

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

AllianzGI Equity & Convertible Income Fund  
Form N-PX  
August 27, 2014

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

INVESTMENT COMPANY ACT FILE NUMBER: 811-21989

ALLIANZGI EQUITY & CONVERTIBLE INCOME FUND  
(EXACT NAME OF REGISTRANT AS SPECIFIED IN CHARTER)

1633 BROADWAY, NEW YORK, NY 10019  
(ADDRESS OF PRINCIPAL EXECUTIVE OFFICE)

ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
1633 BROADWAY  
NEW YORK, NY 10019  
(NAME AND ADDRESS OF AGENT FOR SERVICE)

REGISTRANT'S TELEPHONE NUMBER, INCLUDING AREA CODE: 212-739-3000

DATE OF FISCAL YEAR END: JANUARY 31

DATE OF REPORTING PERIOD: JULY 1, 2013 THROUGH JUNE 30, 2014

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ITEM 1. PROXY VOTING RECORD

Registrant : AllianzGI Equity & Convertible Income Fund

Fund Name : AllianzGI Equity & Convertible Income Fund

AGCO Corporation

| Ticker       | Security ID:            | Meeting Date | Meeting Status |     |           |             |
|--------------|-------------------------|--------------|----------------|-----|-----------|-------------|
| AGCO         | CUSIP 001084102         | 04/24/2014   | Voted          |     |           |             |
| Meeting Type | Country of Trade        | Proponent    | Mgmt           | Rec | Vote Cast | For/Against |
| Annual       | United States           |              |                |     |           | Mgmt        |
| Issue No.    | Description             | Proponent    | Mgmt           | Rec | Vote Cast | For/Against |
| 1            | Elect Roy V. Armes      | Mgmt         | For            |     | For       | For         |
| 2            | Elect Michael C. Arnold | Mgmt         | For            |     | For       | For         |
| 3            | Elect P. George Benson  | Mgmt         | For            |     | For       | For         |
| 4            | Elect Wolfgang Deml     | Mgmt         | For            |     | For       | For         |

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|    |   |      |     |     |     |
|----|---|------|-----|-----|-----|
| 5  | Elect Luiz Fernando Furlan              | Mgmt | For | For | For |
| 6  | Elect George E. Minnich                 | Mgmt | For | For | For |
| 7  | Elect Martin H. Richenhagen             | Mgmt | For | For | For |
| 8  | Elect Gerald L. Shaheen                 | Mgmt | For | For | For |
| 9  | Elect Mallika Srinivasan                | Mgmt | For | For | For |
| 10 | Elect Hendrikus Visser                  | Mgmt | For | For | For |
| 11 | Advisory Vote on Executive Compensation | Mgmt | For | For | For |
| 12 | Ratification of Auditor                 | Mgmt | For | For | For |

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### Amazon.com, Inc.

| Ticker       | Security ID:   | Meeting Date | Meeting Status |           |                  |
|--------------|--|--------------|----------------|-----------|------------------|
| AMZN         | CUSIP 023135106  | 05/21/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade   |              |                |           |                  |
| Annual       | United States  |              |                |           |                  |
| Issue No.    | Description  | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Jeffrey P. Bezos   | Mgmt         | For            | For       | For              |
| 2            | Elect Tom A. Alberg  | Mgmt         | For            | For       | For              |
| 3            | Elect John Seely Brown   | Mgmt         | For            | For       | For              |
| 4            | Elect William B. Gordon  | Mgmt         | For            | For       | For              |
| 5            | Elect Jamie S. Gorelick  | Mgmt         | For            | For       | For              |
| 6            | Elect Alain Monie  | Mgmt         | For            | For       | For              |
| 7            | Elect Jonathan Rubinstein  | Mgmt         | For            | For       | For              |
| 8            | Elect Thomas O. Ryder  | Mgmt         | For            | Against   | Against          |
| 9            | Elect Patricia Q. Stonesifer   | Mgmt         | For            | For       | For              |
| 10           | Ratification of Auditor  | Mgmt         | For            | For       | For              |
| 11           | Advisory Vote on Executive Compensation  | Mgmt         | For            | For       | For              |
| 12           | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHldr      | Against        | For       | Against          |

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### American International Group, Inc.

| Ticker       | Security ID:     | Meeting Date | Meeting Status |           |                  |
|--------------|------------------|--------------|----------------|-----------|------------------|
| AIG          | CUSIP 026874784  | 05/12/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade |              |                |           |                  |
| Annual       | United States    |              |                |           |                  |
| Issue No.    | Description      | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |

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|    |   |      |     |         |         |
|----|---|------|-----|---------|---------|
| 1  | Elect Robert H. Benmosche   | Mgmt | For | For     | For     |
| 2  | Elect W. Don Cornwell   | Mgmt | For | For     | For     |
| 3  | Elect Peter R. Fisher   | Mgmt | For | For     | For     |
| 4  | Elect John Fitzpatrick  | Mgmt | For | For     | For     |
| 5  | Elect William G. Jurgensen  | Mgmt | For | For     | For     |
| 6  | Elect Christopher S. Lynch  | Mgmt | For | For     | For     |
| 7  | Elect Arthur C. Martinez  | Mgmt | For | For     | For     |
| 8  | Elect George L. Miles, Jr.  | Mgmt | For | Against | Against |
| 9  | Elect Henry S. Miller   | Mgmt | For | For     | For     |
| 10 | Elect Robert S. Miller  | Mgmt | For | For     | For     |
| 11 | Elect Suzanne Nora Johnson  | Mgmt | For | For     | For     |
| 12 | Elect Ronald A. Rittenmeyer   | Mgmt | For | For     | For     |
| 13 | Elect Douglas M. Steenland  | Mgmt | For | For     | For     |
| 14 | Elect Theresa M. Stone  | Mgmt | For | For     | For     |
| 15 | Advisory Vote on Executive Compensation                                 | Mgmt | For | For     | For     |
| 16 | Restatement of Protective Amendment to the Certificate of Incorporation | Mgmt | For | For     | For     |
| 17 | Ratification of the Tax Asset Protection Plan                           | Mgmt | For | For     | For     |
| 18 | Ratification of Auditor   | Mgmt | For | For     | For     |

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AMGEN Inc.

| Ticker       | Security ID:                | Meeting Date | Meeting Status |           |                  |
|--------------|-----------------------------|--------------|----------------|-----------|------------------|
| AMGN         | CUSIP 031162100             | 05/15/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade            | Proponent    | Mgmt Rec       |           |                  |
| Annual       | United States               |              |                |           |                  |
| Issue No.    | Description                 | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect David Baltimore       | Mgmt         | For            | For       | For              |
| 2            | Elect Frank J. Biondi, Jr.  | Mgmt         | For            | For       | For              |
| 3            | Elect Robert A. Bradway     | Mgmt         | For            | For       | For              |
| 4            | Elect Francois de Carbonnel | Mgmt         | For            | For       | For              |
| 5            | Elect Vance D. Coffman      | Mgmt         | For            | Against   | Against          |

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|    |   |         |         |         |     |
|----|---|---------|---------|---------|-----|
| 6  | Elect Robert A. Eckert                              | Mgmt    | For     | For     | For |
| 7  | Elect Greg C. Garland                               | Mgmt    | For     | For     | For |
| 8  | Elect Rebecca M. Henderson                          | Mgmt    | For     | For     | For |
| 9  | Elect Frank C. Herringer                            | Mgmt    | For     | For     | For |
| 10 | Elect Tyler Jacks                                   | Mgmt    | For     | For     | For |
| 11 | Elect Judith C. Pelham                              | Mgmt    | For     | For     | For |
| 12 | Elect Ronald D. Sugar                               | Mgmt    | For     | For     | For |
| 13 | Ratification of Auditor                             | Mgmt    | For     | For     | For |
| 14 | Advisory Vote on Executive Compensation             | Mgmt    | For     | For     | For |
| 15 | Shareholder Proposal Regarding Counting Abstentions | ShrHldr | Against | Against | For |

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### Amphenol Corporation

|              |  |              |                |           |                  |
|--------------|--|--------------|----------------|-----------|------------------|
| Ticker       | Security ID:   | Meeting Date | Meeting Status |           |                  |
| APH          | CUSIP 032095101                                      | 05/21/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade                                     |              |                |           |                  |
| Annual       | United States  |              |                |           |                  |
| Issue No.    | Description  | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Ronald P. Badie                                | Mgmt         | For            | For       | For              |
| 2            | Elect Stanley L. Clark                               | Mgmt         | For            | For       | For              |
| 3            | Elect David P. Falck                                 | Mgmt         | For            | For       | For              |
| 4            | Elect Edward G. Jepsen                               | Mgmt         | For            | For       | For              |
| 5            | Elect Andrew E. Lietz                                | Mgmt         | For            | For       | For              |
| 6            | Elect Martin H. Loeffler                             | Mgmt         | For            | For       | For              |
| 7            | Elect John R. Lord                                   | Mgmt         | For            | For       | For              |
| 8            | Elect R. Adam Norwitt                                | Mgmt         | For            | For       | For              |
| 9            | Ratification of Auditor                              | Mgmt         | For            | For       | For              |
| 10           | 2014 Executive Incentive Plan                        | Mgmt         | For            | For       | For              |
| 11           | Amendment to the 2009 Stock Purchase and Option Plan | Mgmt         | For            | Against   | Against          |
| 12           | Advisory Vote on Executive Compensation              | Mgmt         | For            | For       | For              |

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### Apache Corporation

|              |                  |              |                |           |                  |
|--------------|------------------|--------------|----------------|-----------|------------------|
| Ticker       | Security ID:     | Meeting Date | Meeting Status |           |                  |
| APA          | CUSIP 037411105  | 05/15/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade |              |                |           |                  |
| Annual       | United States    |              |                |           |                  |
| Issue No.    | Description      | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |

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|   |   |      |     |     |     |
|---|---|------|-----|-----|-----|
| 1 | Elect G. Steven Farris                  | Mgmt | For | For | For |
| 2 | Elect A. D. Frazier, Jr.                | Mgmt | For | For | For |
| 3 | Elect Amy H. Nelson                     | Mgmt | For | For | For |
| 4 | Ratification of Auditor                 | Mgmt | For | For | For |
| 5 | Advisory Vote on Executive Compensation | Mgmt | For | For | For |
| 6 | Repeal of Classified Board              | Mgmt | For | For | For |

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### Apple Inc.

| Ticker       | Security ID:  | Meeting Date | Meeting Status |           |                  |
|--------------|---|--------------|----------------|-----------|------------------|
| AAPL         | CUSIP 037833100   | 02/28/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade  |              |                |           |                  |
| Annual       | United States   |              |                |           |                  |
| Issue No.    | Description   | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1.1          | Elect William V. Campbell   | Mgmt         | For            | For       | For              |
| 1.2          | Elect Timothy D. Cook   | Mgmt         | For            | For       | For              |
| 1.3          | Elect Millard S. Drexler  | Mgmt         | For            | For       | For              |
| 1.4          | Elect Albert A. Gore, Jr.   | Mgmt         | For            | For       | For              |
| 1.5          | Elect Robert A. Iger  | Mgmt         | For            | For       | For              |
| 1.6          | Elect Andrea Jung   | Mgmt         | For            | For       | For              |
| 1.7          | Elect Arthur D. Levinson  | Mgmt         | For            | For       | For              |
| 1.8          | Elect Ronald D. Sugar   | Mgmt         | For            | For       | For              |
| 2            | Technical Amendment to Articles   | Mgmt         | For            | For       | For              |
| 3            | Eliminate Blank Check Authority to Issue Preferred Stock                    | Mgmt         | For            | For       | For              |
| 4            | Establish a Par Value for the Company's Common Stock of \$0.00001 Per Share | Mgmt         | For            | For       | For              |
| 5            | Ratification of Auditor   | Mgmt         | For            | For       | For              |
| 6            | Advisory Vote on Executive Compensation                                     | Mgmt         | For            | For       | For              |
| 7            | 2014 Employee Stock Plan  | Mgmt         | For            | For       | For              |
| 8            | Shareholder Proposal Regarding Formation of Human Rights Committee          | ShrHldr      | Against        | Against   | For              |
| 9            | Shareholder Proposal Regarding  | ShrHldr      | Against        | Against   | For              |

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Sustainability-Related  
Trade Association  
Memberships

|    |   |         |         |         |     |
|----|---|---------|---------|---------|-----|
| 10 | Shareholder Proposal<br>Regarding Share<br>Buybacks | ShrHldr | N/A     | Abstain | N/A |
| 11 | Shareholder Proposal<br>Regarding Proxy<br>Access   | ShrHldr | Against | Against | For |

Archer-Daniels-Midland Company

|              |  |                  |                |           |                     |
|--------------|--|------------------|----------------|-----------|---------------------|
| Ticker       | Security ID:   | Meeting Date     | Meeting Status |           |                     |
| ADM          | CUSIP 039483102  | 05/01/2014       | Voted          |           |                     |
| Meeting Type |  | Country of Trade |                |           |                     |
| Annual       |  | United States    |                |           |                     |
| Issue No.    | Description  | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Alan L. Boeckmann  | Mgmt             | For            | For       | For                 |
| 2            | Elect Mollie Hale Carter   | Mgmt             | For            | For       | For                 |
| 3            | Elect Terrell K. Crews   | Mgmt             | For            | For       | For                 |
| 4            | Elect Pierre Dufour  | Mgmt             | For            | For       | For                 |
| 5            | Elect Donald E.<br>Felsingner                                      | Mgmt             | For            | For       | For                 |
| 6            | Elect Antonio dos<br>Santos Maciel<br>Neto                         | Mgmt             | For            | For       | For                 |
| 7            | Elect Patrick J. Moore   | Mgmt             | For            | For       | For                 |
| 8            | Elect Thomas F. O'Neill  | Mgmt             | For            | For       | For                 |
| 9            | Elect Francisco Sanchez  | Mgmt             | For            | For       | For                 |
| 10           | Elect Daniel Shih  | Mgmt             | For            | For       | For                 |
| 11           | Elect Kelvin R.<br>Westbrook                                       | Mgmt             | For            | For       | For                 |
| 12           | Elect Patricia A. Woertz   | Mgmt             | For            | For       | For                 |
| 13           | Ratification of Auditor  | Mgmt             | For            | For       | For                 |
| 14           | Advisory Vote on<br>Executive<br>Compensation                      | Mgmt             | For            | For       | For                 |
| 15           | Shareholder Proposal<br>Regarding Independent<br>Board<br>Chairman | ShrHldr          | Against        | For       | Against             |

Assured Guaranty Ltd.

|              |                 |                  |                |           |                     |
|--------------|-----------------|------------------|----------------|-----------|---------------------|
| Ticker       | Security ID:    | Meeting Date     | Meeting Status |           |                     |
| AGO          | CUSIP G0585R106 | 05/07/2014       | Voted          |           |                     |
| Meeting Type |                 | Country of Trade |                |           |                     |
| Annual       |                 | United States    |                |           |                     |
| Issue No.    | Description     | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |

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|      |  |      |     |          |         |
|------|--|------|-----|----------|---------|
| 1.1  | Elect Francisco L. Borges                      | Mgmt | For | Withhold | Against |
| 1.2  | Elect G. Lawrence Buhl                         | Mgmt | For | Withhold | Against |
| 1.3  | Elect Stephen A. Cozen                         | Mgmt | For | For      | For     |
| 1.4  | Elect Dominic J. Frederico                     | Mgmt | For | For      | For     |
| 1.5  | Elect Bonnie L. Howard                         | Mgmt | For | For      | For     |
| 1.6  | Elect Patrick W. Kenny                         | Mgmt | For | For      | For     |
| 1.7  | Elect Simon W. Leathes                         | Mgmt | For | For      | For     |
| 1.8  | Elect Robin Monro-Davies                       | Mgmt | For | For      | For     |
| 1.9  | Elect Michael T. O'Kane                        | Mgmt | For | For      | For     |
| 1.10 | Elect Yukiko Omura                             | Mgmt | For | For      | For     |
| 1.11 | Elect Wilbur L. Ross, Jr.                      | Mgmt | For | Withhold | Against |
| 1.12 | Elect Howard W. Albert                         | Mgmt | For | For      | For     |
| 1.13 | Elect Robert A. Bailenson                      | Mgmt | For | For      | For     |
| 1.14 | Elect Russell B. Brewer, II                    | Mgmt | For | For      | For     |
| 1.15 | Elect Gary Burnet                              | Mgmt | For | For      | For     |
| 1.16 | Elect Stephen Donnarumma                       | Mgmt | For | For      | For     |
| 1.17 | Elect Dominic J. Frederico                     | Mgmt | For | For      | For     |
| 1.18 | Elect James M. Michener                        | Mgmt | For | For      | For     |
| 1.19 | Elect Robert B. Mills                          | Mgmt | For | For      | For     |
| 2    | Advisory Vote on Executive Compensation        | Mgmt | For | Against  | Against |
| 3    | Amendment to the 2004 Long-Term Incentive Plan | Mgmt | For | Against  | Against |
| 4    | Ratification of Auditor                        | Mgmt | For | For      | For     |
| 5    | Ratification of Auditor for Company Subsidiary | Mgmt | For | For      | For     |

