NEWTEK BUSINESS SERVICES INC Form 8-K/A March 02, 2011

# **UNITED STATES**

## **SECURITIES AND EXCHANGE COMMISSION**

WASHINGTON, D.C. 20549

# FORM 8-K/A

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the

**Securities Exchange Act of 1934** 

March 2, 2011 (December 15, 2010)

Date of Report (date of Earliest Event Reported)

# **NEWTEK BUSINESS SERVICES, INC.**

(Exact Name of Company as Specified in its Charter)

NEW YORK

001-16123

11-3504638

#### Edgar Filing: NEWTEK BUSINESS SERVICES INC - Form 8-K/A

(State or Other Jurisdiction of

(Commission

(I.R.S. Employer

**Identification No.)** 

Incorporation or Organization)

File No.) 1440 Broadway, 17<sup>th</sup> floor, New York, NY 10018

(Address of principal executive offices and zip code)

#### (212) 356-9500

(Company s telephone number, including area code)

(Former name or former address, if changed from last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### EXPLANATORY NOTE

This Current Report on Form 8-K/A amends the Current Report on Form 8-K filed by Newtek Business Services, Inc. on December 12, 2010 (the Original Report) solely to replace Exhibits 10.18.1 and 10.18.2 attached thereto (the Exhibits). The Exhibits were substantively complete and accurate; however, due to a technical error in the EDGAR uploading process, the Exhibits were incorrectly formatted. Properly formatted versions of the Exhibits are attached hereto and replace in their entirety the Exhibits attached to the Original Report.

#### Item 9.01. Financial Statements and Exhibits. (d) Exhibits.

#### Exhibit Number

#### Description

- 10.18.1 Loan and Security Agreement, dated as of December 15, 2010, by and between Newtek Small Business Finance, Inc. and Capital One, N.A.
- 10.18.2 Guaranty Agreement, dated as of December 15, 2010, by and between Newtek Business Services, Inc., and Capital One, N.A.

#### SIGNATURES

In accordance with the requirements of the Securities Exchange Act of 1934, the registrant has caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NEWTEK BUSINESS SERVICES, INC.

Date: March 2, 2011

/s/ Barry Sloane Barry Sloane Chairman of the Board and Chief Executive Officer

### EXHIBIT INDEX

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