Gevo, Inc. Form SC 13D/A April 19, 2016

#### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### **SCHEDULE 13D**

(Rule 13d-101)

# INFORMATION TO BE INCLUDED IN STATEMENTS FILED

PURSUANT TO RULE 13d-1(a) AND AMENDMENTS

THERETO FILED PURSUANT TO RULE 13d-2(a)

**Under the Securities Exchange Act of 1934** 

(Amendment No. 1)\*

GEVO, INC.

(Name of Issuer)

Common Stock, par value \$0.01 per share

(Title of Class of Securities)

374396109

(CUSIP Number)

Lee H. Sjoberg, Esq.

**LANXESS Corporation** 

#### 111 RIDC Park West Drive

#### Pittsburgh, PA 15275-1112

(412) 809-2229

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

**April 4, 2016** 

(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition which is subject of this Schedule 13D, and is filing this statement because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box.

**Note:** Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

396109

1	NAME OF REPORTING PERSON:
2	LANXESS Corporation CHECK THE APPROPRIATE BOX IF A MEMBER OF GROUP (SEE INSTRUCTIONS):
	(a) " (b) "
3	SEC USE ONLY:
4	SOURCE OF FUNDS:
5	N/A CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS $2(\mbox{\scriptsize d})$ OR $2(\mbox{\scriptsize e})$
6	CITIZENSHIP OR PLACE OF ORGANIZATION
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11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON:

12 0
CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):

...

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):

14 TYPE OF REPORTING PERSON:

CO

# CUSIP No. 374396109

1	NAME OF REPORTING PERSON:
2	LANXESS AG CHECK THE APPROPRIATE BOX IF A MEMBER OF GROUP (SEE INSTRUCTIONS):  (a) " (b) "
3	SEC USE ONLY:
4	SOURCE OF FUNDS:
5	N/A CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e)
6	 CITIZENSHIP OR PLACE OF ORGANIZATION
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WIT	H: 0 10 SHARED DISPOSITIVE POWER:

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON:

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):

...

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11):

14 TYPE OF REPORTING PERSON

CO

#### **EXPLANATORY NOTE**

The following constitutes Amendment No. 1 (this Amendment ) to the statement on Schedule 13D filed on behalf of LANXESS Corporation, a Delaware corporation, and LANXESS AG, a corporation formed under the laws of the Federal Republic of Germany, with the Securities and Exchange Commission on February 22, 2011 (the Schedule 13D ). All items not supplemented in this Amendment remain unchanged from the Schedule 13D. Each capitalized term used but not defined herein has the meaning ascribed to it in the Schedule 13D.

This Amendment is the Reporting Person s final amendment to the Schedule 13D and constitutes an exit filing.

#### Item 2. Identity and Background

*Item 2 is supplemented by the addition of the following information:* 

The address of LANXESS AG is Kennedyplatz1, 50569 Cologne, Germany.

#### Item 3. Source and Amount of Funds or Other Consideration

*Item 3 is supplemented by the addition of the following information:* 

At the close of business on April 20, 2015, the Issuer effected a one-for-15 reverse stock split, which reduced the aggregate beneficial ownership of the Shares by LANXESS Corporation and LANXESS AG from 2,244,445 to 149,631. On April 4, 2016, LANXESS Corporation sold the remaining 149,631 shares in open market transactions at prevailing market prices for gross proceeds of \$35,432.62.

#### **Item 4. Purpose of Transaction**

*Item 4 is supplemented by the addition of the following information:* 

Other than the transactions described in Item 3, no Reporting Person or individual identified on Schedule B hereto has any present plan or proposal which would relate to, or could result in, any of the matters set forth in subparagraphs (a) (j) of Item 4 of Schedule 13D.

#### Item 5. Interest in Securities of the Issuer

*Item 5 is supplemented by the addition of the following information:* 

The aggregate percentage of Shares reported owned by each person named herein is based upon 23,510,855 Shares outstanding, which is the total number of Shares outstanding as of February 29, 2016, as set forth in the Company s Annual Report on Form 10-K filed with the Securities and Exchange Commission on March 30, 2016.

- (i) LANXESS Corporation
  - (a) As of the date hereof, LANXESS Corporation beneficially owns 0 Shares.

Percentage: Approximately 0.0%.

