SCHULMAN A INC
Form 4
July 20, 2007

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION
### Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
*See* Instruction
1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person [*] HAINES TERRY L | 2. Issuer Name **and** Ticker or Trading Symbol SCHULMAN A INC [SHLM] | 5. Relationship of Reporting Person(s) to Issuer |
|---|---|---|
| (Last)   (First)   (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 07/18/2007 | (Check all applicable) __X__ Director  _____ 10% Owner __X__ Officer (give title _____ Other (specify below)  below) PRESIDENT and CEO |
| 3550 WEST MARKET STREET | | |
| (Street) | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person ___ Form filed by More than One Reporting Person |
| AKRON, OH 44333 | | |
| (City)   (State)   (Zip) | | |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Shares | 07/19/2007 | | M | | 130,000 | A | $ 18.02 | 382,667 | D | |
| Common Shares | 07/19/2007 | | M | | 86,666 | A | $ 19.85 | 469,333 | D | |
| Common Shares | 07/19/2007 | | M | | 8,334 | A | $ 19.2 | 477,667 | D | |
| Common Shares | 07/18/2007 | | S | | 243 | D | $ 24.61 | 477,424 | D | |
| Common Shares | 07/18/2007 | | S | | 500 | D | $ 24.62 | 476,924 | D | |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| Common Shares | 07/18/2007 | | S | 1,000 | D | $ 24.63 | 475,924 | D |
| Common Shares | 07/18/2007 | | S | 100 | D | $ 24.64 | 475,824 | D |
| Common Shares | 07/18/2007 | | S | 400 | D | $ 24.66 | 475,424 | D |
| Common Shares | 07/18/2007 | | S | 800 | D | $ 24.65 | 474,624 | D |
| Common Shares | 07/18/2007 | | S | 100 | D | $ 24.67 | 474,524 | D |
| Common Shares | 07/18/2007 | | S | 1,100 | D | $ 24.68 | 473,424 | D |
| Common Shares | 07/18/2007 | | S | 100 | D | $ 24.7 | 473,324 | D |
| Common Shares | 07/18/2007 | | S | 709 | D | $ 24.71 | 472,615 | D |
| Common Shares | 07/18/2007 | | S | 4,667 | D | $ 24.78 | 467,948 | D |
| Common Shares | 07/18/2007 | | S | 1,592 | D | $ 24.79 | 466,356 | D |
| Common Shares | 07/18/2007 | | S | 4,340 | D | $ 24.77 | 462,016 | D |
| Common Shares | 07/18/2007 | | S | 15,582 | D | $ 24.75 | 446,434 | D |
| Common Shares | 07/18/2007 | | S | 1,867 | D | $ 24.76 | 444,567 | D |
| Common Shares | 07/18/2007 | | S | 400 | D | $ 24.8 | 444,167 | D |
| Common Shares | 07/18/2007 | | S | 100 | D | $ 24.84 | 444,067 | D |
| Common Shares | 07/18/2007 | | S | 500 | D | $ 24.83 | 443,567 | D |
| Common Shares | 07/18/2007 | | S | 200 | D | $ 24.98 | 443,367 | D |
| Common Shares | 07/18/2007 | | S | 3,100 | D | $ 24.92 | 440,267 | D |
| Common Shares | 07/18/2007 | | S | 100 | D | $ 24.85 | 440,167 | D |
| Common Shares | 07/18/2007 | | S | 100 | D | $ 24.86 | 440,067 | D |
| | 07/18/2007 | | S | 200 | D | | 439,867 | D |

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| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| Common Shares | | | | | | $ 24.87 | | |
| Common Shares | 07/18/2007 | | S | 1,000 | D | $ 24.88 | 438,867 | D |
| Common Shares | 07/18/2007 | | S | 1,849 | D | $ 24.89 | 437,018 | D |
| Common Shares | 07/18/2007 | | S | 700 | D | $ 24.9 | 436,318 | D |
| Common Shares | 07/18/2007 | | S | 300 | D | $ 24.94 | 436,018 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**
(*e.g.*, puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option (Right to Buy) | $ 18.02 | 07/19/2007 | | M | | | 130,000 | (1) | 10/22/2013 | Common Shares | 130,000 |
| Option (Right to Buy) | $ 19.85 | 07/19/2007 | | M | | | 86,666 | (2) | 10/22/2014 | Common Shares | 86,666 |
| Option (Right to Buy) | $ 19.2 | 07/19/2007 | | M | | | 8,334 | (3) | 10/21/2015 | Common Shares | 8,334 |

# Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---|---|---|---|
| | Director | 10% Owner | Officer | Other |
| HAINES TERRY L 3550 WEST MARKET STREET | X | | PRESIDENT and CEO | |

AKRON, OH 44333

# Signatures

/s/ Aaron S. Berke, attorney-in-fact for Terry L. Haines          07/20/2007

<u>**</u>Signature of Reporting Person                                        Date

# Explanation of Responses:

\*      If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\*     Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)**    Pursuant to the A. Schulman, Inc. 2002 Equity Incentive Plan (a Rule 16b-3 Plan), 33% of the options became exercisable on October 22 of each of the years 2004, 2005, and 2006.

**(2)**    Pursuant to the A. Schulman, Inc. 2002 Stock Incentive Plan (a Rule 16b-3 Plan), 33% of these options become exercisable on each of the years 2005, 2006, and 2007.

**(3)**    Pursuant to the A. Schulman, Inc. 2002 Equity Incentive Plan (a Rule 16b-3 Plan), 33% of these options become exercisable on October 21 of each of the years 2006, 2007, and 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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