

Edgar Filing: OSULLIVAN RICHARD P - Form 5

OSULLIVAN RICHARD P
Form 5
February 03, 2003

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

- Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- Form 3 Holdings Reported
- Form 4 Transactions Reported

1. Name and Address of Reporting Person*

| | | |
|-----------------------|---------|----------|
| O'SULLIVAN | RICHARD | B. |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| 63 CONSTITUTION DRIVE | | |
| ----- | | |
| (Street) | | |
| CHICO | CA | 95973 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol

TRICO BANCSHARES/TCBK

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

12/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

Director 10% Owner

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Officer (give title below) Other (specify below)

EVP OF TRI COUNTIES BANK, A SUBSIDIARY OF TRICO BANCSHARES

7. Individual or Joint/Group Filing
(Check applicable line)

Form filed by one Reporting Person
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (mm/dd/yy) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | |
|---------------------------------------|---|---|--|------------------|-------|
| | | | Amount | (A) or (D) | Price |
| COMMON STOCK | 12/31/02 | J | 75.6218 | A | 25.33 |

* If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 2. Conver- sion or Exer- | 5. Number of Derivative Securities | 6. Date | 7. Title and Amount of Underlying Securities |
|--------------------------------------|---|------------|---|
|--------------------------------------|---|------------|---|

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| 1. Title of Derivative Security (Instr. 3) | cise Price of Deriv- ative Secur- ity | 3. Trans- action Date (Month/ Day/ Year) | 4. Trans- action Code (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D) | Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Exer- cisable Date | (Instr. 3 and 4) ----- Amount or Number of Shares Title |
|--|---|--|---|---|--|--|
|--|---|--|---|---|--|--|

Explanation of Responses: J: Stock Dividend for December 2002.

/s/ Richard B. O'Sullivan

02/03/03

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.