TC Group Cayman Investment Holdings, L.P.

Form 4

November 20, 2013

| NOVCIIIOCI . | 20, 2013 | | | | | | | | | | | |
|---|--|---------------|-----------------------------|---|-------|--|-------------|----------------|---|---------------------------|------------------------|--|
| FORM | 114 INTER | OT A TEC | CECU | DITIEC | 4 N | ID EVCI | T A NI | CE CO | | | PPROVAL | |
| | SECURITIES AND EXCHANGE C Washington, D.C. 20549 | | | | | | DIVINISSION | OMB Number: | 3235-0287 | | | |
| Check t | | | *** | asiningto | 11, 1 | J.C. 2037 | | | | | January 31, | |
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| subject Section | 10 | | | SECU | | | | | | Estimated a burden hou | | |
| Form 4 | | | | | | | | | | response | 0.5 | |
| Form 5 | | | | | | | | _ | Act of 1934, | · | | |
| obligati may co | | | | | | • | | | 1935 or Section | 1 | | |
| See Inst | | 30(h) | of the I | nvestme | nt C | Company | Act o | of 1940 | | | | |
| 1(b). | | | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person * | | | 2. Issu | 2. Issuer Name and Ticker or Trading | | | | | 5. Relationship of Reporting Person(s) to | | | |
| TC Group | Symbol | | | | |] | Issuer | | | | | |
| | | | GENESEE & WYOMING INC [GWR] | | | | | | (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date | of Earliest | Trai | nsaction | | - | Director | _X_ 109 | | |
| C/O TIVE | | ID 1001 | | Day/Year) |) | | | - l | Officer (give to below) | below) | er (specify | |
| | CARLYLE GRO | | 11/19/ | 2013 | | | | | , | , | | |
| SUITE 220 | LVANIA AVE. N OS | w, | | | | | | | | | | |
| | (Street) | | | nendment, | | Original | | | 6. Individual or Joi | nt/Group Filir | ng(Check | |
| | | | Filed(M | onth/Day/Yo | ear) | | | | Applicable Line) Form filed by Or | ne Renorting Per | ·son | |
| WASHING | GTON, DC 20004 | ļ | | | | | | - | Form filed by M Person | | | |
| (City) | (State) | (Zip) | Tal | ble I - Non | -De | rivative Se | curiti | es Acqu | ired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of | 2. Transaction Date | | | 3. | | Securities A | • | red (A) | 5. Amount of | 6. | 7. Nature of | |
| Security (Instr. 3) | (Month/Day/Year) | Execution any | Date, 11 | Code | | Disposed on Dispos | | | Securities Beneficially | Ownership Form: | Indirect Beneficial | |
| (====================================== | | (Month/Da | ay/Year) | (Instr. 8) | | | / | | Owned | Direct (D) | Ownership | |
| | | | | | | | | | Following | or Indirect | (Instr. 4) | |
| | | | | | | | (A) | | Reported Transaction(s) | (I) (Instr. 4) | | |
| | | | | Code V | , | Amount | or (D) | Price | (Instr 3 and 4) | ` ' | | |
| Class A | | | | Couc v | | 7 miount | (D) | # T TICC | | | See | |
| Common | 11/19/2013 | | | S | 5, | 984,232 | D | \$ 07.04 | 0 | I | footnotes | |
| Stock | | | | | | | | 97.04 | • | | <u>(1)</u> <u>(2)</u> | |
| | | | | | | | | | | | | |
| Reminder: Re | eport on a separate lin | e for each c | lass of sec | curities ben | nefic | ially owned | direc | tly or in | directly. | | | |
| | | | | | | | | • | nd to the collect | | EC 1474 | |
| | | | | | | | | | ed in this form a unless the form | | (9-02) | |
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| | | | | | | number. | | | | | | |

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of 2. 3. Transaction Date 3A. Deemed 4. Derivative Conversion (Month/Day/Year) Execution Date, if Transaction | 5. 6. Date Exercisable and 7. Title and 8. Price of 9. Nowhumber Expiration Date Amount of Derivative Derivative |
|--|--|
| Security or Exercise any Code | of (Month/Day/Year) Underlying Security Security |
| (Instr. 3) Price of (Month/Day/Year) (Instr. 8) | Derivative Securities (Instr. 5) Bene |
| Derivative | Securities (Instr. 3 and 4) Own |
| Security | Acquired Follo |
| | (A) or Repo |
| | Disposed Tran |
| | of (D) (Inst |
| | (Instr. 3, |
| | 4, and 5) |
| | Amount |
| | |
| | Date Expiration or Title Number |
| | Exercisable Date of |
| Code V | (A) (D) Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| Fg | Director | 10% Owner | Officer | Other | | |
| TC Group V, L.P. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004 | | X | | | | |
| Carlyle Group Management L.L.C. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004 | | X | | | | |
| Carlyle Group L.P. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004 | | X | | | | |
| Carlyle Holdings II GP L.L.C. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004 | | X | | | | |
| Carlyle Holdings II L.P. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004 | | X | | | | |
| TC Group Cayman Investment Holdings, L.P. C/O INTERTRUST CORPORATE SERVICES 190 ELGIN AVENUE GEORGE TOWN, GRAND CAYMAN KY1-9005 | | X | | | | |

