

STONE WILLIAM C

Form 3

March 30, 2010

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â STONE WILLIAM C

(Last) (First) (Middle)

C/O SS&C TECHNOLOGIES
HOLDINGS, INC., Â 80
LAMBERTON ROAD

(Street)

WINDSOR, Â CT Â 06095

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/30/2010

3. Issuer Name and Ticker or Trading Symbol

SS&C Technologies Holdings Inc [SSNC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Chairman of the Board & CEO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

16,668,313

D

Â

Class A Non-Voting Common Stock

637,500

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial
Ownership

Edgar Filing: STONE WILLIAM C - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Option (right to buy)	Â (1)	05/31/2011	Common Stock	637,500	\$ 0.78	D	Â
Stock Option (right to buy)	Â (1)	04/08/2013	Common Stock	1,275,000	\$ 1.89	D	Â
Stock Option (right to buy)	Â (2)	08/09/2016	Common Stock	603,439	\$ 8.77	D	Â
Stock Option (right to buy)	Â (3)	08/09/2016	Common Stock	603,439	\$ 8.77	D	Â
Stock Option (right to buy)	Â (4)	08/09/2016	Common Stock	301,719	\$ 8.77	D	Â
Stock Option (right to buy)	Â (5)	02/04/2020	Common Stock	127,500	\$ 14.53	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STONE WILLIAM C C/O SS&C TECHNOLOGIES HOLDINGS, INC. 80 LAMBERTON ROAD WINDSOR,Â CTÂ 06095	Â X	Â X	Â Chairman of the Board & CEO	Â

Signatures

/s/ Stephen V.R. Whitman, attorney-in-fact for William C. Stone 03/30/2010

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested as to 25% of the shares on the first anniversary of the date of grant and 1/36 of the remaining number of shares monthly thereafter until November 23, 2005 when the option became fully vested as to 100% of the shares to the extent it was not fully vested.
- (2) The option is a "time-based" option that vested as to 25% of the shares on November 23, 2006 and as to 1/36 of the shares each month thereafter until fully vested on November 23, 2009.
The option is a "performance-based" option that vests based on the determination by the Issuer's board of directors or compensation committee as to the satisfaction of certain performance criteria for each fiscal year 2006 through 2010. In February 2009, the board of directors approved the immediate vesting of the 2006, 2007 and 2008 performance-based options that did not otherwise vest during 2006, 2007 and 2008. In addition, the performance criteria for 2009 were met, resulting in the vesting of 100% of the 2009 performance options.
- (4) The option is a "superior" option, which will become a performance-based option upon the closing of the initial public offering of the Issuer that vests based on the determination by the Issuer's board of directors or compensation committee as to the satisfaction of certain

Edgar Filing: STONE WILLIAM C - Form 3

performance criteria for fiscal years 2010 and 2011.

(5) The option vests as to 25% of the shares on February 4, 2011 and 1/36 of the remaining number of shares monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.