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Baxter International Inc.

| Ticker       | Security ID:                   | Meeting Date | Meeting Status |           |                  |
|--------------|--------------------------------|--------------|----------------|-----------|------------------|
| BAX          | CUSIP 071813109                | 05/06/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade               | Proponent    | Mgmt Rec       |           |                  |
| Annual       | United States                  |              |                |           |                  |
| Issue No.    | Description                    | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Wayne T. Hockmeyer       | Mgmt         | For            | For       | For              |
| 2            | Elect Robert L. Parkinson, Jr. | Mgmt         | For            | For       | For              |
| 3            | Elect Thomas T.                | Mgmt         | For            | For       | For              |

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|   |  |         |         |         |         |
|---|--|---------|---------|---------|---------|
|   | Stallkamp  |         |         |         |         |
| 4 | Elect Albert P.L.<br>Stroucken   | Mgmt    | For     | For     | For     |
| 5 | Ratification of Auditor  | Mgmt    | For     | For     | For     |
| 6 | Advisory Vote on<br>Executive<br>Compensation                                | Mgmt    | For     | For     | For     |
| 7 | Shareholder Proposal<br>Regarding Right to Act<br>by Written<br>Consent      | ShrHldr | Against | For     | Against |
| 8 | Shareholder Proposal<br>Regarding Retention of<br>Shares Until<br>Retirement | ShrHldr | Against | Against | For     |

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### Boeing Company

|              |  |                  |                |           |                     |
|--------------|--|------------------|----------------|-----------|---------------------|
| Ticker       | Security ID:                                     | Meeting Date     | Meeting Status |           |                     |
| BA           | CUSIP 097023105                                  | 04/28/2014       | Voted          |           |                     |
| Meeting Type |  | Country of Trade |                |           |                     |
| Annual       |  | United States    |                |           |                     |
| Issue No.    | Description                                      | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect David L. Calhoun                           | Mgmt             | For            | For       | For                 |
| 2            | Elect Arthur D.<br>Collins,<br>Jr.               | Mgmt             | For            | For       | For                 |
| 3            | Elect Linda Z. Cook                              | Mgmt             | For            | For       | For                 |
| 4            | Elect Kenneth M.<br>Duberstein                   | Mgmt             | For            | For       | For                 |
| 5            | Elect Edmund P.<br>Giambastiani,<br>Jr.          | Mgmt             | For            | For       | For                 |
| 6            | Elect Lawrence W.<br>Kellner                     | Mgmt             | For            | For       | For                 |
| 7            | Elect Edward M. Liddy                            | Mgmt             | For            | For       | For                 |
| 8            | Elect W. James<br>McNerney,<br>Jr.               | Mgmt             | For            | For       | For                 |
| 9            | Elect Susan C. Schwab                            | Mgmt             | For            | For       | For                 |
| 10           | Elect Ronald A. Williams                         | Mgmt             | For            | For       | For                 |
| 11           | Elect Mike S. Zafirovski                         | Mgmt             | For            | For       | For                 |
| 12           | Advisory Vote on<br>Executive<br>Compensation    | Mgmt             | For            | For       | For                 |
| 13           | Amendment to the 2003<br>Incentive Stock<br>Plan | Mgmt             | For            | For       | For                 |

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|    |   |         |         |         |         |
|----|---|---------|---------|---------|---------|
| 14 | Ratification of Auditor   | Mgmt    | For     | For     | For     |
| 15 | Shareholder Proposal<br>Regarding Lobbying<br>Report                    | ShrHldr | Against | Against | For     |
| 16 | Shareholder Proposal<br>Regarding Right to Act<br>by Written<br>Consent | ShrHldr | Against | For     | Against |
| 17 | Shareholder Proposal<br>Regarding Independent<br>Board<br>Chairman      | ShrHldr | Against | For     | Against |

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### Bristol-Myers Squibb Company

| Ticker       | Security ID:   | Meeting Date | Meeting Status      |           |                     |
|--------------|--|--------------|---------------------|-----------|---------------------|
| BMJ          | CUSIP 110122207  | 05/06/2014   | Voted               |           |                     |
| Meeting Type | Country of Trade   | Proponent    | Mgmt Rec            |           |                     |
| Annual       | United States  | Vote Cast    | For/Against<br>Mgmt |           |                     |
| Issue No.    | Description  | Proponent    | Mgmt Rec            | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Lamberto<br>Andreotti                                  | Mgmt         | For                 | For       | For                 |
| 2            | Elect Lewis B. Campbell                                      | Mgmt         | For                 | Against   | Against             |
| 3            | Elect James M. Cornelius                                     | Mgmt         | For                 | For       | For                 |
| 4            | Elect Laurie H. Glimcher                                     | Mgmt         | For                 | For       | For                 |
| 5            | Elect Michael Grobstein                                      | Mgmt         | For                 | For       | For                 |
| 6            | Elect Alan J. Lacy   | Mgmt         | For                 | For       | For                 |
| 7            | Elect Thomas J. Lynch  | Mgmt         | For                 | For       | For                 |
| 8            | Elect Dinesh Paliwal   | Mgmt         | For                 | For       | For                 |
| 9            | Elect Vicki L. Sato  | Mgmt         | For                 | For       | For                 |
| 10           | Elect Gerald L. Storch                                       | Mgmt         | For                 | For       | For                 |
| 11           | Elect Togo D. West, Jr.                                      | Mgmt         | For                 | For       | For                 |
| 12           | Ratification of Auditor                                      | Mgmt         | For                 | For       | For                 |
| 13           | Advisory Vote on<br>Executive<br>Compensation                | Mgmt         | For                 | Against   | Against             |
| 14           | Shareholder Proposal<br>Regarding Simple<br>Majority<br>Vote | ShrHldr      | Against             | For       | Against             |

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### Cisco Systems, Inc.

| Ticker       | Security ID:           | Meeting Date | Meeting Status      |           |                     |
|--------------|------------------------|--------------|---------------------|-----------|---------------------|
| CSCO         | CUSIP 17275R102        | 11/19/2013   | Voted               |           |                     |
| Meeting Type | Country of Trade       | Proponent    | Mgmt Rec            |           |                     |
| Annual       | United States          | Vote Cast    | For/Against<br>Mgmt |           |                     |
| Issue No.    | Description            | Proponent    | Mgmt Rec            | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Carol A. Bartz   | Mgmt         | For                 | For       | For                 |
| 2            | Elect Marc Benioff     | Mgmt         | For                 | For       | For                 |
| 3            | Elect Gregory Q. Brown | Mgmt         | For                 | For       | For                 |

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|    |   |         |         |         |         |
|----|---|---------|---------|---------|---------|
| 4  | Elect M. Michele Burns                              | Mgmt    | For     | For     | For     |
| 5  | Elect Michael D. Capellas                           | Mgmt    | For     | For     | For     |
| 6  | Elect John T. Chambers                              | Mgmt    | For     | For     | For     |
| 7  | Elect Brian L. Halla                                | Mgmt    | For     | For     | For     |
| 8  | Elect John L. Hennessy                              | Mgmt    | For     | Against | Against |
| 9  | Elect Kristina M. Johnson                           | Mgmt    | For     | For     | For     |
| 10 | Elect Roderick C. McGeary                           | Mgmt    | For     | For     | For     |
| 11 | Elect Arun Sarin                                    | Mgmt    | For     | For     | For     |
| 12 | Elect Steven M. West                                | Mgmt    | For     | For     | For     |
| 13 | Amendment to the 2005 Stock Incentive Plan          | Mgmt    | For     | For     | For     |
| 14 | Advisory Vote on Executive Compensation             | Mgmt    | For     | For     | For     |
| 15 | Ratification of Auditor                             | Mgmt    | For     | For     | For     |
| 16 | Shareholder Proposal Regarding Proxy Advice Contest | ShrHldr | Against | Against | For     |

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Coca-Cola Company

| Ticker       | Security ID:                            | Meeting Date | Meeting Status |           |                  |
|--------------|---|--------------|----------------|-----------|------------------|
| KO           | CUSIP 19126100                          | 04/23/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade                        |              |                |           |                  |
| Annual       | United States                           |              |                |           |                  |
| Issue No.    | Description                             | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Herbert A. Allen                  | Mgmt         | For            | For       | For              |
| 2            | Elect Ronald W. Allen                   | Mgmt         | For            | For       | For              |
| 3            | Elect Ana Botin                         | Mgmt         | For            | For       | For              |
| 4            | Elect Howard G. Buffett                 | Mgmt         | For            | For       | For              |
| 5            | Elect Richard M. Daley                  | Mgmt         | For            | For       | For              |
| 6            | Elect Barry Diller                      | Mgmt         | For            | Against   | Against          |
| 7            | Elect Helene D. Gayle                   | Mgmt         | For            | For       | For              |
| 8            | Elect Evan G. Greenberg                 | Mgmt         | For            | For       | For              |
| 9            | Elect Alexis M. Herman                  | Mgmt         | For            | For       | For              |
| 10           | Elect Muhtar Kent                       | Mgmt         | For            | For       | For              |
| 11           | Elect Robert A. Kotick                  | Mgmt         | For            | For       | For              |
| 12           | Elect Maria Elena Lagomasino            | Mgmt         | For            | For       | For              |
| 13           | Elect Sam Nunn                          | Mgmt         | For            | For       | For              |
| 14           | Elect James D. Robinson III             | Mgmt         | For            | For       | For              |
| 15           | Elect Peter V. Ueberroth                | Mgmt         | For            | For       | For              |
| 16           | Advisory Vote on Executive Compensation | Mgmt         | For            | For       | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 17 | 2014 Equity Plan   | Mgmt    | For     | Against | Against |
| 18 | Ratification of Auditor  | Mgmt    | For     | For     | For     |
| 19 | Shareholder Proposal<br>Regarding Independent<br>Board<br>Chairman | ShrHldr | Against | For     | Against |

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### Comcast Corporation

|              |   |              |                |           |                     |
|--------------|---|--------------|----------------|-----------|---------------------|
| Ticker       | Security ID:  | Meeting Date | Meeting Status |           |                     |
| CMCSA        | CUSIP 20030N200   | 05/21/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade  |              |                |           |                     |
| Annual       | United States   |              |                |           |                     |
| Issue No.    | Description   | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1.1          | Elect Kenneth J. Bacon  | Mgmt         | For            | For       | For                 |
| 1.2          | Elect Sheldon M.<br>Bonovitz  | Mgmt         | For            | For       | For                 |
| 1.3          | Elect Edward D. Breen   | Mgmt         | For            | For       | For                 |
| 1.4          | Elect Joseph J. Collins   | Mgmt         | For            | Withhold  | Against             |
| 1.5          | Elect J. Michael Cook   | Mgmt         | For            | For       | For                 |
| 1.6          | Elect Gerald L. Hassell   | Mgmt         | For            | Withhold  | Against             |
| 1.7          | Elect Jeffrey A.<br>Honickman   | Mgmt         | For            | For       | For                 |
| 1.8          | Elect Eduardo G. Mestre   | Mgmt         | For            | For       | For                 |
| 1.9          | Elect Brian L. Roberts  | Mgmt         | For            | For       | For                 |
| 1.10         | Elect Ralph J. Roberts  | Mgmt         | For            | For       | For                 |
| 1.11         | Elect Johnathan A.<br>Rodgers   | Mgmt         | For            | For       | For                 |
| 1.12         | Elect Judith Rodin  | Mgmt         | For            | Withhold  | Against             |
| 2            | Ratification of Auditor   | Mgmt         | For            | For       | For                 |
| 3            | Advisory Vote on<br>Executive<br>Compensation   | Mgmt         | For            | Against   | Against             |
| 4            | Shareholder Proposal<br>Regarding Lobbying<br>Report  | ShrHldr      | Against        | Against   | For                 |
| 5            | Shareholder Proposal<br>Regarding Compensation<br>in the Event of a<br>Change in<br>Control | ShrHldr      | Against        | For       | Against             |

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### Costco Wholesale Corporation

|              |                       |              |                |           |                     |
|--------------|-----------------------|--------------|----------------|-----------|---------------------|
| Ticker       | Security ID:          | Meeting Date | Meeting Status |           |                     |
| COST         | CUSIP 22160K105       | 01/30/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade      |              |                |           |                     |
| Annual       | United States         |              |                |           |                     |
| Issue No.    | Description           | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1.1          | Elect Susan L. Decker | Mgmt         | For            | For       | For                 |
| 1.2          | Elect Richard M.      | Mgmt         | For            | Withhold  | Against             |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Libenson

|     |  |         |         |          |         |
|-----|--|---------|---------|----------|---------|
| 1.3 | Elect John W. Meisenbach                                     | Mgmt    | For     | Withhold | Against |
| 1.4 | Elect Charles T. Munger                                      | Mgmt    | For     | For      | For     |
| 2   | Ratification of Auditor                                      | Mgmt    | For     | For      | For     |
| 3   | Advisory Vote on<br>Executive<br>Compensation                | Mgmt    | For     | For      | For     |
| 4   | Shareholder Proposal<br>Regarding Simple<br>Majority<br>Vote | ShrHldr | Against | For      | Against |
| 5   | Repeal of Classified<br>Board                                | Mgmt    | Abstain | For      | Against |

Deere & Company

|              |                                      |              |                     |
|--------------|--------------------------------------|--------------|---------------------|
| Ticker       | Security ID:                         | Meeting Date | Meeting Status      |
| DE           | CUSIP 244199105                      | 02/26/2014   | Voted               |
| Meeting Type | Country of Trade                     |              |                     |
| Annual       | United States                        |              |                     |
| Issue No.    | Description                          | Proponent    | Mgmt Rec            |
|              |                                      |              | Vote Cast           |
|              |                                      |              | For/Against<br>Mgmt |
| 1            | Elect Samuel R. Allen                | Mgmt         | For                 |
| 2            | Elect Crandall C. Bowles             | Mgmt         | For                 |
| 3            | Elect Vance D. Coffman               | Mgmt         | For                 |
| 4            | Elect Charles O.<br>Holliday,<br>Jr. | Mgmt         | For                 |

Deere & Company

|              |   |              |                     |
|--------------|---|--------------|---------------------|
| Ticker       | Security ID:                                  | Meeting Date | Meeting Status      |
| DE           | CUSIP 2.44199e+008                            | 02/26/2014   | Voted               |
| Meeting Type | Country of Trade                              |              |                     |
| Annual       | United States                                 |              |                     |
| Issue No.    | Description                                   | Proponent    | Mgmt Rec            |
|              |   |              | Vote Cast           |
|              |   |              | For/Against<br>Mgmt |
| 5            | Elect Dipak C. Jain                           | Mgmt         | For                 |
| 6            | Elect Clayton M. Jones                        | Mgmt         | For                 |
| 7            | Elect Joachim Milberg                         | Mgmt         | For                 |
| 8            | Elect Richard B. Myers                        | Mgmt         | For                 |
| 9            | Elect Gregory R. Page                         | Mgmt         | For                 |
| 10           | Elect Thomas H. Patrick                       | Mgmt         | For                 |
| 11           | Elect Sherry M. Smith                         | Mgmt         | For                 |
| 12           | Advisory Vote on<br>Executive<br>Compensation | Mgmt         | For                 |
| 13           | Ratification of Auditor                       | Mgmt         | For                 |

Diamond Offshore Drilling, Inc.

|        |                 |              |                |
|--------|-----------------|--------------|----------------|
| Ticker | Security ID:    | Meeting Date | Meeting Status |
| DO     | CUSIP 25271C102 | 05/20/2014   | Voted          |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

| Meeting Type |   | Country of Trade |          |           |                  |
|--------------|---|------------------|----------|-----------|------------------|
| Annual       |   | United States    |          |           |                  |
| Issue No.    | Description   | Proponent        | Mgmt Rec | Vote Cast | For/Against Mgmt |
| 1            | Elect James S. Tisch  | Mgmt             | For      | Against   | Against          |
| 2            | Elect Marc Edwards  | Mgmt             | For      | For       | For              |
| 3            | Elect John R. Bolton  | Mgmt             | For      | For       | For              |
| 4            | Elect Charles L. Fabrikant  | Mgmt             | For      | For       | For              |
| 5            | Elect Paul G. Gaffney II  | Mgmt             | For      | For       | For              |
| 6            | Elect Edward Grebow   | Mgmt             | For      | For       | For              |
| 7            | Elect Herbert C. Hofmann  | Mgmt             | For      | For       | For              |
| 8            | Elect Kenneth I. Siegel   | Mgmt             | For      | For       | For              |
| 9            | Elect Clifford M Sobel  | Mgmt             | For      | For       | For              |
| 10           | Elect Andrew H. Tisch   | Mgmt             | For      | For       | For              |
| 11           | Elect Raymond S. Troubh   | Mgmt             | For      | For       | For              |
| 12           | Ratification of Auditor   | Mgmt             | For      | For       | For              |
| 13           | Advisory Vote on Executive Compensation                             | Mgmt             | For      | For       | For              |
| 14           | Amendment to the Incentive Compensation Plan for Executive Officers | Mgmt             | For      | For       | For              |
| 15           | Equity Incentive Compensation Plan                                  | Mgmt             | For      | For       | For              |
| 16           | Shareholder Proposal Regarding Board Diversity                      | ShrHldr          | Against  | Against   | For              |

