GINGO JOSEPH M

Form 4

January 14, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0

OMB APPROVAL

Number: 3235-0287

January 31,

Expires:

5. Relationship of Reporting Person(s) to

Estimated average

0.5

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2. Issuer Name and Ticker or Trading

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

| GINGO JOSEPH M | | Symbol SCHULMAN A INC [SHLM] | | | | 3 | Issuer | | | |
|---|------------------------------|---|-------|----------------------------|---|------------------|---|---|--|--------------------------------|
| (Last) (First) (Middle) 3550 WEST MARKET STREET | | 3. Date of Earliest Transaction (Month/Day/Year) 01/10/2013 | | | | | (Check all applicable) _X_ Director 10% Owner _X_ Officer (give title Other (specify below) Chief Executive Officer | | | |
| AKRON, O | (Street) | | | ndment, Da th/Day/Year) | _ | | | | | erson |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | ecurit | ies Acq | Person uired, Disposed o | of, or Beneficial | lly Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction (Month/Day/Ye | ear) Execution | | 3. | 4. Securit on(A) or Dis (D) (Instr. 3, 4) | ies Ac sposed | quired of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | · |
| Stock | 01/10/2013 | | | A | (1) | D | \$0 | 129,685 <u>(2)</u> | D | |
| Common Stock | | | | | | | | 10,000 | I | By Joseph M. Gingo Trust |
| Common Stock | | | | | | | | 17,500 | I | By Linda L. Gingo Trust |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. | | | | | | | | | | |

Persons who respond to the collection of

information contained in this form are not

SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title o | f 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Titl | e and | 8. Price of | 9. Nu |
|------------|--------------|---------------------|--------------------|------------|------------|--------------|-------------|---------|----------|-------------|--------|
| Derivativ | e Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | /Year) | Under | lying | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | Securi | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | S | | (Instr. | 3 and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | A | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | | Or | | |
| | | | | | | Exercisable | Date | | Number | | |
| | | | | C 1 W | (A) (D) | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|-------------------------|-------|--|--|--|--|
| Fg | Director | 10% Owner | Officer | Other | | | | |
| GINGO JOSEPH M 3550 WEST MARKET STREET AKRON, OH 44333 | X | | Chief Executive Officer | | | | | |

Signatures

/s/ Aaron S. Berke, attorney in fact for Joseph M. 01/14/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award represents a grant of restricted stock pursuant to the A. Schulman, Inc. 2006 Equity Incentive Plan (the "2006 Plan"). Under the 2006 Plan, restrictions on stock awards lapse on the third anniversary of the grant date.
- (2) Includes 1,404 shares acquired by the reporting person in accordance with the provisions of the A. Schulman, Inc. Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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