AMERICAN STATES WATER CO

Form 4

January 30, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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OMB APPROVAL

response...

Estimated average

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * TANG EVA G Symbol

(First)

(Street)

1544 MANOR GATE DRIVE

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

AMERICAN STATES WATER CO

(Check all applicable)

[AWR]

3. Date of Earliest Transaction

Director _X__ Officer (give title

10% Owner Other (specify

(Month/Day/Year)

01/28/2014

below)

Sr. Vice President & CFO

(Middle)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

HACIENDA HEIGHTS, CA 91745-3833

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Secu	rities	Acquired	l, Disposed of, or	Beneficially (Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acordisposed of (D) (Instr. 3, 4 and 5	(A)	(A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Shares	06/01/2013		A	25.8038 (1)	A	\$ 53.13	10,340.497	D	
Common Shares	09/03/2013		A	30.4604 (2)	A	\$ 51.69	10,370.9574	D	
Common Shares	09/03/2013		A	75.5629 <u>(3)</u>	A	\$ 0	10,446.5203	D	
Common Shares	09/03/2013		D	5.6605 (4)	D	\$ 0	10,440.8598	D	
Common Shares	09/03/2013		A	10,440.8598 (5)	A	\$0	20,881.7196	D	

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Common Shares	12/02/2013	A	57.266 <u>(6)</u>	A	\$ 27.71	20,938.9856	D	
Common Shares	12/05/2013	A	181.1683 <u>(7)</u>	A	\$0	21,120.1539	D	
Common Shares	01/28/2013	A	1,957	A	\$0	23,077.1539	D	
Common Shares	01/28/2013	A	3,771.403	A	\$0	7,486.496	I	401k

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.		Date Exerc	cisable and	7. Titl	le and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNum	ber	Expiration Da	ate	Amou	int of	Derivative	
Security	or Exercise		any	Code	of		(Month/Day/	Year)	Under	rlying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	3) Deriv	vative			Secur	ities	(Instr. 5)	
	Derivative				Secu	rities			(Instr.	. 3 and 4)		
	Security				Acqu	aired						
					(A) c	or						
					Disp	osed						
					of (D))						
					(Inst	r. 3,						
					4, an	d 5)						
										Amount		
										Amount		
							Date	Expiration	Titla	or Number		
					Exercisab		Exercisable	Date	ritte	of		
				Codo	V (A)	(D)						
				Code	V (A)	(D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
TANG EVA G 1544 MANOR GATE DRIVE HACIENDA HEIGHTS, CA 91745-3833			Sr. Vice President & CFO				
Signatures							

/s/ Eva G. Tang 01/30/2014

**Signature of Date Reporting Person

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 6/1/2013 as dividend at FMV
- (2) DER units credited on 9/3/2013 as dividend at FMV
- (3) DRIP
- (4) Adjustment due to partial shares
- (5) Adjustment due to 2 for 1 stock split
- (6) DER units credited on 12/2/2013 as dividend at FMV
- (7) DRIP

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.