

AMERICAN STATES WATER CO  
 Form 4  
 January 30, 2014

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 TANG EVA G

2. Issuer Name and Ticker or Trading Symbol  
 AMERICAN STATES WATER CO [AWR]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 1544 MANOR GATE DRIVE  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 01/28/2014

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Sr. Vice President & CFO

HACIENDA HEIGHTS, CA 91745-3833

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Shares	06/01/2013		A		25.8038 <sup>(1)</sup>	A	\$ 53.13
Common Shares	09/03/2013		A		30.4604 <sup>(2)</sup>	A	\$ 51.69
Common Shares	09/03/2013		A		75.5629 <sup>(3)</sup>	A	\$ 0
Common Shares	09/03/2013		D		5.6605 <sup>(4)</sup>	D	\$ 0
Common Shares	09/03/2013		A		10,440.8598 <sup>(5)</sup>	A	\$ 0
							20,881.7196

Edgar Filing: AMERICAN STATES WATER CO - Form 4

Common Shares	12/02/2013	A	57.266 <sup>(6)</sup>	A	\$ 27.71	20,938.9856	D	
Common Shares	12/05/2013	A	181.1683 <sup>(7)</sup>	A	\$ 0	21,120.1539	D	
Common Shares	01/28/2013	A	1,957	A	\$ 0	23,077.1539	D	
Common Shares	01/28/2013	A	3,771.403	A	\$ 0	7,486.496	I	401k

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TANG EVA G 1544 MANOR GATE DRIVE HACIENDA HEIGHTS, CA 91745-3833			Sr. Vice President & CFO	

## Signatures

/s/ Eva G. Tang                      01/30/2014

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) DER units credited on 6/1/2013 as dividend at FMV
- (2) DER units credited on 9/3/2013 as dividend at FMV
- (3) DRIP
- (4) Adjustment due to partial shares
- (5) Adjustment due to 2 for 1 stock split
- (6) DER units credited on 12/2/2013 as dividend at FMV
- (7) DRIP

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.