- (b) 1. Sole power to vote or direct vote: 0
  - 2. Shared power to vote or direct vote: 0

- 3. Sole power to dispose or direct the disposition: 04. Shared power to dispose or direct the disposition: 0

#### (ii) LANXESS AG

(a) As of the date hereof, as the parent company of LANXESS Corporation, LANXESS AG is deemed the beneficial owner of the 149,631 Shares owned by LANXESS Corporation.

Percentage: Approximately 0.0%.

- (b) 1. Sole power to vote or direct vote: 149,631
  - 2. Shared power to vote or direct vote: 0
  - 3. Sole power to dispose or direct the disposition: 0
  - 4. Shared power to dispose or direct the disposition: 0

Other than the transactions described in Item 3, no Reporting Person or, to the knowledge of the Reporting Persons, no person identified on Schedule B hereto effected any transactions in Shares during the past 60 days.

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: April 19, 2016

## **LANXESS** Corporation

By: /s/ Dr. Antonis Papadourakis Dr. Antonis Papadourakis President and Chief Executive Officer

#### LANXESS AG

By: /s/ Stephanie Cossmann Stephanie Cossman Head of General Law

By: /s/ Matthias Ruecker Matthias Ruecker Head of Corporate

#### **SCHEDULE B**

#### **Directors and Officers of LANXESS Corporation**

The name and current principal occupation of each director and executive officer of LANXESS Corporation is set forth below. Unless otherwise noted, each director and officer is a citizen of the United States and the business address of each director and executive officer is c/o 111 RIDC Park West Drive, Pittsburgh, PA 15275-1112.

Name Current Principal Occupation

Dr. Antonis Papadourakis Director, President and Chief Executive Officer

Dr. Rainier van Roessel Director; Member of the Board of Management (Labor Relations Director) of

Kennedyplatz1, 50569 LANXESS AG

Cologne, Germany

Citizen of the Netherlands

Michael Pontzen Director, Member of the Board of Management (Chief Financial Officer) of

LANXESS AG

Kennedyplatz1, 50569 Cologne, Germany

Citizen of Federal Republic of

Germany

Bruce R. Davis Treasurer

Todd A. Portzline Assistant Secretary

Dirk Fischer Vice President and Chief Financial Officer

Citizen of Federal Republic of

Germany

Lee H. Sjoberg General Counsel & Secretary

**Directors and Executive Officers of LANXESS AG** 

The name and current principal occupation of each member of the Board of Management and Supervisory Board of LANXESS Ag is set forth below. Unless otherwise noted, each such member is a citizen of the Federal Republic of Germany. The business address of each member of the Board of Management is Kennedyplatz1, 50569 Cologne, Germany.

#### **BOARD OF MANAGEMENT**

Name Current Principal Occupation

Matthias Zachert Chairman of the Board of Management

Dr. Hubert Fink Member of the Board of Management

Dr. Rainier van Roessel Member of the Board of Management (Labor Relations Director)

# Citizen of the Netherlands

Michael Pontzen Member of the Board of Management (Chief Financial Officer)

#### SUPERVISORY BOARD

Name	<b>Current Principal Occupation</b>
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Stockholder Representatives

Dr. Rolf Stomberg Chairman of the Supervisory Board Chairman of the Board of Directors of

Management Consulting Group plc.

Dr. Friedrich Janssen Formerly Member of the Executive Board of E.ON Ruhrgas AG, Essen

Dr. Matthias L. Wolfgruber Self-employed Consultant

Lawrence A. Rosen Member of the Board of Management of Deutsche Post AG

Claudia Nemat Member of the Board of Management of Deutsche Telekom AG

Theo H. Walthie Self-employed Consultant

Employee Representatives

Dr. Hans-Dieter Gerriets Chairman of the LANXESS Group Managerial Employees Committee and

Chairman of the LANXESS Managerial Employees Committee

Manuela Strauch Chairwoman of the LANXESS Works Council Uerdingen

Gisela Seidel Vice Chairwoman of the Supervisory Board Chairwoman of the Works Council

Dormagen

Werner Czaplik Chairman of the LANXESS Central Works Council

Thomas Meiers District Secretary of the General Mining, Chemical and Energy Industrial Union,

Cologne

Ralf Sikorski Member of the Executive Committee of the German Mining, Chemical and Energy

Industrial Union, Hannover

All of the above with postal address at Kennedyplatz1, 50569 Cologne, Germany