

GENESEE & WYOMING INC  
Form 4  
June 05, 2008

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FULLER MORTIMER B III

2. Issuer Name and Ticker or Trading Symbol  
GENESEE & WYOMING INC  
[GWR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
66 FIELD POINT ROAD  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
06/04/2008

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Exec.Chmn. & Chrmn.of the Bd.

GREENWICH, CT 06830

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(D)	Price	
Class A Common Stock, \$.01 par value					9,589.5	I <sup>(1)</sup>	By Wife <sup>(1)</sup>	
Class B Common Stock, \$.01 par value					3,027,667.5 <sup>(2)</sup>	D		
Class A Common	06/04/2008		M	25,616	A	\$ 9.96	364,110	D

Edgar Filing: GENESEE & WYOMING INC - Form 4

Stock, \$.01 par value							
Class A Common Stock, \$.01 par value	06/04/2008	M	31,283	A	\$ 15.6333	395,393	D
Class A Common Stock, \$.01 par value	06/04/2008	<u>S<sup>(3)</sup></u>	700	D	\$ 40.41	394,693	D
Class A Common Stock, \$.01 par value	06/04/2008	<u>S<sup>(3)</sup></u>	600	D	\$ 40.44	394,093	D
Class A Common Stock, \$.01 par value	06/04/2008	<u>S<sup>(3)</sup></u>	2,763	D	\$ 40.45	391,330	D
Class A Common Stock, \$.01 par value	06/04/2008	<u>S<sup>(3)</sup></u>	1,300	D	\$ 40.47	390,030	D
Class A Common Stock, \$.01 par value	06/04/2008	<u>S<sup>(3)</sup></u>	1,100	D	\$ 40.49	388,930	D
Class A Common Stock, \$.01 par value	06/04/2008	<u>S<sup>(3)</sup></u>	200	D	\$ 40.495	388,730	D
Class A Common Stock, \$.01 par value	06/04/2008	<u>S<sup>(3)</sup></u>	300	D	\$ 40.5	388,430	D
Class A Common Stock,	06/04/2008	<u>S<sup>(3)</sup></u>	400	D	\$ 40.51	388,030	D

Edgar Filing: GENESEE & WYOMING INC - Form 4

Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	600	D	\$ 40.515	387,430	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	300	D	\$ 40.52	387,130	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	800	D	\$ 40.53	386,330	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	200	D	\$ 40.58	386,130	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	1,000	D	\$ 40.59	385,130	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	500	D	\$ 40.61	384,630	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	500	D	\$ 40.62	384,130	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	200	D	\$ 40.63	383,930	D
Class A Common Stock, \$.01 par	06/04/2008	S <sup>(3)</sup>	700	D	\$ 40.64	383,230	D

Edgar Filing: GENESEE & WYOMING INC - Form 4

value

Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	1,300	D	\$ 40.6408	381,930	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	1,400	D	\$ 40.6493	380,530	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	900	D	\$ 40.65	379,630	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	600	D	\$ 40.66	379,030	D
Class A Common Stock, \$.01 par value	06/04/2008	S <sup>(3)</sup>	600	D	\$ 40.67	378,430	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
	\$ 9.96	06/04/2008		M	25,616	<sup>(4)</sup> 07/30/2008		25,616

Stock Option (Right to Buy)								Class A Common Stock, \$.01 par value	
Stock Option (Right to Buy)	\$ 15.6333	06/04/2008	M	31,283	<u>(5)</u>	05/11/2009		Class A Common Stock, \$.01 par value	31,283

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FULLER MORTIMER B III 66 FIELD POINT ROAD GREENWICH, CT 06830	X		Exec.Chmn. & Chrmn.of the Bd.	

## Signatures

Allison M. Fergus, Attorney-in-Fact for Mortimer B. Fuller  
06/05/2008

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by Mr. Fuller's wife. Mr. Fuller disclaims beneficial ownership of these shares.
- (2) This Class B Common Stock is not registered pursuant to Section 12 of the Act. However, each share of Class B Common Stock is convertible into one share of Class A Common Stock.
- (3) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 30, 2008.
- (4) This option was granted under the Genesee & Wyoming Inc. Amended and Restated 2004 Omnibus Incentive Plan and became vested in three equal annual installments, beginning July 30, 2004.
- (5) This option was granted under the Genesee & Wyoming Inc. Amended and Restated 2004 Omnibus Incentive Plan and became vested in three equal annual installments, beginning May 11, 2005.

### Remarks:

Form #1 of 3 forms reporting 6/04/2008 transactions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.