#### Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 5

#### UNIVERSAL TECHNICAL INSTITUTE INC

Form 5

March 15, 2006

# FORM 5

**OMB APPROVAL** 

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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4 Transactions

Reported

Check this box if

no longer subject

to Section 16.

5 obligations

may continue.

Form 4 or Form

1. Name and Address of Reporting Person \*

WHITE JOHN C

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

UNIVERSAL TECHNICAL INSTITUTE INC [UTI]

(Check all applicable)

(Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First)

(Month/Day/Year)

\_X\_ Director 10% Owner \_X\_\_ Officer (give title Other (specify below) below)

09/30/2005

Chairman of the Board

20410 N. 19TH AVENUE, SUITE

200

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

Code

6. Individual or Joint/Group Reporting

(check applicable line)

PHOENIX. AZÂ 85027

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if (Month/Day/Year) Transaction (Instr. 8)

4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned at end of Issuer's

6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 2270** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of

Conversion

Derivative

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

Transaction

Number of Derivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount Underlying Securitie

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Employee Stock Option (right to buy)	\$ 38.46	02/16/2005(1)	Â	A	35,000 (1)	Â	(2)	02/15/2015	Common Stock	35,00

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
WHITE JOHN C 20410 N. 19TH AVENUE SUITE 200 PHOENIX, AZ 85027	ÂX	Â	Chairman of the Board	Â			

### **Signatures**

/s/John C. White 03/15/2006

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option grant was approved by a committee of UTI's board of directors on February 15, 2005. UTI's board of directors approved the grant on February 16, 2005.
- (2) The option vests in four equal installments on February 16, 2006, 2007, 2008, and 2009.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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