

GLAXOSMITHKLINE PLC

Form 6-K

November 15, 2013

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

For period ending November 2013

GlaxoSmithKline plc  
(Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or  
will file annual reports under cover Form 20-F or Form 40-F

Form 20-F  Form 40-F

--

Indicate by check mark whether the registrant by furnishing the  
information contained in this Form is also thereby furnishing the  
information to the Commission pursuant to Rule 12g3-2(b) under the  
Securities Exchange Act of 1934.

Yes No

--

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 15 November 2013

Name of applicant:	GlaxoSmithKline plc
Name of scheme:	GlaxoSmithKline Share Option Plan - Ordinary Shares
Period of return:	From: 14 May 2013 To: 31 October 2013
Balance of unallotted securities under scheme(s) from previous return:	8,422,343
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	10,000,000
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):	8,664,315
Equals: Balance under scheme(s) not yet issued/allotted at end of period:	9,758,028

Name of contact:	Sonja Arsenić
Telephone number of contact:	020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 15 November 2013

Name of applicant:	GlaxoSmithKline plc
Name of scheme:	GlaxoSmithKline Share Option Plan - ADS
Period of return:	From: 14 May 2013 To: 31 October 2013
Balance of unallotted securities under scheme(s) from previous return:	8,660,524
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	10,000,000
	9,784,992

Edgar Filing: GLAXOSMITHKLINE PLC - Form 6-K

Less: Number of securities issued/allotted under scheme(s)  
during period (see LR3.5.7G):  
Equals: Balance under scheme(s) not yet issued/allotted at 8,875,532  
end of period:

Name of contact: Sonja Arsenić  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 15 November 2013

Name of applicant: GlaxoSmithKline plc  
Name of scheme: Glaxo Wellcome UK Share Option Scheme  
Period of return: From: 14 May 2013 To: 31 October 2013  
Balance of unallotted securities under scheme(s) from 5,119,750  
previous return:  
Plus: The amount by which the block scheme(s) has been  
increased since the date of the last return (if any increase 0  
has been applied for):  
Less: Number of securities issued/allotted under scheme(s) 0  
during period (see LR3.5.7G):  
Equals: Balance under scheme(s) not yet issued/allotted at 5,119,750  
end of period:

Name of contact: Sonja Arsenić  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Edgar Filing: GLAXOSMITHKLINE PLC - Form 6-K

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 15 November 2013

Name of applicant:	GlaxoSmithKline plc
Name of scheme:	Glaxo Wellcome International Share Option Scheme
Period of return:	From: 14 May 2013 To: 31 October 2013
Balance of unallotted securities under scheme(s) from previous return:	13,267,156
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	0
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):	0
Equals: Balance under scheme(s) not yet issued/allotted at end of period:	13,267,156

Name of contact:	Sonja Arsenić
Telephone number of contact:	020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 15 November 2013

Name of applicant:	GlaxoSmithKline plc
Name of scheme:	Glaxo Wellcome 1999 Share Option Plan
Period of return:	From: 14 May 2013 To: 31 October 2013
Balance of unallotted securities under scheme(s) from previous return:	1,040,000
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	0
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):	0
Equals: Balance under scheme(s) not yet issued/allotted at end of period:	1,040,000

Name of contact: Sonja Arsenić  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 15 November 2013

Name of applicant:	GlaxoSmithKline plc		
Name of scheme:	SmithKline Beecham 1989 Executive Share Option Plan - Approved - Ordinary Shares		
Period of return:	From:	14 May 2013	To: 31 October 2013
Balance of unallotted securities under scheme(s) from previous return:		163,606	
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):		0	
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):		0	
Equals: Balance under scheme(s) not yet issued/allotted at end of period:		163,606	

Name of contact: Sonja Arsenić  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Edgar Filing: GLAXOSMITHKLINE PLC - Form 6-K

Date: 15 November 2013

Name of applicant:	GlaxoSmithKline plc
Name of scheme:	SmithKline Beecham 1989 Executive Share Option Plan - Unapproved - ADS
Period of return:	From: 14 May 2013 To: 31 October 2013
Balance of unallotted securities under scheme(s) from previous return:	275,420
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	0
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):	0
Equals: Balance under scheme(s) not yet issued/allotted at end of period:	275,420
Name of contact:	Sonja Arsenić
Telephone number of contact:	020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 15 November 2013

Name of applicant:	GlaxoSmithKline plc
Name of scheme:	SmithKline Beecham 1989 Executive Share Option Plan - Unapproved - Ordinary
Period of return:	From: 14 May 2013 To: 31 October 2013
Balance of unallotted securities under scheme(s) from previous return:	100,000
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	0
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):	0
Equals: Balance under scheme(s) not yet issued/allotted at end of period:	100,000

Name of contact: Sonja Arsenić  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 15 November 2013

Name of applicant:	GlaxoSmithKline plc
Name of scheme:	GlaxoSmithKline Savings Related Share Option Scheme
Period of return:	From: 14 May 2013 To: 31 October 2013
Balance of unallotted securities under scheme(s) from previous return:	2,812,719*
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	0
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):	70,093
Equals: Balance under scheme(s) not yet issued/allotted at end of period:	2,742,626

Name of contact: Sonja Arsenić  
Telephone number of contact: 020 8047 5000

\*The difference between the opening balance of this return and the closing balance under the return for period 1 November 2012 - 31 May 2013 of 289 shares is due to administrative error in the previous period's return.

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 15 November 2013

Name of applicant:	GlaxoSmithKline plc		
Name of scheme:	GlaxoSmithKline plc Sharesave plan 2012		
Period of return:	From:	14 May 2013	To: 31 October 2013
Balance of unallotted securities under scheme(s) from previous return:		4,707*	
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):		10,000	
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):		5,023	
Equals: Balance under scheme(s) not yet issued/allotted at end of period:		9,684	

Name of contact: Sonja Arsenić  
Telephone number of contact: 020 8047 5000

\*The difference between the opening balance of this return and the closing balance under the return for period 1 November 2012 - 31 May 2013 of 289 shares is due to administrative error in the previous period's return.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc  
(Registrant)

Date: November 15, 2013

By: SIMON BICKNELL  
-----

Simon Bicknell  
Authorised Signatory for and on  
behalf of GlaxoSmithKline plc