XL GROUP PLC

Form 4 November 27, 2013

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*\* Rosengarten Jacob D.

(First)

(Street)

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

XL GROUP PLC [XL]

(Check all applicable)

100 WASHINGTON BLVD

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_ 10% Owner \_\_\_\_ X\_\_ Officer (give title \_\_\_\_ Other (specify

11/26/2013

below) below)
Chief Enterprise Risk Officer

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

STAMFORD, CT 06902

(City)	(State)	(Zip) Tal	ole I - Non-	Derivative :	Securi	ties Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities omr Dispose (Instr. 3, 4	d of (I	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares	11/26/2013		M	50,000	A	\$ 10.2	73,296	D	
Ordinary Shares	11/26/2013		M	62,500	A	\$ 3.31	135,796	D	
Ordinary Shares	11/26/2013		M	29,597	A	\$ 18.27	165,393	D	
Ordinary Shares	11/26/2013		M	15,384	A	\$ 23.35	180,777	D	
Ordinary Shares	11/26/2013		S	157,481	D	\$ 32.105 (1)	23,296	D	

#### Edgar Filing: XL GROUP PLC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to buy)	\$ 10.2	11/26/2013		M		50,000	11/01/2011	11/01/2018	Ordinary Shares	50,000
Employee Stock Option (Right to buy)	\$ 3.31	11/26/2013		M		62,500	02/27/2012	02/27/2019	Ordinary Shares	62,500
Employee Stock Option (Right to buy)	\$ 18.27	11/26/2013		M		29,597	02/28/2012	02/28/2020	Ordinary Shares	29,597
Employee Stock Option (Right to buy)	\$ 23.35	11/26/2013		M		15,384	02/28/2012	02/28/2021	Ordinary Shares	15,384

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Rosengarten Jacob D. 100 WASHINGTON BLVD			Chief Enterprise Risk Officer				

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STAMFORD, CT 06902

### **Signatures**

Melinda Wang, Attorney-in-Fact for Jacob Rosengarten

11/27/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$32.049 to \$32.143, inclusive. The reporting person undertakes to provide to XL Group plc, any security holder of XL Group plc or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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