Reporting Owners 2

TC Group Cayman Investment Holdings Sub L.P. C/O INTERTRUST CORPORATE SERVICES 190 ELGIN AVENUE GEORGE TOWN, GRAND CAYMAN KY1-9005

TC CDOLID V. I. D. Rye /s/ Jaramy W. Anderson, Authorized Parson

X

Signatures

| TC GROUP V, L.P. By: /s/ Jeremy W. Anderson, Authorized Person | 11/20/2013 |
|--|------------|
| **Signature of Reporting Person | Date |
| CARLYLE GROUP MANAGEMENT L.L.C. By: /s/ Jeremy W. Anderson, attorney-in-fact for Daniel D?Aniello, Chairman | 11/20/2013 |
| **Signature of Reporting Person | Date |
| THE CARLYLE GROUP L.P. By: Carlyle Group Management L.L.C., its general partner By: /s/ Jeremy W. Anderson, attorney-in-fact for Daniel D?Aniello, Chairman | 11/20/2013 |
| **Signature of Reporting Person | Date |
| CARLYLE HOLDINGS II GP L.L.C. By: The Carlyle Group L.P., its managing member By: Carlyle Group Management L.L.C., its general partner By: /s/ Jeremy W. Anderson, attorney-in-fact for Daniel D?Aniello, Chairman | 11/20/2013 |
| **Signature of Reporting Person | Date |
| CARLYLE HOLDINGS II L.P. By: /s/ Jeremy W. Anderson, attorney-in-fact for Daniel D?Aniello, Chairman | 11/20/2013 |
| **Signature of Reporting Person | Date |
| TC GROUP CAYMAN INVESTMENT HOLDINGS, L.P. By: Carlyle Holdings II L.P., its general partner By: /s/ Jeremy W. Anderson, attorney-in-fact for Daniel D?Aniello, Chairman | 11/20/2013 |
| | 11/20/2013 |
| **Signature of Reporting Person | Date |
| **Signature of Reporting Person TC GROUP CAYMAN INVESTMENT HOLDINGS SUB L.P. By: TC Group Cayman Investment Holdings, L.P., its general partner By: Carlyle Holdings II L.P., its general partner By: /s/ Jeremy W. Anderson, attorney-in-fact for Daniel D?Aniello, Chairman | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Prior to the reported transaction, Carlyle Partners V GW, L.P., CP V GW AIV1, L.P., CP V GW AIV2, L.P., CP V GW AIV3, L.P., CP V GW AIV4, L.P., CP V Coinvestment A, L.P. and CP V Coinvestment B, L.P. were the record holders of 3,550,409, 553,658, 540,740, 540,933, 559,829, 212,402 and 26,261 shares of Class A Common Stock, respectively.
 - Carlyle Group Management L.L.C. is the general partner of The Carlyle Group L.P., which is a publicly traded entity listed on NASDAQ. The Carlyle Group L.P. is the managing member of Carlyle Holdings II GP L.L.C., which is the general partner of Carlyle
- (2) Holdings II L.P., which is the general partner of TC Group Cayman Investment Holdings, L.P., which is the general partner of TC Group Cayman Investment Holdings Sub L.P., which is the managing member of TC Group V, L.L.C., which is the general partner of TC Group V, L.P., which is the general partner of TC Group V, L.P., CP V GW AIV1, L.P., CP V GW AIV2, L.P., CP V GW AIV2, L.P., CP V GW AIV3, L.P., CP V GW AIV4, L.P., CP V Coinvestment A, L.P. and CP V Coinvestment B, L.P.

Remarks:

Due to the limitations of the electronic filing system, TC Group V, L.L.C., Carlyle Partners V GW, L.P., CP V GW AIV1, L.F. AIV2, L.P., CP V GW AIV3, L.P., CP V GW AIV4, L.P., CP V Coinvestment A, L.P. and CP V Coinvestment B, L.P. are filing the contraction of the electronic filing system, TC Group V, L.L.C., Carlyle Partners V GW, L.P., CP V GW AIV1, L.F. are filing to the limitations of the electronic filing system, TC Group V, L.L.C., Carlyle Partners V GW, L.P., CP V GW AIV1, L.F. are filing to the electronic filing system, TC Group V, L.L.C., Carlyle Partners V GW, L.P., CP V GW AIV1, L.F. are filing to the electronic filing system, TC Group V, L.L.C., Carlyle Partners V GW, L.P., CP V GW AIV1, L.F. are filing to the electronic filing system.

Signatures 3

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4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.