

NOMURA HOLDINGS INC
Form 6-K
July 08, 2016
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

**Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934**

Commission File Number: 1-15270

For the month of July 2016

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from June 1, 2016 to June 30, 2016

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: July 8, 2016

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

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[Translation of Share Buyback Report for the reporting month from June 1, 2016 to June 30, 2016 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on July 8, 2016]

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

| | Number of Shares | as of June 30, 2016 Total Repurchase Amount (JPY) |
|--|------------------|---|
| Authorization pursuant to the resolution of the Board (April 27, 2016) | | |
| (Period of repurchase: from May 18, 2016 to July 22, 2016) | 35,000,000 | 20,000,000,000 |
| Repurchases during this reporting month (Date of repurchase) | (Date) | |
| | June 1 2,301,700 | 1,100,353,990 |
| | June 2 2,301,700 | 1,053,743,280 |
| | June 3 2,301,700 | 1,044,531,290 |
| | June 6 2,145,400 | 943,879,390 |
| | June 7 711,600 | 315,894,930 |
| Total | 9,762,100 | 4,458,402,880 |
| Aggregate shares repurchased as of the end of this reporting month | 35,000,000 | 16,324,582,570 |
| Progress of share repurchase (%) | 100.0 | 81.6 |

Table of Contents**2. Status of disposition**

| | | Number of shares disposed in the reporting month | as of June 30, 2016 Aggregate amount of disposition (JPY) |
|---|---------|---|---|
| Treasury shares disposed subject to procedures for issuance of new shares | (Date) | | |
| Subtotal | | | |
| Treasury shares canceled | (Date) | | |
| Subtotal | | | |
| Treasury shares transferred upon merger, share exchange or demerger | (Date) | | |
| Subtotal | | | |
| Other (upon request for purchasing less-than-a-full-unit shares) | (Date) | | |
| | June 21 | 70 | 28,455 |
| Subtotal | | 70 | 28,455 |
| Other (exercise of stock acquisition rights) | (Date) | 58,000 | 58,000 |
| | June 1 | 175,700 | 771,700 |
| | June 2 | 86,700 | 86,700 |
| | June 3 | 36,000 | 36,000 |
| | June 6 | 56,600 | 56,600 |
| | June 7 | 66,900 | 66,900 |
| | June 8 | 49,800 | 49,800 |
| | June 9 | 51,800 | 51,800 |
| | June 10 | 45,000 | 45,000 |
| | June 13 | 31,500 | 31,500 |
| | June 14 | 158,000 | 158,000 |
| | June 15 | 11,400 | 904,400 |
| | June 16 | 33,400 | 33,400 |
| | June 17 | 109,900 | 109,900 |
| | June 20 | 97,600 | 97,600 |

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| | | | |
|----------|---------|-----------|-----------|
| | June 21 | 27,800 | 27,800 |
| | June 22 | 259,400 | 259,400 |
| | June 23 | 295,800 | 295,800 |
| | June 24 | 88,600 | 88,600 |
| | June 27 | 41,500 | 41,500 |
| | June 29 | | |
| Subtotal | | 1,781,400 | 3,270,400 |
| Total | | 1,781,470 | 3,298,855 |

3. Status of shares held in treasury

| As of the end of the reporting month | as of June 30, 2016 |
|--------------------------------------|---------------------|
| | Number of Shares |
| Total number of issued shares | 3,822,562,601 |
| Number of shares held in treasury | 234,052,872 |