

ADA-ES INC  
Form 8-K  
November 05, 2012

**U.S. SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934**

**Date of Report (Date of earliest event reported): November 5, 2012**

**ADA-ES, INC.**

**(Name of registrant as specified in its charter)**

**Colorado**  
**(State or other jurisdiction**  
**of incorporation)**

**000-50216**  
**(Commission**  
**File Number)**

**84-1457385**  
**(I.R.S. Employer**  
**Identification Number)**

**9135 South Ridgeline Blvd., Highlands Ranch, Colorado**  
**(Address of principal executive offices)**

**80129**  
**(Zip Code)**

**Registrant's telephone number, including area code: (303) 734-1727**

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**Not Applicable**

**(Former name or former address, if changed since last report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 7.01 Regulation FD Disclosure**

On November 5, 2012, Michael D. Durham, President and CEO, and Mark McKinnies, Senior Vice President and Chief Financial Officer, of ADA-ES, Inc. ( ADA ) are scheduled to present at the Baird 2012 Industrial Conference in Chicago. A copy of the slides to be used at the event will be available via the Investor Information section of ADA s website at [www.adaes.com](http://www.adaes.com) on or before November 5, 2012. A copy of the presentation materials is attached hereto as Exhibit 99.1.

On November 1, 2012, we issued a press release announcing Mr. Durham s and Mr. McKinnies scheduled presentation, announcing the appointment of a new director and providing an update on ADA s refined coal and emission control business segments. A copy of the press release is furnished as Exhibit 99.2 to this report.

**Item 9.01. Financial Statements and Exhibits**

(d) The following items are furnished as exhibits to this report:

99.1 November 2012 Presentation.

99.2 Press Release, ADA-ES, Inc. Provides Operating Update; Announces Presentation at Baird 2012 Industrial Conference and Appoints New Director dated November 1, 2012.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Date: November 5, 2012

**ADA-ES, Inc.**

Registrant

/s/ Mark H. McKinnies  
Mark H. McKinnies

Senior Vice President and Chief Financial Officer

**INDEX TO EXHIBITS**

| <b>Exhibit No.</b> | <b>Description</b>  |
|--------------------|---|
| 99.1               | November 2012 Presentation.   |
| 99.2               | Press Release, ADA-ES, Inc. Provides Operating Update; Announces Presentation at Baird 2012 Industrial Conference and Appoints New Director dated November 1, 2012. |