Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 5

UNIVERSAL TECHNICAL INSTITUTE INC

Form 5 N F

Common

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F4

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06/15/2007

Stock,

Stock,

\$0.0001

\$0.0001 Par Value

Common Â

November	14, 2007								
FORM	M 5						OMB	APPROV	۹L
Check th no longe to Sectio	UNITED his box if er subject on 16.	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							
annual statement of communication of the public utility Holding Company Act of 1935 or Section 17(a) of the Investment Company Act of 1940 Estimate burden hresponse Form 4 30(h) of the Investment Company Act of 1940 Transactions Reported 30(h) of the Investment Company Act of 1940 Form 4									1.0
1. Name and Address of Reporting Person <u>*</u> HASLIP JENNIFER			Symbol UNIV	r Name and Ti ERSAL TEO TUTE INC		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				ment for Issuer Day/Year) 2007	's Fiscal Year Ended	Director 10% Owner Officer (give title Other (specify below) Sr. VP, Chief Fin Officer			
20410 N. J 200	19TH AVENUE,Â	SUITE							
	(Street)			nendment, Date onth/Day/Year)	e Original	6. Individual or Joint/Group Reporting (check applicable line)			
PHOENIX	Z, AZ 85027					_X_ Form Filed by Form Filed by I Person			
(City)	(State)	(Zip)	Tal	ble I - Non-De	rivative Securities Acq	uired, Disposed of	f, or Benefic	cially Owne	d
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deeme Execution any (Month/Da(Instr. 3)(Month/Day/Year)Execution any (Month/Day/Year)			Date, if Transaction (A) or Disposed of (D Code (Instr. 3, 4 and 5)			Beneficially D Owned at D end of G Issuer's G	5. Ownership Form: Direct (D) or Indirect [I] (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

4)

8,472

13,000

D

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Price

\$ 23.99

Amount (D)

D

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328

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David N.

Wine and

Jennifer L.

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Par Value Common Stock,]	Haslip Recoverable Living Trust Owned by	
\$0.0001 Par Value	Â	Â	Â	Â	Â		100		Spouse	
Reminder: Re securities bene	• •	y. contained	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.					SEC 2270 (9-02)		
			tive Securities Acqui its, calls, warrants, o					l		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		vative irities uired or osed)) r. 3,	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and A Underlying S (Instr. 3 and	Securities
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right To Buy)	\$ 23.63	Â	Â	Â	Â	Â	02/28/2008	02/28/2017	Common Stock	2,800
Employee Stock Option (Right to Buy)	\$ 20.5	Â	Â	Â	Â	Â	12/17/2004	12/17/2013	Common Stock	100
Employee Stock Option (Right to Buy)	\$ 20.5	Â	Â	Â	Â	Â	12/17/2004	12/17/2013	Common Stock	100,570
Employee Stock Option (Right to Buy)	\$ 38.46	Â	Â	Â	Â	Â	02/16/2006	02/16/2015	Common Stock	25,000
	\$ 23.25	Â	Â	Â	Â	Â	06/15/2007	06/15/2016		18,000

Employee Stock Option (Right To Buy)									Common Stock	
Employee Stock Option (Right To Buy)	\$ 4.4	Â	Â	Â	Â	Â	04/02/2003	04/02/2012	Common Stock	64,862

Reporting Owners

Reporting Owner Name / Add	ress	Relationships						
1		tor 10% Owner Officer		Other				
HASLIP JENNIFER 20410 N. 19TH AVENUE SUITE 200 PHOENIX, AZ 85027	Â	Â	Sr. VP, Chief Fin Officer	Â				
Signatures								
/s/ Jennifer L. Haslip	11/13/2007							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.