Edgar Filing: RISHI SATISH - Form 4

RISHI SATISH Form 4 February 04, 2009 FORM 4 The construction 16. Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). INTED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 MB Washington, D.C. 20549 TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Evires: Use of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1000										3235-0287 January 31, 2005 average		
(Print or Type Responses)												
RISHI SATISH Symbol				Name and Ticker or Trading 5. Relationship of Issuer						f Reporting Person(s) to		
(Last)	(First) (Middl							(Check all applicable)				
4440 EL CAMINO REAL(Month/Da02/02/20				r)				Director 10% Owner XOfficer (give titleOther (specify below) Sr. VP and CFO				
				th/Day/Year) Applicable Line) _X_Form filed b					loint/Group Filing(Check One Reporting Person More than One Reporting			
(City)	(State) (Zip)				• • • •			Person				
	· · · · · ·		e I - No 3.	n-D			-	uired, Disposed of		-		
1.Title of Security (Instr. 3)	(Month/Day/Year) Ex any	ansaction Date 2A. Deemed th/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securit n(A) or Dis (Instr. 3, 4	sposed 4 and 5 (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common			Code		Amount	(D)	Price	, , ,	-			
Stock	12/30/2008		G	V	1,400	D	\$0	53,420	D			
Common Stock	12/30/2008		G	v	700	A	\$0	700	Ι	See Footnote		
Common Stock	12/30/2008		G	v	700	A	\$0	700	Ι	See Footnote (2)		
Common Stock	02/02/2009		М		3,000	А	\$0	65,420 <u>(3)</u> <u>(4)</u>	D			
	02/02/2009		М		25,000	А	\$0	140,420 (4) (5)	D			

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Common Stock							
Common Stock	02/02/2009	F	9,384	D	\$ 8.55	131,036	D
Common Stock	02/02/2009	А	10,296 (6)	А	\$0	141,332	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted Stock Units	\$ 0 <u>(4)</u>	02/02/2009		М		3,000	02/02/2009	(7)	Common Stock
Restricted Stock Units	\$ 0	02/02/2009		М		25,000	02/02/2009	(7)	Common Stock
Employee Stock Option (right to buy)	\$ 8.55	02/02/2009		A	40,385		08/02/2009 <u>(10)</u>	02/02/2019	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
RISHI SATISH 4440 EL CAMINO REAL LOS ALTOS, CA 94022			Sr. VP and CFO					

Signatures

/s/Michael Leshkiw, by power of attorney

**Signature of Reporting Person

02/04/2009 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Officer is the custodian of a minor's custodial account to which he gifted 700 shares of common stock on December 30, 2008.
- (2) Reporting Officer is the custodian of a minor's custodial account to which he gifted 700 shares of common stock on December 30, 2008.

Includes 9,000 shares represented by restricted stock units ("RSUs"). These 9,000 RSUs reflect the balance of securities beneficially owned following the reported transaction in Table II, row 1 of this report on Form 4. The 9,000 RSUs vest in three equal annual

- (3) owned following the reported transaction in Fable II, fow 1 of this report of 10 m 4. The 9,000 KSOS vest in three equal annual installments beginning on February 1, 2010 or the first trading day after February 1. The initial RSU award was reported in Table II of Form 4 filed with the SEC on February 5, 2008.
- (4) Each RSU represents a contingent right to receive one share of Common Stock of Rambus Inc.

(5) Includes 50,000 shares represented by restricted stock units ("RSUs"). These 50,000 RSUs reflect the balance of securities beneficially owned following the reported transaction in Table II, row 2 of this report on Form 4. The 50,000 RSUs vest in two equal annual installments beginning on February 1, 2010 or the first trading day after February 1. The initial RSU award was reported in Table II of Form 4 filed with the SEC on October 22, 2007.

- (6) Shares are represented by restricted stock units. The RSUs vest in four equal annual installments beginning on February 2, 2010 or the first trading day after February 2.
- (7) Not applicable.
- (8) The balance of unvested RSUs beneficially owned following the reported transaction is 9,000 RSUs and is now being reported in Table 1, row 1 of this report on Form 4.
- (9) The balance of unvested RSUs beneficially owned following the reported transaction is 50,000 RSUs and is now being reported in Table 1, row 2 of this report on Form 4.
- (10) Ten percent of the shares subject to the option vest on August 2, 2009 and one fifty-fourth of the shares shall vest monthly thereafter.

Remarks:

As previously reported in the remarks section of Mr. Rishi's Form 4 filing on October 22, 2007, his RSU grant on October 18,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.