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### EMC Corporation

| Ticker       | Security ID:                            | Meeting Date     | Meeting Status |           |                  |
|--------------|---|------------------|----------------|-----------|------------------|
| EMC          | CUSIP 268648102                         | 04/30/2014       | Voted          |           |                  |
| Meeting Type |   | Country of Trade |                |           |                  |
| Annual       |   | United States    |                |           |                  |
| Issue No.    | Description                             | Proponent        | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Michael W. Brown                  | Mgmt             | For            | For       | For              |
| 2            | Elect Randolph L. Cowen                 | Mgmt             | For            | For       | For              |
| 3            | Elect Gail Deegan                       | Mgmt             | For            | For       | For              |
| 4            | Elect James S. DiStasio                 | Mgmt             | For            | For       | For              |
| 5            | Elect John R. Egan                      | Mgmt             | For            | For       | For              |
| 6            | Elect William D. Green                  | Mgmt             | For            | For       | For              |
| 7            | Elect Edmund F. Kelly                   | Mgmt             | For            | For       | For              |
| 8            | Elect Jami Miscik                       | Mgmt             | For            | For       | For              |
| 9            | Elect Paul Sagan                        | Mgmt             | For            | For       | For              |
| 10           | Elect David N. Strohm                   | Mgmt             | For            | For       | For              |
| 11           | Elect Joseph M. Tucci                   | Mgmt             | For            | For       | For              |
| 12           | Ratification of Auditor                 | Mgmt             | For            | For       | For              |
| 13           | Advisory Vote on Executive Compensation | Mgmt             | For            | For       | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 14 | Shareholder Proposal<br>Regarding Independent<br>Board<br>Chairman                       | ShrHldr | Against | For     | Against |
| 15 | Shareholder Proposal<br>Regarding<br>Incorporation of<br>Values in Political<br>Spending | ShrHldr | Against | Against | For     |

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### Entergy Corporation

|              |                 |                  |                |           |                     |
|--------------|-----------------|------------------|----------------|-----------|---------------------|
| Ticker       | Security ID:    | Meeting Date     | Meeting Status |           |                     |
| ETR          | CUSIP 29364G103 | 05/02/2014       | Voted          |           |                     |
| Meeting Type |                 | Country of Trade |                |           |                     |
| Annual       |                 | United States    |                |           |                     |
| Issue No.    | Description     | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |

|    |   |         |         |         |     |
|----|---|---------|---------|---------|-----|
| 1  | Election of Directors   | Mgmt    | For     | For     | For |
| 2  | Elect Maureen S. Bateman  | Mgmt    | For     | For     | For |
| 3  | Elect Leo P. Denault  | Mgmt    | For     | For     | For |
| 4  | Elect Kirkland H. Donald  | Mgmt    | For     | For     | For |
| 5  | Elect Gary W. Edwards   | Mgmt    | For     | For     | For |
| 6  | Elect Alexis M. Herman  | Mgmt    | For     | For     | For |
| 7  | Elect Donald C. Hintz   | Mgmt    | For     | For     | For |
| 8  | Elect Stuart L. Levenick  | Mgmt    | For     | For     | For |
| 9  | Elect Blanche L. Lincoln  | Mgmt    | For     | For     | For |
| 10 | Elect Stewart C. Myers  | Mgmt    | For     | For     | For |
| 11 | Elect Steven V.<br>Wilkinson  | Mgmt    | For     | For     | For |
| 12 | Ratification of Auditor   | Mgmt    | For     | For     | For |
| 13 | Advisory Vote on<br>Executive<br>Compensation   | Mgmt    | For     | For     | For |
| 14 | Shareholder Proposal<br>Regarding<br>Decommissioning of<br>Indian Point Nuclear<br>Reactors | ShrHldr | Against | Against | For |
| 15 | Shareholder Proposal<br>Regarding Reporting on<br>Nuclear<br>Safety                         | ShrHldr | Against | Against | For |

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### Exelon Corporation

|              |                              |                  |                |           |                     |
|--------------|------------------------------|------------------|----------------|-----------|---------------------|
| Ticker       | Security ID:                 | Meeting Date     | Meeting Status |           |                     |
| EXC          | CUSIP 30161N101              | 05/06/2014       | Voted          |           |                     |
| Meeting Type |                              | Country of Trade |                |           |                     |
| Annual       |                              | United States    |                |           |                     |
| Issue No.    | Description                  | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Anthony K.<br>Anderson | Mgmt             | For            | For       | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |   |         |         |         |         |
|----|---|---------|---------|---------|---------|
| 2  | Elect Ann C. Berzin   | Mgmt    | For     | For     | For     |
| 3  | Elect John A. Canning<br>Jr.  | Mgmt    | For     | For     | For     |
| 4  | Elect Christopher M.<br>Crane                                       | Mgmt    | For     | For     | For     |
| 5  | Elect Yves C. de Balmann  | Mgmt    | For     | For     | For     |
| 6  | Elect Nicholas<br>DeBenedictis                                      | Mgmt    | For     | For     | For     |
| 7  | Elect Nelson A. Diaz  | Mgmt    | For     | For     | For     |
| 8  | Elect Sue Ling Gin  | Mgmt    | For     | For     | For     |
| 9  | Elect Paul L. Joskow  | Mgmt    | For     | For     | For     |
| 10 | Elect Robert J. Lawless   | Mgmt    | For     | For     | For     |
| 11 | Elect Richard W. Mies   | Mgmt    | For     | For     | For     |
| 12 | Elect William C.<br>Richardson                                      | Mgmt    | For     | For     | For     |
| 13 | Elect John W. Rogers,<br>Jr.  | Mgmt    | For     | For     | For     |
| 14 | Elect Mayo A. Shattuck<br>III                                       | Mgmt    | For     | For     | For     |
| 15 | Elect Stephen D.<br>Steinour  | Mgmt    | For     | For     | For     |
| 16 | Ratification of Auditor   | Mgmt    | For     | For     | For     |
| 17 | Advisory Vote on<br>Executive<br>Compensation                       | Mgmt    | For     | Against | Against |
| 18 | Renewal of the Annual<br>Incentive Plan for<br>Senior<br>Executives | Mgmt    | For     | For     | For     |
| 19 | Shareholder Proposal<br>Regarding Pay<br>Ratio                      | ShrHldr | Against | Against | For     |

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### Fluor Corporation

| Ticker       | Security ID:                  | Meeting Date | Meeting Status |           |                     |
|--------------|-------------------------------|--------------|----------------|-----------|---------------------|
| FLR          | CUSIP 343412102               | 05/01/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade              |              |                |           |                     |
| Annual       | United States                 |              |                |           |                     |
| Issue No.    | Description                   | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Peter K. Barker         | Mgmt         | For            | For       | For                 |
| 2            | Elect Alan M. Bennett         | Mgmt         | For            | For       | For                 |
| 3            | Elect Rosemary T.<br>Berkery  | Mgmt         | For            | For       | For                 |
| 4            | Elect Peter J. Fluor          | Mgmt         | For            | Against   | Against             |
| 5            | Elect James T. Hackett        | Mgmt         | For            | For       | For                 |
| 6            | Elect Deborah D.<br>McWhinney | Mgmt         | For            | For       | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 7  | Elect Dean R. O'Hare   | Mgmt    | For     | Against | Against |
| 8  | Elect Armando J. Olivera   | Mgmt    | For     | For     | For     |
| 9  | Elect Joseph W. Prueher  | Mgmt    | For     | For     | For     |
| 10 | Elect Matthew K. Rose  | Mgmt    | For     | For     | For     |
| 11 | Elect David T. Seaton  | Mgmt    | For     | For     | For     |
| 12 | Elect Nader H. Sultan  | Mgmt    | For     | For     | For     |
| 13 | Elect Lynn C. Swann  | Mgmt    | For     | For     | For     |
| 14 | Advisory Vote on<br>Executive<br>Compensation                      | Mgmt    | For     | For     | For     |
| 15 | Director Restricted<br>Stock<br>Plan                               | Mgmt    | For     | For     | For     |
| 16 | Ratification of Auditor  | Mgmt    | For     | For     | For     |
| 17 | Shareholder Proposal<br>Regarding Independent<br>Board<br>Chairman | ShrHldr | Against | For     | Against |

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### Ford Motor Company

| Ticker       | Security ID:                       | Meeting Date | Meeting Status |           |                     |
|--------------|------------------------------------|--------------|----------------|-----------|---------------------|
| F            | CUSIP 345370860                    | 05/08/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade                   |              |                |           |                     |
| Annual       | United States                      |              |                |           |                     |
| Issue No.    | Description                        | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Stephen G. Butler            | Mgmt         | For            | For       | For                 |
| 2            | Elect Kimberly A.<br>Casiano       | Mgmt         | For            | For       | For                 |
| 3            | Elect Anthony F.<br>Earley,<br>Jr. | Mgmt         | For            | Against   | Against             |
| 4            | Elect Edsel B. Ford II             | Mgmt         | For            | Against   | Against             |
| 5            | Elect William C. Ford,<br>Jr.      | Mgmt         | For            | For       | For                 |
| 6            | Elect Richard A.<br>Gephardt       | Mgmt         | For            | For       | For                 |
| 7            | Elect James P. Hackett             | Mgmt         | For            | For       | For                 |
| 8            | Elect James H. Hance,<br>Jr.       | Mgmt         | For            | For       | For                 |
| 9            | Elect William W. Helman<br>IV      | Mgmt         | For            | For       | For                 |
| 10           | Elect Jon M. Huntsman,<br>Jr.      | Mgmt         | For            | Against   | Against             |
| 11           | Elect John C. Lechleiter           | Mgmt         | For            | For       | For                 |
| 12           | Elect Ellen R. Marram              | Mgmt         | For            | Against   | Against             |
| 13           | Elect Alan Mulally                 | Mgmt         | For            | For       | For                 |
| 14           | Elect Homer A. Neal                | Mgmt         | For            | For       | For                 |
| 15           | Elect Gerald L. Shaheen            | Mgmt         | For            | For       | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 16 | Elect John L. Thornton   | Mgmt    | For     | Against | Against |
| 17 | Ratification of Auditor  | Mgmt    | For     | For     | For     |
| 18 | Advisory Vote on Executive Compensation                        | Mgmt    | For     | Against | Against |
| 19 | 2014 Stock Plan for Non-Employee Directors                     | Mgmt    | For     | For     | For     |
| 20 | Shareholder Proposal Regarding Recapitalization                | ShrHldr | Against | For     | Against |
| 21 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against | For     | Against |

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### Freeport-McMoran Copper & Gold Inc.

| Ticker       | Security ID:                            | Meeting Date     | Meeting Status |           |             |      |
|--------------|---|------------------|----------------|-----------|-------------|------|
| FCX          | CUSIP 35671D857                         | 06/17/2014       | Voted          |           |             |      |
| Meeting Type | Annual                                  | Country of Trade | United States  |           |             |      |
| Issue No.    | Description                             | Proponent        | Mgmt Rec       | Vote Cast | For/Against | Mgmt |
| 1.1          | Elect Richard C. Adkerson               | Mgmt             | For            | For       | For         |      |
| 1.2          | Elect Robert J. Allison, Jr.            | Mgmt             | For            | For       | For         |      |
| 1.3          | Elect Alan R. Buckwalter, III           | Mgmt             | For            | For       | For         |      |
| 1.4          | Elect Robert A. Day                     | Mgmt             | For            | For       | For         |      |
| 1.5          | Elect James C. Flores                   | Mgmt             | For            | For       | For         |      |
| 1.6          | Elect Gerald J. Ford                    | Mgmt             | For            | For       | For         |      |
| 1.7          | Elect Thomas A. Fry III                 | Mgmt             | For            | For       | For         |      |
| 1.8          | Elect H. Devon Graham, Jr.              | Mgmt             | For            | For       | For         |      |
| 1.9          | Elect Lydia H. Kennard                  | Mgmt             | For            | For       | For         |      |
| 1.10         | Elect Charles C. Krulak                 | Mgmt             | For            | For       | For         |      |
| 1.11         | Elect Bobby Lee Lackey                  | Mgmt             | For            | For       | For         |      |
| 1.12         | Elect Jon C. Madonna                    | Mgmt             | For            | For       | For         |      |
| 1.13         | Elect Dustan E. McCoy                   | Mgmt             | For            | For       | For         |      |
| 1.14         | Elect James R. Moffett                  | Mgmt             | For            | For       | For         |      |
| 1.15         | Elect Stephen H. Siegele                | Mgmt             | For            | For       | For         |      |
| 1.16         | Elect Frances Fragos Townsend           | Mgmt             | For            | For       | For         |      |
| 2            | Advisory Vote on Executive Compensation | Mgmt             | For            | For       | For         |      |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|   |   |         |         |         |     |
|---|---|---------|---------|---------|-----|
| 3 | Ratification of Auditor   | Mgmt    | For     | For     | For |
| 4 | Annual Incentive Plan   | Mgmt    | For     | For     | For |
| 5 | Shareholder Proposal<br>Regarding<br>Environmental<br>Expertise on<br>Board | ShrHldr | Against | Against | For |

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### Freeport-McMoran Copper & Gold Inc.

|              |   |                  |                |           |                     |
|--------------|---|------------------|----------------|-----------|---------------------|
| Ticker       | Security ID:  | Meeting Date     | Meeting Status |           |                     |
| FCX          | CUSIP 35671D857   | 07/16/2013       | Voted          |           |                     |
| Meeting Type |   | Country of Trade |                |           |                     |
| Annual       |   | United States    |                |           |                     |
| Issue No.    | Description   | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1.1          | Elect Richard C.<br>Adkerson  | Mgmt             | For            | For       | For                 |
| 1.2          | Elect Robert J.<br>Allison,<br>Jr.  | Mgmt             | For            | Withhold  | Against             |
| 1.3          | Elect Alan R.<br>Buckwalter,<br>III   | Mgmt             | For            | For       | For                 |
| 1.4          | Elect Robert A. Day   | Mgmt             | For            | Withhold  | Against             |
| 1.5          | Elect James C. Flores   | Mgmt             | For            | For       | For                 |
| 1.6          | Elect Gerald J. Ford  | Mgmt             | For            | Withhold  | Against             |
| 1.7          | Elect Thomas A. Fry III   | Mgmt             | For            | For       | For                 |
| 1.8          | Elect H. Devon Graham,<br>Jr.   | Mgmt             | For            | For       | For                 |
| 1.9          | Elect Charles C. Krulak   | Mgmt             | For            | For       | For                 |
| 1.10         | Elect Bobby Lee Lackey  | Mgmt             | For            | For       | For                 |
| 1.11         | Elect Jon C. Madonna  | Mgmt             | For            | For       | For                 |
| 1.12         | Elect Dustan E. McCoy   | Mgmt             | For            | For       | For                 |
| 1.13         | Elect James R. Moffett  | Mgmt             | For            | For       | For                 |
| 1.14         | Elect B. M. Rankin, Jr.   | Mgmt             | For            | Withhold  | Against             |
| 1.15         | Elect Stephen H. Siegele  | Mgmt             | For            | For       | For                 |
| 2            | Advisory Vote on<br>Executive<br>Compensation                               | Mgmt             | For            | Against   | Against             |
| 3            | Ratification of Auditor   | Mgmt             | For            | For       | For                 |
| 4            | Shareholder Proposal<br>Regarding<br>Environmental<br>Expertise on<br>Board | ShrHldr          | Against        | Against   | For                 |
| 5            | Shareholder Proposal<br>Regarding Independent<br>Board<br>Chairman          | ShrHldr          | Against        | For       | Against             |
| 6            | Shareholder Proposal<br>Regarding Board<br>Diversity                        | ShrHldr          | Against        | Against   | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 7  | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against | For     | Against |
| 9  | Elect Wayne M. Hewett  | Mgmt    | For     | For     | For     |
| 10 | Elect Karen Katen  | Mgmt    | For     | For     | For     |
| 11 | Elect Mark Vadon   | Mgmt    | For     | For     | For     |
| 12 | Ratification of Auditor  | Mgmt    | For     | For     | For     |
| 13 | Advisory Vote on Executive Compensation                        | Mgmt    | For     | For     | For     |
| 14 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against | For     | Against |
| 15 | Shareholder Proposal Regarding Employment Diversity Report     | ShrHldr | Against | Against | For     |

