DELCATH SYSTEMS, INC. Form 8-K October 24, 2018

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 24, 2018

## **DELCATH SYSTEMS, INC.**

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-16133** (Commission

06-1245881 (IRS Employer

of incorporation)

File Number)

**Identification No.)** 

1633 Broadway, Suite 22C, New York, New York

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(Address of principal executive offices)

10019

(Zip code)

(212) 489-2100

(Registrant s telephone number, including area code)

None

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2 below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to section 13(a) of the Exchange Act.

### **Item 8.01 Other Information**

On June 12, 2018, Delcath Systems, Inc. (the Company) filed a Registration Statement on Form S-1, in which it included the following information, updated from the information provided in the Company s Annual Report on Form 10-K for the year ended December 31, 2017, filed with the Commission on March 16, 2018, to update financial data for the 1-for-500 reverse stock split effected by the Company on May 2, 2018: Audited Financial Statements for the Year Ended December 31, 2017, and Management s Discussion and Analysis for the Year Ended December 31, 2017, all of which are included in this Current Report on Form 8-K, as Exhibit 99.1.

#### **Item 9.01 Exhibits**

99.1 <u>Audited Financial Statements for the Year Ended December 31, 2017, Management s Discussion and Analysis for the Year Ended December 31, 2017 and Selected Financial Data for the year Ended December 31, 2017.</u>

# **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

DELCATH SYSTEMS, INC.

Date: October 24, 2018 By: /s/ Jennifer Simpson

Name: Jennifer Simpson

Title: President and Chief Executive Officer