NOVAK ERNEST J JR Form 4 April 13, 2009

## FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB** 3235-0287 Number: January 31, Expires:

2005

0.5

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response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* NOVAK ERNEST J JR

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

SCHULMAN A INC [SHLM] 3. Date of Earliest Transaction

(First)

(Street)

(Month/Day/Year)

04/09/2009

X\_ Director 10% Owner Other (specify Officer (give title

(Check all applicable)

below)

3550 W. MARKET STREET

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

AKRON, OH 44333

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Instr. 3)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Owned Ownership Following (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

(A)

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 4. 6. Date Exercisable and Expiration 7. Title and Amo Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative Underlying Secu Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A)

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	Derivative Security			or Dispo (D) (Instr. 3, and 5)					
			Code V	/ (A)	(D)	Date Exercisable	Expiration Date	Title	A on N of Si
Restricted Stock Units (1)	(1)	04/09/2009	A	2,500		<u>(1)</u>	<u>(1)</u>	Common Stock	4
Restricted Stock Units (2)	\$ 13.61 (3)	04/11/2009	D		167	04/11/2009(2)	04/11/2009(2)	Common Stock	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
NOVAK ERNEST J JR 3550 W. MARKET STREET AKRON, OH 44333	X					

### **Signatures**

/s/ Aaron S. Berke, attorney in fact for Ernest J. Novak

04/13/2009

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Award represents a grant of stock-settled Restricted Stock Units pursuant to the A. Schulman, Inc. (the "Company") 2006 Equity Incentive Plan (the "2006 Plan") on April 9, 2009 (the "Grant Date"). Pursuant to the terms of the award agreement, such stock-settled Restricted Stock Units are fully vested as of the Grant Date and shall be settled in shares of the Company's common stock, on a 1-to-1 basis, no later than 60 days after the third anniversary of the award Grant Date.
- Disposition represents the settlement of 167 cash-settled Restricted Stock Units pursuant to the 2006 Plan. Under the 2006 Plan, cash-settled Restricted Stock Units are settled in cash by the Company in an amount equal to the fair market value of a share of the Company's common stock on the applicable vesting date. As originally reported, Mr. Novak was granted 500 cash-settled Restricted Stock Units on April 11, 2007, 167 of which vested on April 11, 2009.
- (3) Price reflects the closing price of the Company's common stock on April 9, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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