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### General Electric Company

| Ticker       | Security ID:                | Meeting Date | Meeting Status |           |                  |
|--------------|-----------------------------|--------------|----------------|-----------|------------------|
| GE           | CUSIP 369604103             | 04/23/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade            | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| Annual       | United States               |              |                |           |                  |
| Issue No.    | Description                 | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect W. Geoffrey Beattie   | Mgmt         | For            | For       | For              |
| 2            | Elect John J. Brennan       | Mgmt         | For            | For       | For              |
| 3            | Elect James I. Cash, Jr.    | Mgmt         | For            | For       | For              |
| 4            | Elect Francisco D'Souza     | Mgmt         | For            | For       | For              |
| 5            | Elect Marijn E. Dekkers     | Mgmt         | For            | For       | For              |
| 6            | Elect Ann M. Fudge          | Mgmt         | For            | For       | For              |
| 7            | Elect Susan Hockfield       | Mgmt         | For            | For       | For              |
| 8            | Elect Jeffrey R. Immelt     | Mgmt         | For            | For       | For              |
| 9            | Elect Andrea Jung           | Mgmt         | For            | For       | For              |
| 10           | Elect Robert W. Lane        | Mgmt         | For            | For       | For              |
| 11           | Elect Rochelle B. Lazarus   | Mgmt         | For            | For       | For              |
| 12           | Elect James J. Mulva        | Mgmt         | For            | For       | For              |
| 13           | Elect James E. Rohr         | Mgmt         | For            | For       | For              |
| 14           | Elect Mary L. Schapiro      | Mgmt         | For            | For       | For              |
| 15           | Elect Robert J. Swieringa   | Mgmt         | For            | For       | For              |
| 16           | Elect James S. Tisch        | Mgmt         | For            | Against   | Against          |
| 17           | Elect Douglas A. Warner III | Mgmt         | For            | For       | For              |
| 18           | Advisory Vote on Executive  | Mgmt         | For            | For       | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

### Compensation

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 19 | Ratification of Auditor  | Mgmt    | For     | For     | For     |
| 20 | Shareholder Proposal<br>Regarding Cumulative<br>Voting                             | ShrHldr | Against | Against | For     |
| 21 | Shareholder Proposal<br>Regarding Retention of<br>Shares                           | ShrHldr | Against | Against | For     |
| 22 | Shareholder Proposal<br>Regarding Double Board<br>Nominees                         | ShrHldr | Against | Against | For     |
| 23 | Shareholder Proposal<br>Regarding Right to Act<br>by Written<br>Consent            | ShrHldr | Against | For     | Against |
| 24 | Shareholder Proposal<br>Regarding Cessation of<br>All Stock Options and<br>Bonuses | ShrHldr | Against | Against | For     |
| 25 | Shareholder Proposal<br>Regarding Sale of<br>Company                               | ShrHldr | Against | Against | For     |

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### General Motors Co.

| Ticker       | Security ID:                                  | Meeting Date | Meeting Status |           |             |      |
|--------------|---|--------------|----------------|-----------|-------------|------|
| GM           | CUSIP 37045V100                               | 06/10/2014   | Voted          |           |             |      |
| Meeting Type | Country of Trade                              |              |                |           |             |      |
| Annual       | United States                                 |              |                |           |             |      |
| Issue No.    | Description                                   | Proponent    | Mgmt Rec       | Vote Cast | For/Against | Mgmt |
| 1            | Elect Joseph J. Ashton                        | Mgmt         | For            | For       | For         |      |
| 2            | Elect Mary T. Barra                           | Mgmt         | For            | For       | For         |      |
| 3            | Elect Erroll B. Davis,<br>Jr.                 | Mgmt         | For            | Against   | Against     |      |
| 4            | Elect Stephen J. Girsky                       | Mgmt         | For            | Against   | Against     |      |
| 5            | Elect E. Neville Isdell                       | Mgmt         | For            | Against   | Against     |      |
| 6            | Elect Kathryn V.<br>Marinello                 | Mgmt         | For            | Against   | Against     |      |
| 7            | Elect Michael G. Mullen                       | Mgmt         | For            | For       | For         |      |
| 8            | Elect James J. Mulva                          | Mgmt         | For            | Against   | Against     |      |
| 9            | Elect Patricia F. Russo                       | Mgmt         | For            | Against   | Against     |      |
| 10           | Elect Thomas M. Schoewe                       | Mgmt         | For            | For       | For         |      |
| 11           | Elect Theodore M. Solso                       | Mgmt         | For            | For       | For         |      |
| 12           | Elect Carol M.<br>Stephenson                  | Mgmt         | For            | For       | For         |      |
| 13           | Ratification of Auditor                       | Mgmt         | For            | For       | For         |      |
| 14           | Advisory Vote on<br>Executive<br>Compensation | Mgmt         | For            | For       | For         |      |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |   |         |         |         |     |
|----|---|---------|---------|---------|-----|
| 15 | Frequency of Advisory Vote on Executive Compensation      | Mgmt    | 1 Year  | 1 Year  | For |
| 16 | 2014 Short-Term Incentive Plan                            | Mgmt    | For     | For     | For |
| 17 | 2014 Long-Term Incentive Plan                             | Mgmt    | For     | For     | For |
| 18 | Shareholder Proposal Regarding Cumulative Voting          | ShrHldr | Against | Against | For |
| 19 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against | Against | For |

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### Genworth Financial, Inc.

| Ticker       | Security ID:  | Meeting Date | Meeting Status |           |                  |
|--------------|---|--------------|----------------|-----------|------------------|
| GNW          | CUSIP 37247D106   | 05/15/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade  | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| Annual       | United States   |              |                |           |                  |
| Issue No.    | Description   | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect William H. Bolinder                                   | Mgmt         | For            | For       | For              |
| 2            | Elect G. Kent Conrad  | Mgmt         | For            | For       | For              |
| 3            | Elect Melina E. Higgins                                     | Mgmt         | For            | For       | For              |
| 4            | Elect Nancy J. Karch  | Mgmt         | For            | For       | For              |
| 5            | Elect Thomas J. McInerney                                   | Mgmt         | For            | For       | For              |
| 6            | Elect Christine B. Mead                                     | Mgmt         | For            | For       | For              |
| 7            | Elect David M. Moffett                                      | Mgmt         | For            | For       | For              |
| 8            | Elect Thomas E. Moloney                                     | Mgmt         | For            | For       | For              |
| 9            | Elect James A. Parke  | Mgmt         | For            | For       | For              |
| 10           | Elect James S. Riepe  | Mgmt         | For            | For       | For              |
| 11           | Advisory Vote on Executive Compensation                     | Mgmt         | For            | For       | For              |
| 12           | Ratification of Auditor                                     | Mgmt         | For            | For       | For              |
| 13           | Shareholder Proposal Regarding Report on Political Spending | ShrHldr      | Against        | Against   | For              |

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### Gilead Sciences, Inc.

| Ticker | Security ID:    | Meeting Date | Meeting Status |
|--------|-----------------|--------------|----------------|
| GILD   | CUSIP 375558103 | 05/07/2014   | Voted          |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

| Meeting Type |  | Country of Trade |          |           |                  |
|--------------|--|------------------|----------|-----------|------------------|
| Annual       |  | United States    |          |           |                  |
| Issue No.    | Description  | Proponent        | Mgmt Rec | Vote Cast | For/Against Mgmt |
| 1            | Elect John F. Cogan  | Mgmt             | For      | For       | For              |
| 2            | Elect Etienne F. Davignon  | Mgmt             | For      | For       | For              |
| 3            | Elect Carla A. Hills   | Mgmt             | For      | For       | For              |
| 4            | Elect Kevin E. Lofton  | Mgmt             | For      | For       | For              |
| 5            | Elect John W. Madigan  | Mgmt             | For      | For       | For              |
| 6            | Elect John C. Martin   | Mgmt             | For      | For       | For              |
| 7            | Elect Nicholas G. Moore  | Mgmt             | For      | For       | For              |
| 8            | Elect Richard J. Whitley   | Mgmt             | For      | For       | For              |
| 9            | Elect Gayle E. Wilson  | Mgmt             | For      | For       | For              |
| 10           | Elect Per Wold-Olsen   | Mgmt             | For      | For       | For              |
| 11           | Ratification of Auditor  | Mgmt             | For      | For       | For              |
| 12           | Adoption of Exclusive Forum Provision                                  | Mgmt             | For      | Against   | Against          |
| 13           | Advisory Vote on Executive Compensation                                | Mgmt             | For      | For       | For              |
| 14           | Shareholder Proposal Regarding Independent Board Chairman              | ShrHldr          | Against  | For       | Against          |
| 15           | Shareholder Proposal Regarding Right to Act by Written Consent         | ShrHldr          | Against  | For       | Against          |
| 16           | Shareholder Proposal Regarding Linking Executive Pay to Patient Access | ShrHldr          | Against  | Against   | For              |

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### Google Inc.

| Ticker       | Security ID:      | Meeting Date     |          | Meeting Status |                  |
|--------------|-------------------|------------------|----------|----------------|------------------|
| GOOG         | CUSIP 38259P508   | 05/14/2014       |          | Voted          |                  |
| Meeting Type |                   | Country of Trade |          |                |                  |
| Annual       |                   | United States    |          |                |                  |
| Issue No.    | Description       | Proponent        | Mgmt Rec | Vote Cast      | For/Against Mgmt |
| 1.1          | Elect Larry Page  | Mgmt             | For      | For            | For              |
| 1.2          | Elect Sergey Brin | Mgmt             | For      | For            | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|      |   |         |         |          |         |
|------|---|---------|---------|----------|---------|
| 1.3  | Elect Eric E. Schmidt   | Mgmt    | For     | For      | For     |
| 1.4  | Elect L. John Doerr   | Mgmt    | For     | Withhold | Against |
| 1.5  | Elect Diane B. Greene   | Mgmt    | For     | For      | For     |
| 1.6  | Elect John L. Hennessy  | Mgmt    | For     | Withhold | Against |
| 1.7  | Elect Ann Mather  | Mgmt    | For     | For      | For     |
| 1.8  | Elect Paul S. Otellini  | Mgmt    | For     | For      | For     |
| 1.9  | Elect K. Ram Shriram  | Mgmt    | For     | For      | For     |
| 1.10 | Elect Shirley M.<br>Tilghman  | Mgmt    | For     | For      | For     |
| 2    | Ratification of Auditor   | Mgmt    | For     | For      | For     |
| 3    | Advisory Vote on<br>Executive<br>Compensation                                   | Mgmt    | For     | Against  | Against |
| 4    | Shareholder Proposal<br>Regarding<br>Recapitalization                           | ShrHldr | Against | For      | Against |
| 5    | Shareholder Proposal<br>Regarding Lobbying<br>Report                            | ShrHldr | Against | For      | Against |
| 6    | Shareholder Proposal<br>Regarding Majority<br>Vote for Election of<br>Directors | ShrHldr | Against | For      | Against |
| 7    | Shareholder Proposal<br>Regarding Tax Policy<br>Principles                      | ShrHldr | Against | Against  | For     |
| 8    | Shareholder Proposal<br>Regarding Independent<br>Board<br>Chairman              | ShrHldr | Against | For      | Against |

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### Harris Corporation

|              |                          |              |                     |
|--------------|--------------------------|--------------|---------------------|
| Ticker       | Security ID:             | Meeting Date | Meeting Status      |
| HRS          | CUSIP 413875105          | 10/25/2013   | Voted               |
| Meeting Type | Country of Trade         |              |                     |
| Annual       | United States            |              |                     |
| Issue No.    | Description              | Proponent    | Mgmt Rec            |
|              |                          |              | Vote Cast           |
|              |                          |              | For/Against<br>Mgmt |
| 1            | Elect William M. Brown   | Mgmt         | For                 |
| 2            | Elect Peter W. Chiarelli | Mgmt         | For                 |
| 3            | Elect Thomas A. Dattilo  | Mgmt         | For                 |
| 4            | Elect Terry D. Growcock  | Mgmt         | For                 |
| 5            | Elect Lewis Hay, III     | Mgmt         | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |   |      |     |     |     |
|----|---|------|-----|-----|-----|
| 6  | Elect Vyomesh Joshi                     | Mgmt | For | For | For |
| 7  | Elect Karen Katen                       | Mgmt | For | For | For |
| 8  | Elect Stephen P. Kaufman                | Mgmt | For | For | For |
| 9  | Elect Leslie F. Kenne                   | Mgmt | For | For | For |
| 10 | Elect David B. Rickard                  | Mgmt | For | For | For |
| 11 | Elect James C. Stoffel                  | Mgmt | For | For | For |
| 12 | Elect Gregory T. Swienton               | Mgmt | For | For | For |
| 13 | Elect Hansel E. Tookes II               | Mgmt | For | For | For |
| 14 | Advisory Vote on Executive Compensation | Mgmt | For | For | For |
| 15 | Ratification of Auditor                 | Mgmt | For | For | For |

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### Home Depot, Inc.

| Ticker       | Security ID:   | Meeting Date | Meeting Status |           |                  |
|--------------|--|--------------|----------------|-----------|------------------|
| HD           | CUSIP 437076102  | 05/22/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade   |              |                |           |                  |
| Annual       | United States  |              |                |           |                  |
| Issue No.    | Description  | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect F. Duane Ackerman  | Mgmt         | For            | For       | For              |
| 2            | Elect Francis S. Blake   | Mgmt         | For            | For       | For              |
| 3            | Elect Ari Bousbib  | Mgmt         | For            | For       | For              |
| 4            | Elect Gregory D. Brenneman                                     | Mgmt         | For            | For       | For              |
| 5            | Elect J. Frank Brown   | Mgmt         | For            | For       | For              |
| 6            | Elect Albert P. Carey  | Mgmt         | For            | For       | For              |
| 7            | Elect Armando M. Codina  | Mgmt         | For            | Against   | Against          |
| 8            | Elect Helena B. Foulkes  | Mgmt         | For            | For       | For              |
| 9            | Elect Wayne M. Hewett  | Mgmt         | For            | For       | For              |
| 10           | Elect Karen Katen  | Mgmt         | For            | For       | For              |
| 11           | Elect Mark Vadon   | Mgmt         | For            | For       | For              |
| 12           | Ratification of Auditor  | Mgmt         | For            | For       | For              |
| 13           | Advisory Vote on Executive Compensation                        | Mgmt         | For            | For       | For              |
| 14           | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr      | Against        | For       | Against          |
| 15           | Shareholder Proposal Regarding Employment Diversity Report     | ShrHldr      | Against        | Against   | For              |

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### Intel Corporation

| Ticker       | Security ID:     | Meeting Date | Meeting Status |
|--------------|------------------|--------------|----------------|
| INTC         | CUSIP 458140100  | 05/22/2014   | Voted          |
| Meeting Type | Country of Trade |              |                |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

| Annual Issue No. | Description                             | United States Proponent | Mgmt Rec | Vote Cast | For/Against Mgmt |
|------------------|---|-------------------------|----------|-----------|------------------|
| 1                | Elect Charlene Barshefsky               | Mgmt                    | For      | For       | For              |
| 2                | Elect Andy D. Bryant                    | Mgmt                    | For      | For       | For              |
| 3                | Elect Susan L. Decker                   | Mgmt                    | For      | For       | For              |
| 4                | Elect John J. Donahoe                   | Mgmt                    | For      | For       | For              |
| 5                | Elect Reed E. Hundt                     | Mgmt                    | For      | For       | For              |
| 6                | Elect Brian M. Krzanich                 | Mgmt                    | For      | For       | For              |
| 7                | Elect James D. Plummer                  | Mgmt                    | For      | For       | For              |
| 8                | Elect David S. Pottruck                 | Mgmt                    | For      | For       | For              |
| 9                | Elect Frank D. Yeary                    | Mgmt                    | For      | For       | For              |
| 10               | Elect David B. Yoffie                   | Mgmt                    | For      | For       | For              |
| 11               | Ratification of Auditor                 | Mgmt                    | For      | For       | For              |
| 12               | Advisory Vote on Executive Compensation | Mgmt                    | For      | For       | For              |

