Edgar Filing: DeSantis Paul F - Form 4

DeSantis Pau Form 4	ıl F										
March 04, 20	008										
FORM	14						NOLO		OMB AF	PROVAL	
	UNITE	DSTATES		shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5		SECUR	ITIES	NERSHIP OF e Act of 1934,	Expires: Estimated a burden hou response						
obligation may cont <i>See</i> Instru 1(b).	inue. Section 1			ility Hold vestment	•	· ·	•	1935 or Section 0	ı		
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> DeSantis Paul F			 Issuer Name and Ticker or Trading Symbol SCHULMAN A INC [SHLM] Date of Earliest Transaction (Month/Day/Year) 11/01/2007 					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <u> Director</u> 10% Owner <u> Officer (give title</u> 0ther (specify below) VP-Finance and CFO			
(Last) (First) (Middle)											
(Last) (First) (Middle) 3550 WEST MARKET STREET											
				ndment, Date Original hth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
AKRON, O	H 44333							Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executio any	med on Date, if Day/Year)	3. Transactio Code (Instr. 8)	4. Securi n(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	11/01/2007			L	14.71	Α	\$ 23.47	20,395.706	D		
Common Stock	02/01/2008			L	17.35	А	\$ 20.02	20,413.056	D		
Common Stock	02/29/2008			А	9,000	A (1)	\$0	29,413.056	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Shares (2)	<u>(3)</u>	02/29/2008		А	13,500	(2)	(2)	Common Stock	13,500

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
DeSantis Paul F 3550 WEST MARKET STREET AKRON, OH 44333			VP-Finance and CFO				
Signatures							
/s/ Aaron S. Berke, attorney in fac DeSantis	t for Paul	F.	03/03/2008				

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award represents a grant of restricted stock pursuant to the A. Schulman, Inc. (the "Company") 2006 Equity Incentive Plan (the "2006 Plan"). Under the 2006 Plan, restrictions on stock awards lapse at a rate of 33 1/3% on March 1 of 2009, 2010 and 2011.

Award represents a grant of Performance Shares pursuant to the 2006 Plan, which may be settled for shares of common stock upon the occurrence of specific criteria. On March 1, 2011 (the "Settlement Date"), if between the Grant Date and the Settlement Date total shareholder returns on the Company's shares relative to a peer group of similar companies ("Share Value") is below the 25th percentile,

Date

- (2) all of the Performance Shares will be forfeited. If the Share Value meets or exceeds the 25th percentile, but is less than the 50th percentile, two-thirds (2/3) of the Performance Shares will be forfeited. If the Share Value meets or exceeds the 50th percentile, but is less than the 75th percentile, one-third (1/3) of the Performance Shares will be forfeited. If the Share Value meets or exceeds the 75th percentile, all Performance Shares will vest.
- (3) On the Settlement Date, all vested Performance Shares shall be settled for shares of the Company's common stock on a 1-to-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.