

DILLER JAMES

Form 4

April 11, 2013

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**DILLER JAMES**

(Last) (First) (Middle)

**C/O AVAGO TECHNOLOGIES  
U.S. INC., 350 WEST TRIMBLE  
ROAD**

(Street)

**SAN JOSE, CA 95131**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**Avago Technologies LTD [AVGO]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**04/10/2013**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                    | V                                                                          | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                   |
| Ordinary<br>Shares                    | 04/10/2013                              |                                                             | A                                       |                                                                            | 3,285<br>(1)                                                                                                       | \$ 0                                                                 | 8,223 (2) D                                                       |
| Ordinary<br>Shares                    |                                         |                                                             |                                         |                                                                            |                                                                                                                    |                                                                      | 53,556 (3) I By Trust                                             |
| Ordinary<br>Shares                    |                                         |                                                             |                                         |                                                                            |                                                                                                                    |                                                                      | 34,145 (4) I By Trust                                             |
| Ordinary<br>Shares                    |                                         |                                                             |                                         |                                                                            |                                                                                                                    |                                                                      | 92,289 (5) I By Trust                                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) | 8. F<br>Der<br>Sec<br>(Ins |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------------|----------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                               | (A)                                                            | (D)                                                                    |                            |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 35.38                                                           | 04/10/2013                              |                                                             | A                                    |                                                                                                                 | 9,854                                                          | <u>(6)</u> 04/09/2018                                                  | Ordinary<br>Shares 9,854   |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

DILLER JAMES  
C/O AVAGO TECHNOLOGIES U.S. INC.  
350 WEST TRIMBLE ROAD  
SAN JOSE, CA 95131

X

## Signatures

/s/ Patricia H. McCall, Attorney-in-Fact for James  
Diller

04/11/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Grant of restricted share units ("RSUs") pursuant to the Avago Technologies Limited 2009 Equity Incentive Award Plan (the "Plan").

(1) Upon vesting thereof, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in full on April 10, 2014, subject to the Reporting Person's continuing service on the vesting date.

(2) Includes 3,285 RSUs.

(3) Shares held by the June P. Diller Annuity Trust-2010B dated May 10, 2010, for which the Reporting Person serves as Trustee.

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- (4) Shares held by the James V. Diller Annuity Trust-2010B dated May 10, 2010, for which the Reporting Person serves as Trustee.
- (5) Shares held by the James V. Diller and June P. Diller Trust UA 7/20/77, for which the Reporting Person serves as Trustee.
- (6) Grant of option to purchase ordinary shares pursuant to the Plan. The option vests and becomes exercisable in full on April 10, 2014, subject to the Reporting Person's continuing service on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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