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### International Business Machines Corporation

| Ticker           | Security ID:                            | Meeting Date            | Meeting Status |           |                  |
|------------------|---|-------------------------|----------------|-----------|------------------|
| IBM              | CUSIP 459200101                         | 04/29/2014              | Voted          |           |                  |
| Meeting Type     | Country of Trade                        |                         |                |           |                  |
| Annual           | United States                           |                         |                |           |                  |
| Annual Issue No. | Description                             | United States Proponent | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1                | Elect Alain J. P. Belda                 | Mgmt                    | For            | Against   | Against          |
| 2                | Elect William R. Brody                  | Mgmt                    | For            | For       | For              |
| 3                | Elect Kenneth I. Chenault               | Mgmt                    | For            | For       | For              |
| 4                | Elect Michael L. Eskew                  | Mgmt                    | For            | Against   | Against          |
| 5                | Elect David N. Farr                     | Mgmt                    | For            | For       | For              |
| 6                | Elect Shirley A. Jackson                | Mgmt                    | For            | For       | For              |
| 7                | Elect Andrew N. Liveris                 | Mgmt                    | For            | Against   | Against          |
| 8                | Elect W. James McNerney, Jr.            | Mgmt                    | For            | For       | For              |
| 9                | Elect James W. Owens                    | Mgmt                    | For            | For       | For              |
| 10               | Elect Virginia M. Rometty               | Mgmt                    | For            | For       | For              |
| 11               | Elect Joan E. Spero                     | Mgmt                    | For            | For       | For              |
| 12               | Elect Sidney Taurel                     | Mgmt                    | For            | For       | For              |
| 13               | Elect Lorenzo H. Zambrano Trevino       | Mgmt                    | For            | Against   | Against          |
| 14               | Ratification of Auditor                 | Mgmt                    | For            | For       | For              |
| 15               | Advisory Vote on Executive Compensation | Mgmt                    | For            | For       | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |   |         |         |         |         |
|----|---|---------|---------|---------|---------|
| 16 | Re-approve Performance Goals of the Company's Long-Term Incentive Awards        | Mgmt    | For     | For     | For     |
| 17 | 2014 Employees Stock Purchase Plan  | Mgmt    | For     | For     | For     |
| 18 | Shareholder Proposal Regarding Lobbying Report                                  | ShrHldr | Against | Against | For     |
| 19 | Shareholder Proposal Regarding Right to Act by Written Consent                  | ShrHldr | Against | For     | Against |
| 20 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHldr | Against | Against | For     |

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### Intuitive Surgical, Inc.

| Ticker       | Security ID:                            | Meeting Date     | Meeting Status |           |                  |
|--------------|---|------------------|----------------|-----------|------------------|
| ISRG         | CUSIP 46120E602                         | 04/24/2014       | Voted          |           |                  |
| Meeting Type |   | Country of Trade |                |           |                  |
| Annual       |   | United States    |                |           |                  |
| Issue No.    | Description                             | Proponent        | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1.1          | Elect Amal M. Johnson                   | Mgmt             | For            | For       | For              |
| 1.2          | Elect Eric H. Halvorson                 | Mgmt             | For            | For       | For              |
| 1.3          | Elect Alan J. Levy                      | Mgmt             | For            | For       | For              |
| 1.4          | Elect Craig H. Barratt                  | Mgmt             | For            | For       | For              |
| 1.5          | Elect Floyd D. Loop                     | Mgmt             | For            | For       | For              |
| 1.6          | Elect George Stalk Jr.                  | Mgmt             | For            | For       | For              |
| 2            | Advisory Vote on Executive Compensation | Mgmt             | For            | For       | For              |
| 3            | Ratification of Auditor                 | Mgmt             | For            | For       | For              |

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### Johnson Controls, Inc.

| Ticker       | Security ID:             | Meeting Date     | Meeting Status |           |                  |
|--------------|--------------------------|------------------|----------------|-----------|------------------|
| JCI          | CUSIP 478366107          | 01/29/2014       | Voted          |           |                  |
| Meeting Type |                          | Country of Trade |                |           |                  |
| Annual       |                          | United States    |                |           |                  |
| Issue No.    | Description              | Proponent        | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1.1          | Elect Natalie A. Black   | Mgmt             | For            | Withhold  | Against          |
| 1.2          | Elect Raymond L. Conner  | Mgmt             | For            | For       | For              |
| 1.3          | Elect William H. Lacy    | Mgmt             | For            | For       | For              |
| 1.4          | Elect Alex A. Molinaroli | Mgmt             | For            | For       | For              |
| 2            | Ratification of Auditor  | Mgmt             | For            | For       | For              |
| 3            | Advisory Vote on         | Mgmt             | For            | For       | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Executive  
Compensation

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Joy Global Inc.

|              |                 |                  |                  |
|--------------|-----------------|------------------|------------------|
| Ticker       | Security ID:    | Meeting Date     | Meeting Status   |
| JOY          | CUSIP 481165108 | 03/04/2014       | Voted            |
| Meeting Type |                 | Country of Trade |                  |
| Annual       |                 | United States    |                  |
| Issue No.    | Description     | Proponent        | Mgmt Rec         |
|              |                 | Vote Cast        | For/Against Mgmt |

  

|     |   |      |     |          |         |
|-----|---|------|-----|----------|---------|
| 1.1 | Elect Edward L. Doheny II               | Mgmt | For | For      | For     |
| 1.2 | Elect Steven L. Gerard                  | Mgmt | For | For      | For     |
| 1.3 | Elect John T. Grempe                    | Mgmt | For | For      | For     |
| 1.4 | Elect John N. Hanson                    | Mgmt | For | For      | For     |
| 1.5 | Elect Gale E. Klappa                    | Mgmt | For | For      | For     |
| 1.6 | Elect Richard B. Loynd                  | Mgmt | For | Withhold | Against |
| 1.7 | Elect P. Eric Siegert                   | Mgmt | For | For      | For     |
| 1.8 | Elect James H. Tate                     | Mgmt | For | For      | For     |
| 2   | Ratification of Auditor                 | Mgmt | For | For      | For     |
| 3   | Advisory Vote on Executive Compensation | Mgmt | For | For      | For     |

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Kroger Co.

|              |                 |                  |                  |
|--------------|-----------------|------------------|------------------|
| Ticker       | Security ID:    | Meeting Date     | Meeting Status   |
| KR           | CUSIP 501044101 | 06/26/2014       | Voted            |
| Meeting Type |                 | Country of Trade |                  |
| Annual       |                 | United States    |                  |
| Issue No.    | Description     | Proponent        | Mgmt Rec         |
|              |                 | Vote Cast        | For/Against Mgmt |

  

|    |                          |      |     |     |     |
|----|--------------------------|------|-----|-----|-----|
| 1  | Elect Reuben V. Anderson | Mgmt | For | For | For |
| 2  | Elect Robert D. Beyer    | Mgmt | For | For | For |
| 3  | Elect David B. Dillon    | Mgmt | For | For | For |
| 4  | Elect Susan J. Kropf     | Mgmt | For | For | For |
| 5  | Elect David B. Lewis     | Mgmt | For | For | For |
| 6  | Elect W. Rodney McMullen | Mgmt | For | For | For |
| 7  | Elect Jorge P. Montoya   | Mgmt | For | For | For |
| 8  | Elect Clyde R. Moore     | Mgmt | For | For | For |
| 9  | Elect Susan M. Phillips  | Mgmt | For | For | For |
| 10 | Elect Steven R. Rogel    | Mgmt | For | For | For |
| 11 | Elect James A. Runde     | Mgmt | For | For | For |
| 12 | Elect Ronald L. Sargent  | Mgmt | For | For | For |
| 13 | Elect Bobby S. Shackouls | Mgmt | For | For | For |
| 14 | 2014 Long-Term           | Mgmt | For | For | For |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

### Incentive and Cash Bonus Plan

|    |  |         |         |         |     |
|----|--|---------|---------|---------|-----|
| 15 | Advisory Vote on<br>Executive<br>Compensation                            | Mgmt    | For     | For     | For |
| 16 | Ratification of Auditor  | Mgmt    | For     | For     | For |
| 17 | Shareholder Proposal<br>Regarding Human Rights<br>Report                 | ShrHldr | Against | Against | For |
| 18 | Shareholder Proposal<br>Regarding Extended<br>Producer<br>Responsibility | ShrHldr | Against | Against | For |

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### L-3 Communications Holdings, Inc.

|              |  |              |                |           |                     |
|--------------|--|--------------|----------------|-----------|---------------------|
| Ticker       | Security ID:   | Meeting Date | Meeting Status |           |                     |
| LLL          | CUSIP 502424104  | 05/06/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade   |              | United States  |           |                     |
| Annual       |  |              |                |           |                     |
| Issue No.    | Description  | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Ann E. Dunwoody  | Mgmt         | For            | For       | For                 |
| 2            | Elect Vincent Pagano,<br>Jr.   | Mgmt         | For            | For       | For                 |
| 3            | Elect Henry Hugh Shelton   | Mgmt         | For            | For       | For                 |
| 4            | Elect Michael T.<br>Strianese  | Mgmt         | For            | For       | For                 |
| 5            | Ratification of Auditor  | Mgmt         | For            | For       | For                 |
| 6            | Advisory Vote on<br>Executive<br>Compensation                                | Mgmt         | For            | For       | For                 |
| 7            | Shareholder Proposal<br>Regarding Retention of<br>Shares Until<br>Retirement | ShrHldr      | Against        | Against   | For                 |

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### McDonald's Corporation

|              |                         |              |                |           |                     |
|--------------|-------------------------|--------------|----------------|-----------|---------------------|
| Ticker       | Security ID:            | Meeting Date | Meeting Status |           |                     |
| MCD          | CUSIP 580135101         | 05/22/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade        |              | United States  |           |                     |
| Annual       |                         |              |                |           |                     |
| Issue No.    | Description             | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Susan E. Arnold   | Mgmt         | For            | For       | For                 |
| 2            | Elect Richard H. Lenny  | Mgmt         | For            | For       | For                 |
| 3            | Elect Walter E. Massey  | Mgmt         | For            | For       | For                 |
| 4            | Elect Cary D. McMillan  | Mgmt         | For            | For       | For                 |
| 5            | Elect Sheila A. Penrose | Mgmt         | For            | For       | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |   |         |         |     |         |
|----|---|---------|---------|-----|---------|
| 6  | Elect John W. Rogers, Jr.                                       | Mgmt    | For     | For | For     |
| 7  | Elect Roger W. Stone  | Mgmt    | For     | For | For     |
| 8  | Elect Miles D. White  | Mgmt    | For     | For | For     |
| 9  | Advisory Vote on Executive Compensation                         | Mgmt    | For     | For | For     |
| 10 | Reapproval of Material Terms Under the 2009 Cash Incentive Plan | Mgmt    | For     | For | For     |
| 11 | Ratification of Auditor   | Mgmt    | For     | For | For     |
| 12 | Shareholder Proposal Regarding Right to Act by Written Consent  | ShrHldr | Against | For | Against |

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### Mckesson Corporation

| Ticker       | Security ID:   | Meeting Date | Meeting Status |           |                  |
|--------------|--|--------------|----------------|-----------|------------------|
| MCK          | CUSIP 58155Q103  | 07/31/2013   | Voted          |           |                  |
| Meeting Type | Country of Trade   | Proponent    | Mgmt Rec       |           |                  |
| Annual       | United States  |              |                |           |                  |
| Issue No.    | Description  | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Andy D. Bryant   | Mgmt         | For            | For       | For              |
| 2            | Elect Wayne A. Budd  | Mgmt         | For            | For       | For              |
| 3            | Elect John H. Hammergren                                       | Mgmt         | For            | For       | For              |
| 4            | Elect Alton F. Irby III  | Mgmt         | For            | Against   | Against          |
| 5            | Elect M. Christine Jacobs                                      | Mgmt         | For            | For       | For              |
| 6            | Elect Marie L. Knowles   | Mgmt         | For            | For       | For              |
| 7            | Elect David M. Lawrence  | Mgmt         | For            | For       | For              |
| 8            | Elect Edward A. Mueller  | Mgmt         | For            | For       | For              |
| 9            | Elect Jane E. Shaw   | Mgmt         | For            | Against   | Against          |
| 10           | Ratification of Auditor  | Mgmt         | For            | For       | For              |
| 11           | Advisory Vote on Executive Compensation                        | Mgmt         | For            | Against   | Against          |
| 12           | 2013 Stock Plan  | Mgmt         | For            | For       | For              |
| 13           | Amendment to the 2000 Employee Stock Purchase Plan             | Mgmt         | For            | For       | For              |
| 14           | Restoration of Right to Call a Special Meeting                 | Mgmt         | For            | For       | For              |
| 15           | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr      | Against        | For       | Against          |
| 16           | Shareholder Proposal   | ShrHldr      | Against        | For       | Against          |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Regarding Political  
Contributions and  
Expenditures  
Report

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 17 | Shareholder Proposal<br>Regarding Retention of<br>Shares | ShrHldr | Against | Against | For     |
| 18 | Shareholder Proposal<br>Regarding Recoupment<br>Report   | ShrHldr | Against | For     | Against |

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### Merck & Co., Inc.

|              |   |              |                |           |                     |
|--------------|---|--------------|----------------|-----------|---------------------|
| Ticker       | Security ID:  | Meeting Date | Meeting Status |           |                     |
| MRK          | CUSIP 58933Y105   | 05/27/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade  |              |                |           |                     |
| Annual       | United States   |              |                |           |                     |
| Issue No.    | Description   | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Leslie A. Brun  | Mgmt         | For            | For       | For                 |
| 2            | Elect Thomas R. Cech  | Mgmt         | For            | For       | For                 |
| 3            | Elect Kenneth C. Frazier  | Mgmt         | For            | For       | For                 |
| 4            | Elect Thomas H. Glocer  | Mgmt         | For            | For       | For                 |
| 5            | Elect William B.<br>Harrison,<br>Jr.                                    | Mgmt         | For            | For       | For                 |
| 6            | Elect C. Robert Kidder  | Mgmt         | For            | For       | For                 |
| 7            | Elect Rochelle B.<br>Lazarus  | Mgmt         | For            | For       | For                 |
| 8            | Elect Carlos E. Represas  | Mgmt         | For            | For       | For                 |
| 9            | Elect Patricia F. Russo   | Mgmt         | For            | For       | For                 |
| 10           | Elect Craig B. Thompson   | Mgmt         | For            | For       | For                 |
| 11           | Elect Wendell P. Weeks  | Mgmt         | For            | For       | For                 |
| 12           | Elect Peter C. Wendell  | Mgmt         | For            | For       | For                 |
| 13           | Advisory Vote on<br>Executive<br>Compensation                           | Mgmt         | For            | For       | For                 |
| 14           | Ratification of Auditor   | Mgmt         | For            | For       | For                 |
| 15           | Shareholder Proposal<br>Regarding Right to Act<br>by Written<br>Consent | ShrHldr      | Against        | For       | Against             |
| 16           | Shareholder Proposal<br>Regarding Right to<br>Call a Special<br>Meeting | ShrHldr      | Against        | Against   | For                 |

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### MetLife, Inc.

|              |                  |              |                |  |  |
|--------------|------------------|--------------|----------------|--|--|
| Ticker       | Security ID:     | Meeting Date | Meeting Status |  |  |
| MET          | CUSIP 59156R108  | 04/22/2014   | Voted          |  |  |
| Meeting Type | Country of Trade |              |                |  |  |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

| Annual<br>Issue No. | Description   | United States |          | Vote Cast | For/Against<br>Mgmt |
|---------------------|---|---------------|----------|-----------|---------------------|
|                     |   | Proponent     | Mgmt Rec |           |                     |
| 1                   | Elect Cheryl W. Grise                                 | Mgmt          | For      | For       | For                 |
| 2                   | Elect Carlos M.<br>Gutierrez                          | Mgmt          | For      | For       | For                 |
| 3                   | Elect R. Glenn Hubbard                                | Mgmt          | For      | For       | For                 |
| 4                   | Elect Steven A.<br>Kandarian                          | Mgmt          | For      | For       | For                 |
| 5                   | Elect John M. Keane                                   | Mgmt          | For      | For       | For                 |
| 6                   | Elect Alfred F. Kelly,<br>Jr.                         | Mgmt          | For      | For       | For                 |
| 7                   | Elect William E. Kennard                              | Mgmt          | For      | For       | For                 |
| 8                   | Elect James M. Kilts                                  | Mgmt          | For      | For       | For                 |
| 9                   | Elect Catherine R.<br>Kinney                          | Mgmt          | For      | For       | For                 |
| 10                  | Elect Denise M. Morrison                              | Mgmt          | For      | For       | For                 |
| 11                  | Elect Kenton J.<br>Sicchitano                         | Mgmt          | For      | For       | For                 |
| 12                  | Elect Lulu C. Wang                                    | Mgmt          | For      | For       | For                 |
| 13                  | Ratification of Auditor                               | Mgmt          | For      | For       | For                 |
| 14                  | Advisory Vote on<br>Executive<br>Compensation         | Mgmt          | For      | For       | For                 |
| 15                  | 2015 Stock and<br>Incentive Compensation<br>Plan      | Mgmt          | For      | For       | For                 |
| 16                  | Non-Management Director<br>Stock Compensation<br>Plan | Mgmt          | For      | For       | For                 |

