## Edgar Filing: NOVAK ERNEST J JR - Form 4

NOVAK ERN	NEST J JR												
Form 4													
January 14, 20	011												
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL				
Washington, D.C. 20549							OMB Number:	3235-0287					
Check this if no longe	<b>a</b> #									Expires:	January 31, 2005		
subject to	subject to STATEMENT OF CHANGES IN BENEFICIAL						LOW	NERSHIP OF	Estimated a				
Section 16		SECURITIES								burden hours per			
Form 4 or Form 5		rement to	Saction 16	$S(a) \circ f t$	ha	Soouriti	oo Ex	rohond	$x_2$ A at of 1024	response	0.5		
obligation	~ ~							-	ge Act of 1934, f 1935 or Sectio	n			
may contin <i>See</i> Instruct 1(b).	nue.		of the Inv	•		•	- ·			11			
(Print or Type R	esponses)												
NOVAK ERNEST J JR Symbol			Name <b>and</b> Ticker or Trading					5. Relationship of Reporting Person(s) to Issuer					
									(Check all applicable)				
				of Earliest Transaction Day/Year)					_X_ Director10% Owner				
			01/12/20	-					Officer (give titleOther (specify below) below)				
			ndment, Date Original					6. Individual or Joint/Group Filing(Check					
			Filed(Mont	/onth/Day/Year)					Applicable Line)				
AKRON, OH 44333									_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table	e I - Non-	Der	rivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	) Execution any	emed on Date, if Day/Year)	Date, if TransactionAcquired (A) or Code Disposed of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
Common Stock	01/12/2011			А		3,500 (1)	A	\$0	21,700	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	of	vative rities iired or osed )) :. 3,		ate	Amou Under Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships								
1 0	Director	10% Owner	Officer	Other					
NOVAK ERNEST J JR 3550 W. MARKET STREET AKRON, OH 44333	Х								
Signatures									
/s/ Aaron S. Berke, attorney in Novak, Jr.	01/14/2011								
MM	_			_					

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award grant issued pursuant to the A. Schulman, Inc. Amended and Restated 2006 Incentive Plan (the "2006 Plan"). Pursuant to the 2006 Plan, Mr. Novak received a grant of 3,500 shares of fully vested and unrestricted common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.