Edgar Filing: ALASKA COMMUNICATIONS SYSTEMS GROUP INC - Form 4

ALASKA COMMUNICATIONS SYSTEMS GROUP INC

Form 4

January 02, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

C/O ALASKA

1. Name and Address of Reporting Person *

STEINBERG LEONARD

2. Issuer Name and Ticker or Trading Symbol

ALASKA COMMUNICATIONS SYSTEMS GROUP INC [ALSK]

3. Date of Earliest Transaction

01/01/2014

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

(Last) (First) (Middle)

(Month/Day/Year)

Director 10% Owner Other (specify _X__ Officer (give title

below)

SVP, Legal/Reg/Gov & Corp Sec.

COMMUNICATIONS

SYSTEMS, 600 TELEPHONE AVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

ANCHORAGE, AK 99503

(City)	(State)	(Zip) Tabl	e I - Non-D	Derivative S	Securi	ties Acc	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	ransaction(A) or Disposed of (D) ode (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
common stock, \$.01 par value	01/01/2014		M	14,184	A	\$ 0 (1)	216,206	D	
common stock, \$.01 par value	01/01/2014		M	21,275	A	\$ 0 (1)	237,481	D	
common stock, \$.01 par value	01/01/2014		F	8,865	D	\$ 2.12	228,616	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Restricted stock units	\$ 0 (1)	01/01/2014		M	14,184	01/01/2014(2)	01/01/2014(2)	Common stock	14
Restricted stock units	\$ 0 (1)	01/01/2014		M	21,275	01/01/2014(3)	01/01/2014(3)	Common	21

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

STEINBERG LEONARD C/O ALASKA COMMUNICATIONS SYSTEMS 600 TELEPHONE AVE ANCHORAGE, AK 99503

SVP, Legal/Reg/Gov & Corp Sec.

Signatures

/s/Leonard A. 01/02/2014 Steinberg

**Signature of Reporting Date

Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unit award under the Alaska Communications Systems Group, Inc. 1999 Stock Incentive Plan

Amount represents restricted stock units granted under the Alaska Communications Systems Group, Inc. 1999 Stock Incentive Plan as

part of a larger award, 7,511 shares of which previously vested upon achievement of Company performance goals or were surrendered to cover required payroll withholding taxes.

(3)

Reporting Owners 2

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Amount represents restricted stock units granted under the Alaska Communications Systems Group, Inc. 1999 Stock Incentive Plan as part of a larger award, 420 shares of which were previously surrendered to cover required payroll withholding taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.