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### Microsoft Corporation

| Ticker       | Security ID:     | Meeting Date            | Meeting Status |          |           |                     |
|--------------|------------------|-------------------------|----------------|----------|-----------|---------------------|
| MSFT         | CUSIP 594918104  | 11/19/2013              | Voted          |          |           |                     |
| Meeting Type | Country of Trade | United States           |                |          |           |                     |
| Annual       | Issue No.        | Description             | Proponent      | Mgmt Rec | Vote Cast | For/Against<br>Mgmt |
|              | 1                | Elect Steven A. Ballmer | Mgmt           | For      | For       | For                 |
|              | 2                | Elect Dina Dublon       | Mgmt           | For      | For       | For                 |
|              | 3                | Elect William H. Gates  | Mgmt           | For      | For       | For                 |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

III

|    |  |      |     |         |         |
|----|--|------|-----|---------|---------|
| 4  | Elect Maria Klawe  | Mgmt | For | For     | For     |
| 5  | Elect Stephen J. Luczo   | Mgmt | For | For     | For     |
| 6  | Elect David F. Marquardt   | Mgmt | For | For     | For     |
| 7  | Elect Charles H. Noski   | Mgmt | For | For     | For     |
| 8  | Elect Helmut G. W. Panke   | Mgmt | For | For     | For     |
| 9  | Elect John W. Thompson   | Mgmt | For | Against | Against |
| 10 | Approval of the<br>Material Terms of the<br>Executive Officer<br>Incentive<br>Plan | Mgmt | For | For     | For     |
| 11 | ADVISORY VOTE ON<br>EXECUTIVE<br>COMPENSATION                                      | Mgmt | For | For     | For     |
| 12 | Ratification of Auditor  | Mgmt | For | For     | For     |

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Monsanto Company

| Ticker       | Security ID:   | Meeting Date     | Meeting Status |           |                     |
|--------------|--|------------------|----------------|-----------|---------------------|
| MON          | CUSIP 61166W101  | 01/28/2014       | Voted          |           |                     |
| Meeting Type | Annual   | Country of Trade | United States  |           |                     |
| Issue No.    | Description  | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Gregory H. Boyce   | Mgmt             | For            | For       | For                 |
| 2            | Elect Laura K. Ipsen   | Mgmt             | For            | For       | For                 |
| 3            | Elect William U. Parfet  | Mgmt             | For            | For       | For                 |
| 4            | Elect George H. Poste  | Mgmt             | For            | For       | For                 |
| 5            | Ratification of Auditor  | Mgmt             | For            | For       | For                 |
| 6            | Advisory Vote on<br>Executive<br>Compensation                                  | Mgmt             | For            | For       | For                 |
| 7            | Shareholder Proposal<br>Regarding Labeling of<br>Genetically Modified<br>Foods | ShrHldr          | Against        | Against   | For                 |
| 8            | Shareholder Proposal<br>Regarding Genetically<br>Modified<br>Organisms         | ShrHldr          | Against        | Against   | For                 |

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National Oilwell Varco, Inc.

| Ticker       | Security ID:                       | Meeting Date     | Meeting Status |           |                     |
|--------------|------------------------------------|------------------|----------------|-----------|---------------------|
| NOV          | CUSIP 637071101                    | 05/14/2014       | Voted          |           |                     |
| Meeting Type | Annual                             | Country of Trade | United States  |           |                     |
| Issue No.    | Description                        | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Merrill A.<br>Miller,<br>Jr. | Mgmt             | For            | For       | For                 |

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|    |   |      |     |     |     |
|----|---|------|-----|-----|-----|
| 2  | Elect Clay C. Williams                  | Mgmt | For | For | For |
| 3  | Elect Greg L. Armstrong                 | Mgmt | For | For | For |
| 4  | Elect Robert E. Beauchamp               | Mgmt | For | For | For |
| 5  | Elect Marcela E. Donadio                | Mgmt | For | For | For |
| 6  | Elect Ben A. Guill                      | Mgmt | For | For | For |
| 7  | Elect David D. Harrison                 | Mgmt | For | For | For |
| 8  | Elect Roger L. Jarvis                   | Mgmt | For | For | For |
| 9  | Elect Eric L. Mattson                   | Mgmt | For | For | For |
| 10 | Elect Jeffery A. Smisek                 | Mgmt | For | For | For |
| 11 | Ratification of Auditor                 | Mgmt | For | For | For |
| 12 | Advisory Vote on Executive Compensation | Mgmt | For | For | For |

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### NetApp, Inc.

| Ticker       | Security ID:  | Meeting Date | Meeting Status |           |                  |
|--------------|---|--------------|----------------|-----------|------------------|
| NTAP         | CUSIP 64110D104   | 09/13/2013   | Voted          |           |                  |
| Meeting Type | Country of Trade  | Proponent    | Mgmt Rec       |           |                  |
| Annual       | United States   |              |                |           |                  |
| Issue No.    | Description   | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Daniel J. Warmenhoven   | Mgmt         | For            | For       | For              |
| 2            | Elect Nicholas G. Moore   | Mgmt         | For            | For       | For              |
| 3            | Elect Thomas Georgens   | Mgmt         | For            | For       | For              |
| 4            | Elect Jeffry R. Allen   | Mgmt         | For            | For       | For              |
| 5            | Elect Alan L. Earhart   | Mgmt         | For            | For       | For              |
| 6            | Elect Gerald D. Held  | Mgmt         | For            | For       | For              |
| 7            | Elect T. Michael Nevens   | Mgmt         | For            | For       | For              |
| 8            | Elect George T. Shaheen   | Mgmt         | For            | For       | For              |
| 9            | Elect Robert T. Wall  | Mgmt         | For            | For       | For              |
| 10           | Elect Richard P. Wallace  | Mgmt         | For            | For       | For              |
| 11           | Elect Tor R. Braham   | Mgmt         | For            | For       | For              |
| 12           | Elect Kathryn M. Hill   | Mgmt         | For            | For       | For              |
| 13           | Amendments to the 1999 Stock Option Plan                                      | Mgmt         | For            | Against   | Against          |
| 14           | Amendment to the ESPP   | Mgmt         | For            | For       | For              |
| 15           | Advisory Vote on Executive Compensation                                       | Mgmt         | For            | For       | For              |
| 16           | Remove Supermajority Voting Standard for Future Amendments to the Bylaws      | Mgmt         | For            | For       | For              |
| 17           | Remove Supermajority Voting Standard for Future Amendments to the Certificate | Mgmt         | For            | For       | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |   |         |         |         |     |
|----|---|---------|---------|---------|-----|
| 18 | Shareholder Proposal<br>Regarding Compensation<br>in the Event of a<br>Change in<br>Control | ShrHldr | Against | Against | For |
| 19 | Ratification of Auditor   | Mgmt    | For     | For     | For |

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### NRG Energy, Inc.

|              |  |              |                |           |                     |
|--------------|--|--------------|----------------|-----------|---------------------|
| Ticker       | Security ID:   | Meeting Date | Meeting Status |           |                     |
| NRG          | CUSIP 629377508  | 05/08/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade   |              |                |           |                     |
| Annual       | United States  |              |                |           |                     |
| Issue No.    | Description  | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Kirbyjon H.<br>Caldwell  | Mgmt         | For            | For       | For                 |
| 2            | Elect Lawrence S. Coben  | Mgmt         | For            | For       | For                 |
| 3            | Elect David W. Crane   | Mgmt         | For            | For       | For                 |
| 4            | Elect Terry G. Dallas  | Mgmt         | For            | For       | For                 |
| 5            | Elect Paul W. Hobby  | Mgmt         | For            | For       | For                 |
| 6            | Elect Edward R. Muller   | Mgmt         | For            | For       | For                 |
| 7            | Elect Evan J.<br>Silverstein   | Mgmt         | For            | For       | For                 |
| 8            | Elect Thomas H.<br>Weidemeyer  | Mgmt         | For            | For       | For                 |
| 9            | Elect Walter R. Young  | Mgmt         | For            | For       | For                 |
| 10           | Advisory Vote on<br>Executive<br>Compensation                          | Mgmt         | For            | For       | For                 |
| 11           | Approval of Amended and<br>Restated Employee<br>Stock Purchase<br>Plan | Mgmt         | For            | For       | For                 |
| 12           | Ratification of Auditor  | Mgmt         | For            | For       | For                 |

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### Occidental Petroleum Corporation

|              |                               |              |                |           |                     |
|--------------|-------------------------------|--------------|----------------|-----------|---------------------|
| Ticker       | Security ID:                  | Meeting Date | Meeting Status |           |                     |
| OXY          | CUSIP 674599105               | 05/02/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade              |              |                |           |                     |
| Annual       | United States                 |              |                |           |                     |
| Issue No.    | Description                   | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Spencer Abraham         | Mgmt         | For            | For       | For                 |
| 2            | Elect Howard I. Atkins        | Mgmt         | For            | For       | For                 |
| 3            | Elect Eugene L.<br>Batchelder | Mgmt         | For            | For       | For                 |
| 4            | Elect Stephen I. Chazen       | Mgmt         | For            | For       | For                 |
| 5            | Elect Edward P.<br>Djerejian  | Mgmt         | For            | For       | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 6  | Elect John E. Feick  | Mgmt    | For     | For     | For     |
| 7  | Elect Margaret M. Foran  | Mgmt    | For     | For     | For     |
| 8  | Elect Carlos M. Gutierrez  | Mgmt    | For     | For     | For     |
| 9  | Elect William R. Klesse  | Mgmt    | For     | Against | Against |
| 10 | Elect Avedick B. Poladian  | Mgmt    | For     | For     | For     |
| 11 | Elect Elisse B. Walter   | Mgmt    | For     | For     | For     |
| 12 | Waive Director Age Restriction Policy for Edward P. Djerejian                                | Mgmt    | For     | For     | For     |
| 13 | Advisory Vote on Executive Compensation  | Mgmt    | For     | For     | For     |
| 14 | Amend Certificate to Permit Action by Written Consent  | Mgmt    | For     | For     | For     |
| 15 | Amend Bylaws to Separate Chairman and CEO Roles  | Mgmt    | For     | For     | For     |
| 16 | Ratification of Auditor  | Mgmt    | For     | For     | For     |
| 17 | Shareholder Proposal Regarding Retention of Shares Until Retirement                          | ShrHldr | Against | Against | For     |
| 18 | Shareholder Proposal Regarding Report on Membership in Lobbying Organizations                | ShrHldr | Against | Against | For     |
| 19 | Shareholder Proposal Regarding Hydraulic Fracturing  | ShrHldr | Against | Against | For     |
| 20 | Shareholder Proposal Regarding Methane Emissions and Flaring Reporting and Reduction Targets | ShrHldr | Against | Against | For     |

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Oracle Corporation

Ticker Security ID:  
ORCL CUSIP 68389X105

Meeting Date  
10/31/2013

Meeting Status  
Voted

Meeting Type  
Annual

Country of Trade  
United States

Issue No. Description

Proponent Mgmt Rec

Vote Cast For/Against  
Mgmt

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|      |  |         |         |          |         |
|------|--|---------|---------|----------|---------|
| 1.1  | Elect Jeffrey S. Berg  | Mgmt    | For     | For      | For     |
| 1.2  | Elect Raymond Bingham  | Mgmt    | For     | Withhold | Against |
| 1.3  | Elect Michael J. Boskin  | Mgmt    | For     | For      | For     |
| 1.4  | Elect Safra A. Catz  | Mgmt    | For     | Withhold | Against |
| 1.5  | Elect Bruce R. Chizen  | Mgmt    | For     | Withhold | Against |
| 1.6  | Elect George H. Conrades   | Mgmt    | For     | Withhold | Against |
| 1.7  | Elect Lawrence J. Ellison  | Mgmt    | For     | For      | For     |
| 1.8  | Elect Hector Garcia-Molina   | Mgmt    | For     | For      | For     |
| 1.9  | Elect Jeffrey O. Henley  | Mgmt    | For     | For      | For     |
| 1.10 | Elect Mark V. Hurd   | Mgmt    | For     | For      | For     |
| 1.11 | Elect Naomi O. Seligman  | Mgmt    | For     | Withhold | Against |
| 2    | Advisory Vote on Executive Compensation  | Mgmt    | For     | Against  | Against |
| 3    | Amendment to the 2000 Long-Term Incentive Plan   | Mgmt    | For     | For      | For     |
| 4    | Ratification of Auditor  | Mgmt    | For     | For      | For     |
| 5    | Shareholder Proposal Regarding Human Rights Committee  | ShrHldr | Against | Against  | For     |
| 6    | Shareholder Proposal Regarding Independent Board Chairman  | ShrHldr | Against | For      | Against |
| 7    | Shareholder Proposal Regarding Counting Abstentions  | ShrHldr | Against | Against  | For     |
| 8    | Shareholder Proposal Regarding the Use of Multiple Metrics for Executive Performance Measures                    | ShrHldr | Against | For      | Against |
| 9    | Shareholder Proposal Regarding Shareholder Approval of Specific Performance Metrics in Equity Compensation Plans | ShrHldr | Against | Against  | For     |

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Peabody Energy Corporation

Ticker Security ID:  
BTU CUSIP 704549104

Meeting Date  
05/08/2014

Meeting Status  
Voted

Meeting Type  
Annual

Country of Trade  
United States

Issue No. Description

Proponent Mgmt Rec

Vote Cast For/Against  
Mgmt

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|      |   |      |     |          |         |
|------|---|------|-----|----------|---------|
| 1.1  | Elect Gregory H. Boyce                  | Mgmt | For | For      | For     |
| 1.2  | Elect William A. Coley                  | Mgmt | For | For      | For     |
| 1.3  | Elect William E. James                  | Mgmt | For | For      | For     |
| 1.4  | Elect Robert B. Karn III                | Mgmt | For | For      | For     |
| 1.5  | Elect Henry E. Lentz                    | Mgmt | For | Withhold | Against |
| 1.6  | Elect Robert A. Malone                  | Mgmt | For | For      | For     |
| 1.7  | Elect William C. Rusnack                | Mgmt | For | For      | For     |
| 1.8  | Elect Michael W. Sutherlin              | Mgmt | For | For      | For     |
| 1.9  | Elect John F. Turner                    | Mgmt | For | For      | For     |
| 1.10 | Elect Sandra A. Van Trease              | Mgmt | For | For      | For     |
| 1.11 | Elect Alan H. Washkowitz                | Mgmt | For | For      | For     |
| 1.12 | Elect Heather A. Wilson                 | Mgmt | For | For      | For     |
| 2    | Ratification of Auditor                 | Mgmt | For | For      | For     |
| 3    | Advisory Vote on Executive Compensation | Mgmt | For | Against  | Against |

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### PepsiCo, Inc.

| Ticker       | Security ID:  | Meeting Date | Meeting Status |           |                  |
|--------------|---|--------------|----------------|-----------|------------------|
| PEP          | CUSIP 713448108   | 05/07/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade  | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| Annual       | United States   |              |                |           |                  |
| Issue No.    | Description   | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Shona L. Brown  | Mgmt         | For            | For       | For              |
| 2            | Elect George W. Buckley   | Mgmt         | For            | For       | For              |
| 3            | Elect Ian M. Cook   | Mgmt         | For            | For       | For              |
| 4            | Elect Dina Dublon   | Mgmt         | For            | For       | For              |
| 5            | Elect Rona A. Fairhead  | Mgmt         | For            | For       | For              |
| 6            | Elect Ray L. Hunt   | Mgmt         | For            | For       | For              |
| 7            | Elect Alberto Ibarquen  | Mgmt         | For            | For       | For              |
| 8            | Elect Indra K. Nooyi  | Mgmt         | For            | For       | For              |
| 9            | Elect Sharon Percy Rockefeller  | Mgmt         | For            | For       | For              |
| 10           | Elect James J. Schiro   | Mgmt         | For            | For       | For              |
| 11           | Elect Lloyd G. Trotter  | Mgmt         | For            | For       | For              |
| 12           | Elect Daniel Vasella  | Mgmt         | For            | For       | For              |
| 13           | Elect Alberto Weisser   | Mgmt         | For            | For       | For              |
| 14           | Ratification of Auditor   | Mgmt         | For            | For       | For              |
| 15           | Advisory Vote on Executive Compensation                                   | Mgmt         | For            | For       | For              |
| 16           | Approval of Executive Incentive Compensation Plan                         | Mgmt         | For            | For       | For              |
| 17           | Shareholder Proposal Regarding Approval of Political Contributions Policy | ShrHldr      | Against        | Against   | For              |
| 18           | Shareholder Proposal  | ShrHldr      | Against        | Against   | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Regarding Retention of  
Shares Until  
Retirement

