Edgar Filing: AMERICAN STATES WATER CO - Form 4

AMERICAN STATES WATER CO

Form 4

January 30, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31,

OMB APPROVAL

subject to Section 16. Expires: 2005

0.5

Estimated average burden hours per

5. Relationship of Reporting Person(s) to

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

SECURITIES

response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

SWITZER BRYAN K			Symb	ERICAN STATES WATER CO	Issuer (Check all applicable)				
	(Last)	(First)		e of Earliest Transaction th/Day/Year)	_X_ Officer (give ti				
630 E FOOTHILL BLVD			01/28	8/2014	below) below) Vice President				
		(Street)	4. If A	Amendment, Date Original	6. Individual or Joint/Group Filing(Check Applicable Line)				
			Filed(Month/Day/Year)					
	SAN DIM	AS, CA 91773			_X_ Form filed by One Form filed by Mon Person				
	(City)	(State)	(Zip) T	Cable I - Non-Derivative Securities Acq	uired, Disposed of, o	or Beneficially	y Owned		
	1.Title of	2. Transaction Date	2A. Deemed	3. 4. Securities Acquired (A)	5. Amount of	6.	7. Nature		
	Security	(Month/Day/Year)	Execution Date, if	f Transaction Disposed of (D)	Securities	Ownership	Indirect		

. •		141)16 1 - MOII	-Derivative Se	curiu	es Acquii	eu, Disposeu oi, o	or belieficiali,	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	Ownership	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Shares	12/02/2013		D	8.739 (1)	D	\$ 0	15,287.9508	D	
Common Shares	12/02/2013		A	45.0744 (2)	A	\$ 27.71	15,333.0252	D	
Common Shares	01/28/2014		A	1,530	A	\$ 0	16,863.0252	D	
Common Shares	01/28/2014		A	4,161.967	A	\$ 0	8,462.037	I	401k

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4.	5. onNumber	6. Date Exerc Expiration D		7. Titl		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Tear)	any (Month/Day/Year)	Code (Instr. 8)	of	(Month/Day/		Under Securi	rlying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SWITZER BRYAN K 630 E FOOTHILL BLVD SAN DIMAS, CA 91773

Vice President

Signatures

/s/ Bryan Keith Switzer 01/30/2014

**Signature of Reporting Date

Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Adjustment due to partial shares
- (2) DER units credited on 12/2/2013 as dividend at FMV

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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