|---|--|---|---|--|
|---|--|---|---|--------------------------------------|---|--|---|---|--|

(A) or  
Disposed  
of (D)  
(Instr. 3,  
4, and 5)

Repor  
Trans  
(Instr

| Code | V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |
|------|---|-----|-----|---------------------|--------------------|-------|--|
|------|---|-----|-----|---------------------|--------------------|-------|--|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| LOEWENBAUM G WALTER II<br>333 THREE D SYSTEMS CIRCLE<br>ROCK HILL, SC 29730 |               | X         |         |       |

## Signatures

/s/ Beth R. MacDonald,  
Attorney-in-Fact

08/27/2015

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$11.865 to \$11.89, inclusive. The reporting person undertakes to provide to 3D Systems Corporation, any security holder of 3D Systems Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in footnote 1 of this Form 4.
- (2) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.
- (3) Mr. and Mrs. Loewenbaum serve as Trustees.
- (4) Mr. Loewenbaum serves as Trustee.
- (5) Mr. and Mrs. Loewenbaum are the general partners.
- (6) The limited liability company is owned 100% by Mr. Loewenbaum.
- (7) Mrs. Loewenbaum serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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