

HCA Holdings, Inc.
Form 4
August 07, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Chari Ravi S.

(Last) (First) (Middle)
ONE PARK PLAZA
(Street)

NASHVILLE, TN 37203

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HCA Holdings, Inc. [HCA]

3. Date of Earliest Transaction (Month/Day/Year)
08/06/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP-Clinical Excellence

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 08/06/2015 | | M ⁽¹⁾ | | 362 | A | \$ 5.9821 |
| | | | | | | | 8,207.3099 (2) |
| Common Stock | 08/06/2015 | | M ⁽¹⁾ | | 3,556 | A | \$ 6.4772 |
| | | | | | | | 11,763.3099 (2) |
| Common Stock | 08/06/2015 | | M ⁽¹⁾ | | 1,964 | A | \$ 7.4739 |
| | | | | | | | 13,727.3099 (2) |
| Common Stock | 08/06/2015 | | M ⁽¹⁾ | | 2,252 | A | \$ 10.9168 |
| | | | | | | | 15,979.3099 (2) |
| Common Stock | 08/06/2015 | | M ⁽¹⁾ | | 1,964 | A | \$ 11.3585 |
| | | | | | | | 17,943.3099 (2) |

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| | | | | | | | |
|--------------|------------|-------------------------|--------|---|------------|---------------------------|---|
| Common Stock | 08/06/2015 | <u>M</u> ⁽¹⁾ | 1,068 | A | \$ 15.9112 | 19,011.3099 <u>(2)</u> | D |
| Common Stock | 08/06/2015 | <u>S</u> ⁽¹⁾ | 11,166 | D | \$ 90.78 | 7,845.3099 <u>(2)</u> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Non-Qualified Stock Option (right to buy) | \$ <u>5.9821</u> ⁽³⁾ | 08/06/2015 | | <u>M</u> ⁽¹⁾ | 362 | <u>(4)</u> 08/27/2019 | Common Stock | 362 |
| Non-Qualified Stock Option (right to buy) | \$ <u>6.4772</u> ⁽³⁾ | 08/06/2015 | | <u>M</u> ⁽¹⁾ | 1,304 | <u>(6)</u> 08/27/2019 | Common Stock | 1,304 |
| Non-Qualified Stock Option (right to buy) | \$ <u>6.4772</u> ⁽³⁾ | 08/06/2015 | | <u>M</u> ⁽¹⁾ | 2,252 | 08/27/2011 <u>(7)</u> 08/27/2019 | Common Stock | 2,252 |
| Non-Qualified Stock Option (right to buy) | \$ <u>7.4739</u> ⁽³⁾ | 08/06/2015 | | <u>M</u> ⁽¹⁾ | 1,964 | 02/25/2010 <u>(7)</u> 02/25/2019 | Common Stock | 1,964 |
| Non-Qualified Stock Option (right to buy) | \$ <u>10.9168</u> ⁽³⁾ | 08/06/2015 | | <u>M</u> ⁽¹⁾ | 2,252 | 08/27/2010 <u>(7)</u> 08/27/2019 | Common Stock | 2,252 |
| Non-Qualified Stock Option (right to buy) | \$ <u>11.3585</u> ⁽³⁾ | 08/06/2015 | | <u>M</u> ⁽¹⁾ | 1,964 | <u>(7)</u> 02/25/2019 | Common Stock | 1,964 |
| Non-Qualified Stock Option | \$ <u>15.9112</u> ⁽³⁾ | 08/06/2015 | | <u>M</u> ⁽¹⁾ | 1,068 | <u>(7)</u> 08/27/2019 | Common Stock | 1,068 |

(right to buy)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Chari Ravi S. ONE PARK PLAZA NASHVILLE, TN 37203 | | | SVP-Clinical Excellence | |

Signatures

/s/ Kevin A. Ball, 08/07/2015
 Attorney-in-Fact

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 26, 2015.
- (2) Includes 293.3099 shares acquired under the HCA Holdings, Inc. Employee Stock Purchase Plan.
- (3) Exercise Price was adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.
 The option vested in equal increments (i) at the end of fiscal year 2012 upon the achievement of certain annual EBITDA performance targets and (ii) upon a change in control (as defined in the 2006 Stock Incentive Plan for Key Employees of HCA Holdings, Inc. and its Affiliates as Amended and Restated) of the Issuer which occurred effective November 1, 2013.
- (5) Shares have been adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.
- (6) The option vested in two equal installments at the end of fiscal years 2010 and 2011 based upon the achievement of certain annual EBITDA performance targets.
- (7) The option vested at the end of fiscal year 2009 based upon the achievement of certain annual EBITDA performance targets.

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