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PG&E Corporation

| Ticker       | Security ID:                            | Meeting Date     | Meeting Status |           |                  |
|--------------|---|------------------|----------------|-----------|------------------|
| PCG          | CUSIP 69331C108                         | 05/12/2014       | Voted          |           |                  |
| Meeting Type |   | Country of Trade |                |           |                  |
| Annual       |   | United States    |                |           |                  |
| Issue No.    | Description                             | Proponent        | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Lewis Chew                        | Mgmt             | For            | For       | For              |
| 2            | Elect Anthony F. Earley, Jr.            | Mgmt             | For            | For       | For              |
| 3            | Elect Fred J. Fowler                    | Mgmt             | For            | For       | For              |
| 4            | Elect Maryellen C. Herringer            | Mgmt             | For            | Against   | Against          |
| 5            | Elect Richard C. Kelly                  | Mgmt             | For            | For       | For              |
| 6            | Elect Roger H. Kimmel                   | Mgmt             | For            | For       | For              |
| 7            | Elect Richard A. Meserve                | Mgmt             | For            | For       | For              |
| 8            | Elect Forrest E. Miller                 | Mgmt             | For            | For       | For              |
| 9            | Elect Rosendo G. Parra                  | Mgmt             | For            | For       | For              |
| 10           | Elect Barbara L. Rambo                  | Mgmt             | For            | For       | For              |
| 11           | Elect Barry Lawson Williams             | Mgmt             | For            | For       | For              |
| 12           | Ratification of Auditor                 | Mgmt             | For            | For       | For              |
| 13           | Advisory Vote on Executive Compensation | Mgmt             | For            | Against   | Against          |
| 14           | 2014 Long-Term Incentive Plan           | Mgmt             | For            | Against   | Against          |

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PPL Corporation

| Ticker       | Security ID:                | Meeting Date     | Meeting Status |           |                  |
|--------------|-----------------------------|------------------|----------------|-----------|------------------|
| PPL          | CUSIP 69351T106             | 05/21/2014       | Voted          |           |                  |
| Meeting Type |                             | Country of Trade |                |           |                  |
| Annual       |                             | United States    |                |           |                  |
| Issue No.    | Description                 | Proponent        | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Frederick M. Bernthal | Mgmt             | For            | For       | For              |
| 2            | Elect John W. Conway        | Mgmt             | For            | For       | For              |
| 3            | Elect Philip G. Cox         | Mgmt             | For            | For       | For              |
| 4            | Elect Steven G. Elliott     | Mgmt             | For            | For       | For              |
| 5            | Elect Louise K. Goeser      | Mgmt             | For            | For       | For              |
| 6            | Elect Stuart E. Graham      | Mgmt             | For            | For       | For              |
| 7            | Elect Stuart Heydt          | Mgmt             | For            | For       | For              |
| 8            | Elect Raja Rajamannar       | Mgmt             | For            | For       | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |  |         |         |     |         |
|----|--|---------|---------|-----|---------|
| 9  | Elect Craig A. Rogerson  | Mgmt    | For     | For | For     |
| 10 | Elect William H. Spence  | Mgmt    | For     | For | For     |
| 11 | Elect Natica von Althann                                       | Mgmt    | For     | For | For     |
| 12 | Elect Keith H. Williamson                                      | Mgmt    | For     | For | For     |
| 13 | Advisory Vote on Executive Compensation                        | Mgmt    | For     | For | For     |
| 14 | Ratification of Auditor  | Mgmt    | For     | For | For     |
| 15 | Shareholder Proposal Regarding Report on Political Spending    | ShrHldr | Against | For | Against |
| 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against | For | Against |

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| Prudential Financial, Inc. |  |              |          |                |                  |  |
|----------------------------|--|--------------|----------|----------------|------------------|--|
| Ticker                     | Security ID:   | Meeting Date |          | Meeting Status |                  |  |
| PRU                        | CUSIP 744320102  | 05/13/2014   |          | Voted          |                  |  |
| Meeting Type               | Country of Trade   |              |          |                |                  |  |
| Annual                     | United States  |              |          |                |                  |  |
| Issue No.                  | Description  | Proponent    | Mgmt Rec | Vote Cast      | For/Against Mgmt |  |
| 1                          | Elect Thomas J. Baltimore, Jr.                           | Mgmt         | For      | For            | For              |  |
| 2                          | Elect Gordon M. Bethune                                  | Mgmt         | For      | For            | For              |  |
| 3                          | Elect Gilbert F. Casellas                                | Mgmt         | For      | For            | For              |  |
| 4                          | Elect James G. Cullen                                    | Mgmt         | For      | For            | For              |  |
| 5                          | Elect Mark B. Grier                                      | Mgmt         | For      | For            | For              |  |
| 6                          | Elect Constance J. Horner                                | Mgmt         | For      | For            | For              |  |
| 7                          | Elect Martina Hund-Mejean                                | Mgmt         | For      | For            | For              |  |
| 8                          | Elect Karl J. Krapek                                     | Mgmt         | For      | For            | For              |  |
| 9                          | Elect Christine A. Poon                                  | Mgmt         | For      | For            | For              |  |
| 10                         | Elect Douglas A. Scovanner                               | Mgmt         | For      | For            | For              |  |
| 11                         | Elect John R. Strangfeld                                 | Mgmt         | For      | For            | For              |  |
| 12                         | Elect James A. Unruh                                     | Mgmt         | For      | For            | For              |  |
| 13                         | Ratification of Auditor                                  | Mgmt         | For      | For            | For              |  |
| 14                         | Advisory Vote on Executive Compensation                  | Mgmt         | For      | Against        | Against          |  |
| 15                         | Shareholder Proposal Regarding Retention of Shares Until | ShrHldr      | Against  | Against        | For              |  |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Retirement

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| QUALCOMM Incorporated |  |                  |                |           |                  |  |
|-----------------------|--|------------------|----------------|-----------|------------------|--|
| Ticker                | Security ID:   | Meeting Date     | Meeting Status |           |                  |  |
| QCOM                  | CUSIP 747525103                                      | 03/04/2014       | Voted          |           |                  |  |
| Meeting Type          |  | Country of Trade |                |           |                  |  |
| Annual                |  | United States    |                |           |                  |  |
| Issue No.             | Description  | Proponent        | Mgmt Rec       | Vote Cast | For/Against Mgmt |  |
| 1                     | Elect Barbara T. Alexander                           | Mgmt             | For            | For       | For              |  |
| 2                     | Elect Donald G. Cruickshank                          | Mgmt             | For            | For       | For              |  |
| 3                     | Elect Raymond V. Dittamore                           | Mgmt             | For            | For       | For              |  |
| 4                     | Elect Susan Hockfield                                | Mgmt             | For            | For       | For              |  |
| 5                     | Elect Thomas W. Horton                               | Mgmt             | For            | For       | For              |  |
| 6                     | Elect Paul E. Jacobs                                 | Mgmt             | For            | For       | For              |  |
| 7                     | Elect Sherry Lansing                                 | Mgmt             | For            | For       | For              |  |
| 8                     | Elect Steven M. Mollenkopf                           | Mgmt             | For            | For       | For              |  |
| 9                     | Elect Duane A. Nelles                                | Mgmt             | For            | For       | For              |  |
| 10                    | Elect Clark T. Randt, Jr.                            | Mgmt             | For            | For       | For              |  |
| 11                    | Elect Francisco Ros                                  | Mgmt             | For            | For       | For              |  |
| 12                    | Elect Jonathan J. Rubinstein                         | Mgmt             | For            | For       | For              |  |
| 13                    | Elect Brent Scowcroft                                | Mgmt             | For            | For       | For              |  |
| 14                    | Elect Marc I. Stern                                  | Mgmt             | For            | For       | For              |  |
| 15                    | Ratification of Auditor                              | Mgmt             | For            | For       | For              |  |
| 16                    | Advisory Vote on Executive Compensation              | Mgmt             | For            | For       | For              |  |
| 17                    | Frequency of Advisory Vote on Executive Compensation | Mgmt             | 1 Year         | 1 Year    | For              |  |

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| Schlumberger N.V. (Schlumberger Limited) |                 |                  |                |           |             |  |
|--|-----------------|------------------|----------------|-----------|-------------|--|
| Ticker                                   | Security ID:    | Meeting Date     | Meeting Status |           |             |  |
| SLB                                      | CUSIP 806857108 | 04/09/2014       | Voted          |           |             |  |
| Meeting Type                             |                 | Country of Trade |                |           |             |  |
| Annual                                   |                 | United States    |                |           |             |  |
| Issue No.                                | Description     | Proponent        | Mgmt Rec       | Vote Cast | For/Against |  |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |   |      |     |     | Mgmt |
|----|---|------|-----|-----|------|
| 1  | Elect Peter L.S. Currie                       | Mgmt | For | For | For  |
| 2  | Elect Tony Isaac                              | Mgmt | For | For | For  |
| 3  | Elect K.V. Kamath                             | Mgmt | For | For | For  |
| 4  | Elect Maureen Kempston<br>Darkes              | Mgmt | For | For | For  |
| 5  | Elect Paal Kibsgaard                          | Mgmt | For | For | For  |
| 6  | Elect Nikolay<br>Kudryavtsev                  | Mgmt | For | For | For  |
| 7  | Elect Michael E. Marks                        | Mgmt | For | For | For  |
| 8  | Elect Lubna Olayan                            | Mgmt | For | For | For  |
| 9  | Elect Leo Rafael Reif                         | Mgmt | For | For | For  |
| 10 | Elect Tore I. Sandvold                        | Mgmt | For | For | For  |
| 11 | Elect Henri Seydoux                           | Mgmt | For | For | For  |
| 12 | Advisory Vote on<br>Executive<br>Compensation | Mgmt | For | For | For  |
| 13 | Approval of Financial<br>Statements/Dividends | Mgmt | For | For | For  |
| 14 | Appointment of Auditor                        | Mgmt | For | For | For  |

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Starbucks Corporation

| Ticker       | Security ID:  | Meeting Date | Meeting Status |           |                     |
|--------------|---|--------------|----------------|-----------|---------------------|
| SBUX         | CUSIP 855244109   | 03/19/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade  |              |                |           |                     |
| Annual       | United States   |              |                |           |                     |
| Issue No.    | Description   | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Howard Schultz  | Mgmt         | For            | For       | For                 |
| 2            | Elect William W. Bradley                                      | Mgmt         | For            | For       | For                 |
| 3            | Elect Robert M. Gates   | Mgmt         | For            | For       | For                 |
| 4            | Elect Mellody Hobson  | Mgmt         | For            | For       | For                 |
| 5            | Elect Kevin R. Johnson  | Mgmt         | For            | For       | For                 |
| 6            | Elect Olden Lee   | Mgmt         | For            | For       | For                 |
| 7            | Elect Joshua C. Ramo  | Mgmt         | For            | For       | For                 |
| 8            | Elect James G. Shennan,<br>Jr.                                | Mgmt         | For            | For       | For                 |
| 9            | Elect Clara Shih  | Mgmt         | For            | For       | For                 |
| 10           | Elect Javier G. Teruel  | Mgmt         | For            | For       | For                 |
| 11           | Elect Myron E. Ullman<br>III                                  | Mgmt         | For            | For       | For                 |
| 12           | Elect Craig E. Weatherup                                      | Mgmt         | For            | For       | For                 |
| 13           | Advisory Vote on<br>Executive<br>Compensation                 | Mgmt         | For            | Against   | Against             |
| 14           | Ratification of Auditor                                       | Mgmt         | For            | For       | For                 |
| 15           | Shareholder Proposal<br>Regarding Prohibition<br>of Political | ShrHldr      | Against        | Against   | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Spending

|    |  |         |         |     |         |
|----|--|---------|---------|-----|---------|
| 16 | Shareholder Proposal<br>Regarding Independent<br>Board<br>Chairman | ShrHldr | Against | For | Against |
|----|--|---------|---------|-----|---------|

Swift Transportation Co.

|        |                 |              |                |
|--------|-----------------|--------------|----------------|
| Ticker | Security ID:    | Meeting Date | Meeting Status |
| SWFT   | CUSIP 87074U101 | 05/08/2014   | Voted          |

|              |                  |
|--------------|------------------|
| Meeting Type | Country of Trade |
| Annual       | United States    |

| Issue No. | Description | Proponent | Mgmt Rec | Vote Cast | For/Against<br>Mgmt |
|-----------|-------------|-----------|----------|-----------|---------------------|
|-----------|-------------|-----------|----------|-----------|---------------------|

|     |   |      |     |     |     |
|-----|---|------|-----|-----|-----|
| 1.1 | Elect Jerry Moyes                             | Mgmt | For | For | For |
| 1.2 | Elect Richard H. Dozer                        | Mgmt | For | For | For |
| 1.3 | Elect David Vander Ploeg                      | Mgmt | For | For | For |
| 1.4 | Elect Glenn Brown                             | Mgmt | For | For | For |
| 2   | Advisory Vote on<br>Executive<br>Compensation | Mgmt | For | For | For |

|   |                                |      |     |     |     |
|---|--------------------------------|------|-----|-----|-----|
| 3 | 2014 Omnibus Incentive<br>Plan | Mgmt | For | For | For |
|---|--------------------------------|------|-----|-----|-----|

|   |   |         |         |     |         |
|---|---|---------|---------|-----|---------|
| 4 | Shareholder Proposal<br>Regarding<br>Recapitalization | ShrHldr | Against | For | Against |
|---|---|---------|---------|-----|---------|

|   |                         |      |     |     |     |
|---|-------------------------|------|-----|-----|-----|
| 5 | Ratification of Auditor | Mgmt | For | For | For |
|---|-------------------------|------|-----|-----|-----|

Target Corporation

|        |                 |              |                |
|--------|-----------------|--------------|----------------|
| Ticker | Security ID:    | Meeting Date | Meeting Status |
| TGT    | CUSIP 87612E106 | 06/11/2014   | Voted          |

|              |                  |
|--------------|------------------|
| Meeting Type | Country of Trade |
| Annual       | United States    |

| Issue No. | Description | Proponent | Mgmt Rec | Vote Cast | For/Against<br>Mgmt |
|-----------|-------------|-----------|----------|-----------|---------------------|
|-----------|-------------|-----------|----------|-----------|---------------------|

|    |   |      |     |         |         |
|----|---|------|-----|---------|---------|
| 1  | Elect Roxanne S. Austin                       | Mgmt | For | Against | Against |
| 2  | Elect Douglas M. Baker,<br>Jr.                | Mgmt | For | For     | For     |
| 3  | Elect Calvin Darden                           | Mgmt | For | For     | For     |
| 4  | Elect Henrique de Castro                      | Mgmt | For | For     | For     |
| 5  | Elect James A. Johnson                        | Mgmt | For | Against | Against |
| 6  | Elect Mary E. Minnick                         | Mgmt | For | For     | For     |
| 7  | Elect Anne M. Mulcahy                         | Mgmt | For | Against | Against |
| 8  | Elect Derica W. Rice                          | Mgmt | For | For     | For     |
| 9  | Elect Kenneth L. Salazar                      | Mgmt | For | For     | For     |
| 10 | Elect John G. Stumpf                          | Mgmt | For | For     | For     |
| 11 | Ratification of Auditor                       | Mgmt | For | For     | For     |
| 12 | Advisory Vote on<br>Executive<br>Compensation | Mgmt | For | Against | Against |

|    |                      |         |         |         |     |
|----|----------------------|---------|---------|---------|-----|
| 13 | Shareholder Proposal | ShrHldr | Against | Against | For |
|----|----------------------|---------|---------|---------|-----|

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Regarding Elimination  
of  
Perquisites

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 14 | Shareholder Proposal<br>Regarding Independent<br>Board<br>Chairman | ShrHldr | Against | For     | Against |
| 15 | Shareholder Proposal<br>Regarding Prohibiting<br>Discrimination    | ShrHldr | Against | Against | For     |

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Teva Pharmaceutical Industries Ltd.

|              |                 |                  |                |
|--------------|-----------------|------------------|----------------|
| Ticker       | Security ID:    | Meeting Date     | Meeting Status |
| TEVA         | CUSIP 881624209 | 02/24/2014       | Voted          |
| Meeting Type |                 | Country of Trade |                |
| Special      |                 | United States    |                |
| Issue No.    | Description     | Proponent        | Mgmt Rec       |
|              |                 |                  | Vote Cast      |
|              |                 |                  | For/Against    |
|              |                 |                  | Mgmt           |

|   |                      |      |     |     |     |
|---|----------------------|------|-----|-----|-----|
| 1 | CEO Employment Terms | Mgmt | For | For | For |
|---|----------------------|------|-----|-----|-----|

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Teva Pharmaceutical Industries Ltd.

|              |                    |                  |                |
|--------------|--------------------|------------------|----------------|
| Ticker       | Security ID:       | Meeting Date     | Meeting Status |
| TEVA         | CUSIP 8.81624e+008 | 08/27/2013       | Voted          |
| Meeting Type |                    | Country of Trade |                |
| Annual       |                    | United States    |                |
| Issue No.    | Description        | Proponent        | Mgmt Rec       |
|              |                    |                  | Vote Cast      |
|              |                    |                  | For/Against    |
|              |                    |                  | Mgmt           |

|   |  |      |     |     |     |
|---|--|------|-----|-----|-----|
| 1 | Elect Moshe Many                       | Mgmt | For | For | For |
| 2 | Elect Arie S. Belldegrun               | Mgmt | For | For | For |
| 3 | Elect Amir Elstein                     | Mgmt | For | For | For |
| 4 | Elect Yitzhak Peterburg                | Mgmt | For | For | For |
| 5 | Cash Bonus for<br>President and<br>CEO | Mgmt | For | For | For |

|   |   |      |     |         |     |
|---|---|------|-----|---------|-----|
| 6 | Vote FOR if you have a<br>personal interest in<br>item; otherwise, vote<br>AGAINST. You may not<br>abstain. If you vote<br>FOR, please provide an<br>explanation to your<br>account<br>manager. | Mgmt | N/A | Against | N/A |
|---|---|------|-----|---------|-----|

|   |  |      |     |     |     |
|---|--|------|-----|-----|-----|
| 7 | Bonus Plan for<br>President and<br>CEO | Mgmt | For | For | For |
|---|--|------|-----|-----|-----|

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |   |      |     |         |     |
|----|---|------|-----|---------|-----|
| 8  | Vote FOR if you have a personal interest in item; otherwise, vote AGAINST. You may not abstain. If you vote FOR, please provide an explanation to your account manager. | Mgmt | N/A | Against | N/A |
| 9  | Approval of Compensation Policy   | Mgmt | For | For     | For |
| 10 | Vote FOR if you have a personal interest in item; otherwise, vote AGAINST. You may not abstain. If you vote FOR, please provide an explanation to your account manager. | Mgmt | N/A | Against | N/A |
| 11 | Allocation of Profits/Dividends   | Mgmt | For | For     | For |
| 12 | Appointment of Auditor and Authority to Set Fees  | Mgmt | For | For     | For |

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### Texas Instruments Incorporated

| Ticker       | Security ID:                            | Meeting Date | Meeting Status |           |                  |
|--------------|---|--------------|----------------|-----------|------------------|
| TXN          | CUSIP 882508104                         | 04/17/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade                        | Proponent    | Mgmt Rec       |           |                  |
| Annual       | United States                           |              |                |           |                  |
| Issue No.    | Description                             | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Ralph W. Babb, Jr.                | Mgmt         | For            | For       | For              |
| 2            | Elect Mark A. Blinn                     | Mgmt         | For            | For       | For              |
| 3            | Elect Daniel A. Carp                    | Mgmt         | For            | For       | For              |
| 4            | Elect Carrie S. Cox                     | Mgmt         | For            | For       | For              |
| 5            | Elect Ronald Kirk                       | Mgmt         | For            | For       | For              |
| 6            | Elect Pamela H. Patsley                 | Mgmt         | For            | For       | For              |
| 7            | Elect Robert E. Sanchez                 | Mgmt         | For            | For       | For              |
| 8            | Elect Wayne R. Sanders                  | Mgmt         | For            | For       | For              |
| 9            | Elect Ruth J. Simmons                   | Mgmt         | For            | For       | For              |
| 10           | Elect Richard K. Templeton              | Mgmt         | For            | For       | For              |
| 11           | Elect Christine Todd Whitman            | Mgmt         | For            | For       | For              |
| 12           | Advisory Vote on Executive Compensation | Mgmt         | For            | For       | For              |
| 13           | Ratification of Auditor                 | Mgmt         | For            | For       | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |   |      |     |     |     |
|----|---|------|-----|-----|-----|
| 14 | Approve the 2014 Stock Purchase Plan                              | Mgmt | For | For | For |
| 15 | Approve Performance Criteria of the 2009 Long-Term Incentive Plan | Mgmt | For | For | For |

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Texttron Inc.

|              |  |                  |                |           |                  |
|--------------|--|------------------|----------------|-----------|------------------|
| Ticker       | Security ID:   | Meeting Date     | Meeting Status |           |                  |
| TXT          | CUSIP 883203101  | 04/23/2014       | Voted          |           |                  |
| Meeting Type |  | Country of Trade |                |           |                  |
| Annual       |  | United States    |                |           |                  |
| Issue No.    | Description  | Proponent        | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Scott C. Donnelly  | Mgmt             | For            | For       | For              |
| 2            | Elect Kathleen M. Bader  | Mgmt             | For            | For       | For              |
| 3            | Elect R. Kerry Clark   | Mgmt             | For            | For       | For              |
| 4            | Elect James T. Conway  | Mgmt             | For            | For       | For              |
| 5            | Elect Ivor J. Evans  | Mgmt             | For            | For       | For              |
| 6            | Elect Lawrence K. Fish   | Mgmt             | For            | For       | For              |
| 7            | Elect Paul E. Gagne  | Mgmt             | For            | For       | For              |
| 8            | Elect Dain M. Hancock  | Mgmt             | For            | For       | For              |
| 9            | Elect Lord Powell of Bayswater KCMG                            | Mgmt             | For            | For       | For              |
| 10           | Elect Lloyd G. Trotter   | Mgmt             | For            | For       | For              |
| 11           | Elect James L. Ziemer  | Mgmt             | For            | For       | For              |
| 12           | Advisory Vote on Executive Compensation                        | Mgmt             | For            | For       | For              |
| 13           | Ratification of Auditor  | Mgmt             | For            | For       | For              |
| 14           | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr          | Against        | For       | Against          |

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The Procter & Gamble Company

|              |                                 |                  |                |           |                  |
|--------------|---------------------------------|------------------|----------------|-----------|------------------|
| Ticker       | Security ID:                    | Meeting Date     | Meeting Status |           |                  |
| PG           | CUSIP 742718109                 | 10/08/2013       | Voted          |           |                  |
| Meeting Type |                                 | Country of Trade |                |           |                  |
| Annual       |                                 | United States    |                |           |                  |
| Issue No.    | Description                     | Proponent        | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Elect Angela F. Braly           | Mgmt             | For            | For       | For              |
| 2            | Elect Kenneth I. Chenault       | Mgmt             | For            | For       | For              |
| 3            | Elect Scott D. Cook             | Mgmt             | For            | For       | For              |
| 4            | Elect Susan D. Desmond-Hellmann | Mgmt             | For            | For       | For              |

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |  |      |     |     |     |
|----|--|------|-----|-----|-----|
| 5  | Elect Alan G. Lafley                           | Mgmt | For | For | For |
| 6  | Elect Terry J. Lundgren                        | Mgmt | For | For | For |
| 7  | Elect W. James<br>McNerney,<br>Jr.             | Mgmt | For | For | For |
| 8  | Elect Margaret C.<br>Whitman                   | Mgmt | For | For | For |
| 9  | Elect Mary Agnes<br>Wilderotter                | Mgmt | For | For | For |
| 10 | Elect Patricia A. Woertz                       | Mgmt | For | For | For |
| 11 | Elect Ernesto Zedillo<br>Ponce de<br>Leon      | Mgmt | For | For | For |
| 12 | Ratification of Auditor                        | Mgmt | For | For | For |
| 13 | Elimination of<br>Supermajority<br>Requirement | Mgmt | For | For | For |
| 14 | 2013 Non-Employee<br>Directors' Stock<br>Plan  | Mgmt | For | For | For |
| 15 | Advisory Vote on<br>Executive<br>Compensation  | Mgmt | For | For | For |

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Valero Energy Corporation

| Ticker       | Security ID:                     | Meeting Date | Meeting Status |           |                     |
|--------------|----------------------------------|--------------|----------------|-----------|---------------------|
| VLO          | CUSIP 91913Y100                  | 05/01/2014   | Voted          |           |                     |
| Meeting Type | Country of Trade                 | Proponent    | Mgmt Rec       |           |                     |
| Annual       | United States                    |              |                |           |                     |
| Issue No.    | Description                      | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Jerry D. Choate            | Mgmt         | For            | For       | For                 |
| 2            | Elect Joseph W Gorder            | Mgmt         | For            | For       | For                 |
| 3            | Elect William R. Klesse          | Mgmt         | For            | For       | For                 |
| 4            | Elect Deborah P. Majoras         | Mgmt         | For            | For       | For                 |
| 5            | Elect Donald L. Nickles          | Mgmt         | For            | For       | For                 |
| 6            | Elect Phillip J.<br>Pfeiffer     | Mgmt         | For            | For       | For                 |
| 7            | Elect Robert A. Profusek         | Mgmt         | For            | For       | For                 |
| 8            | Elect Susan K. Purcell           | Mgmt         | For            | For       | For                 |
| 9            | Elect Stephen M. Waters          | Mgmt         | For            | For       | For                 |
| 10           | Elect Randall J.<br>Weisenburger | Mgmt         | For            | For       | For                 |
| 11           | Elect Rayford Wilkins<br>Jr.     | Mgmt         | For            | For       | For                 |
| 12           | Ratification of Auditor          | Mgmt         | For            | For       | For                 |
| 13           | Advisory Vote on<br>Executive    | Mgmt         | For            | For       | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

### Compensation

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 14 | Stockholder Proposal<br>Regarding Compensation<br>in the Event of a<br>Change in<br>Control            | ShrHldr | Against | For     | Against |
| 15 | Shareholder Proposal<br>Regarding Greenhouse<br>Gas Emissions<br>Reporting and<br>Reduction<br>Targets | ShrHldr | Against | Against | For     |
| 16 | Shareholder Proposal<br>Regarding Lobbying<br>Report   | ShrHldr | Against | For     | Against |

### Verizon Communications Inc.

|              |   |                  |                |           |                     |
|--------------|---|------------------|----------------|-----------|---------------------|
| Ticker       | Security ID:                              | Meeting Date     | Meeting Status |           |                     |
| VZ           | CUSIP 92343V104                           | 01/28/2014       | Voted          |           |                     |
| Meeting Type |   | Country of Trade |                |           |                     |
| Special      |   | United States    |                |           |                     |
| Issue No.    | Description                               | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Acquisition                               | Mgmt             | For            | For       | For                 |
| 2            | Increase of Authorized<br>Common<br>Stock | Mgmt             | For            | For       | For                 |
| 3            | Right to Adjourn Meeting                  | Mgmt             | For            | Against   | Against             |

### Verizon Communications Inc.

|              |                                |                  |                |           |                     |
|--------------|--------------------------------|------------------|----------------|-----------|---------------------|
| Ticker       | Security ID:                   | Meeting Date     | Meeting Status |           |                     |
| VZ           | CUSIP 92343V104                | 05/01/2014       | Voted          |           |                     |
| Meeting Type |                                | Country of Trade |                |           |                     |
| Annual       |                                | United States    |                |           |                     |
| Issue No.    | Description                    | Proponent        | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |
| 1            | Elect Shellye L.<br>Archambeau | Mgmt             | For            | For       | For                 |
| 2            | Elect Richard L. Carrion       | Mgmt             | For            | For       | For                 |
| 3            | Elect Melanie L. Healey        | Mgmt             | For            | For       | For                 |
| 4            | Elect M. Frances Keeth         | Mgmt             | For            | For       | For                 |
| 5            | Elect Robert W. Lane           | Mgmt             | For            | For       | For                 |
| 6            | Elect Lowell C. McAdam         | Mgmt             | For            | For       | For                 |
| 7            | Elect Donald T.<br>Nicolaisen  | Mgmt             | For            | For       | For                 |
| 8            | Elect Clarence Otis, Jr.       | Mgmt             | For            | For       | For                 |
| 9            | Elect Rodney E. Slater         | Mgmt             | For            | For       | For                 |
| 10           | Elect Kathryn A. Tesija        | Mgmt             | For            | For       | For                 |
| 11           | Elect Gregory D. Wasson        | Mgmt             | For            | For       | For                 |
| 12           | Ratification of Auditor        | Mgmt             | For            | For       | For                 |
| 13           | Advisory Vote on               | Mgmt             | For            | For       | For                 |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

### Executive Compensation

|    |  |         |         |         |         |
|----|--|---------|---------|---------|---------|
| 14 | Adoption of Proxy Access   | Mgmt    | For     | For     | For     |
| 15 | Shareholder Proposal<br>Regarding Network<br>Neutrality                  | ShrHldr | Against | Against | For     |
| 16 | Shareholder Proposal<br>Regarding Lobbying<br>Report                     | ShrHldr | Against | Against | For     |
| 17 | Shareholder Proposal<br>Regarding Approval of<br>Severance<br>Agreements | ShrHldr | Against | Against | For     |
| 18 | Shareholder Proposal<br>Regarding Right to<br>Call a Special<br>Meeting  | ShrHldr | Against | For     | Against |
| 19 | Shareholder Proposal<br>Regarding Right to Act<br>by Written<br>Consent  | ShrHldr | Against | For     | Against |
| 20 | Shareholder Proposal<br>Regarding Counting<br>Shareholder<br>Votes       | ShrHldr | Against | Against | For     |

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### Visa Inc.

| Ticker       | Security ID:<br>CUSIP                           | Meeting Date | Meeting Status |           |                     |  |
|--------------|---|--------------|----------------|-----------|---------------------|--|
| V            | 92826C839                                       | 01/29/2014   | Voted          |           |                     |  |
| Meeting Type | Country of Trade                                |              |                |           |                     |  |
| Annual       | United States                                   |              |                |           |                     |  |
| Issue No.    | Description                                     | Proponent    | Mgmt Rec       | Vote Cast | For/Against<br>Mgmt |  |
| 1            | Elect Mary B. Cranston                          | Mgmt         | For            | Against   | Against             |  |
| 2            | Elect Francisco Javier<br>Fernandez<br>Carbajal | Mgmt         | For            | For       | For                 |  |
| 3            | Elect Alfred F. Kelly,<br>Jr.                   | Mgmt         | For            | For       | For                 |  |
| 4            | Elect Robert W.<br>Matschullat                  | Mgmt         | For            | For       | For                 |  |
| 5            | Elect Cathy E. Minehan                          | Mgmt         | For            | For       | For                 |  |
| 6            | Elect Suzanne Nora<br>Johnson                   | Mgmt         | For            | For       | For                 |  |
| 7            | Elect David J. PANG                             | Mgmt         | For            | For       | For                 |  |
| 8            | Elect Charles W. Scharf                         | Mgmt         | For            | For       | For                 |  |
| 9            | Elect William S.                                | Mgmt         | For            | For       | For                 |  |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Shanahan

|    |   |      |     |     |     |
|----|---|------|-----|-----|-----|
| 10 | Elect John A.C. Swainson                | Mgmt | For | For | For |
| 11 | Elect Maynard G. Webb, Jr.              | Mgmt | For | For | For |
| 12 | Advisory Vote on Executive Compensation | Mgmt | For | For | For |
| 13 | Ratification of Auditor                 | Mgmt | For | For | For |

Weatherford International Ltd.

| Ticker       | Security ID:                                | Meeting Date | Meeting Status |           |                  |
|--------------|---|--------------|----------------|-----------|------------------|
| WFT          | CUSIP H27013103                             | 06/16/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade                            |              |                |           |                  |
| Special      | United States                               |              |                |           |                  |
| Issue No.    | Description                                 | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Redomestication from Switzerland to Ireland | Mgmt         | For            | For       | For              |
| 2            | Distribution of Profit Reserves             | Mgmt         | For            | For       | For              |
| 3            | Transaction of Other Business               | Mgmt         | For            | Against   | Against          |

XL Group plc

| Ticker       | Security ID:  | Meeting Date | Meeting Status |           |                  |
|--------------|---|--------------|----------------|-----------|------------------|
| XL           | CUSIP G98290102   | 04/25/2014   | Voted          |           |                  |
| Meeting Type | Country of Trade  |              |                |           |                  |
| Annual       | United States   |              |                |           |                  |
| Issue No.    | Description   | Proponent    | Mgmt Rec       | Vote Cast | For/Against Mgmt |
| 1            | Repeal of Classified Board                                  | Mgmt         | For            | For       | For              |
| 2            | Elect Michael S. McGavick                                   | Mgmt         | For            | For       | For              |
| 3            | Elect Anne L. Stevens                                       | Mgmt         | For            | For       | For              |
| 4            | Elect John M. Vereker                                       | Mgmt         | For            | For       | For              |
| 5            | Appointment of Auditor and Authority to Set Fees            | Mgmt         | For            | For       | For              |
| 6            | Advisory Vote on Executive Compensation                     | Mgmt         | For            | For       | For              |
| 7            | Renewal of Authority to Issue Shares with Preemptive Rights | Mgmt         | For            | For       | For              |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

|    |  |      |     |     |     |
|----|--|------|-----|-----|-----|
| 8  | Renewal of Authority to Issue Shares without Preemptive Rights | Mgmt | For | For | For |
| 9  | Amendments to Articles of Association                          | Mgmt | For | For | For |
| 10 | Extend the Term of the Directors Stock and Option Plan         | Mgmt | For | For | For |

### SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant): AllianzGI Equity & Convertible Income Fund

By (Signature and Title)\*: /s/ Julian F. Sluyters

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Name: Julian F. Sluyters

Title: President and Chief Executive Officer

Date: August 27, 2014

\* Print the name and title of each signing officer under his or her signature.