#### AMERICAN STATES WATER CO

Form 4

January 30, 2014

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average

burden hours per

**OMB APPROVAL** 

response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Issuer

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

AMERICAN STATES WATER CO

Symbol

1(b).

(Print or Type Responses)

**GEDNEY WILLIAM C** 

1. Name and Address of Reporting Person \*

			[AWR]					(Check all applicable)			
(Last) 1021 JASM	(First) MINE STREET	(Middle)		/Day/Year	Transaction			Director 10% Owner X Officer (give title Other (specify below)  Vice President			
	(Street)		4. If An	nendment,	Date Original		Individual or Joint/Group Filing(Check				
Filed(N REDLANDS, CA 92374				onth/Day/Y	ear)		oplicable Line)  [A Form filed by One Reporting Person Form filed by More than One Reporting Person Form filed by More than One Reporting Person Form filed by More than One Reporting Person Form Form Form Form Form Form Form Form				
(City)	(State)	(Zip)	Ta	ble I - Nor	n-Derivative Sec	curitie	s Acquire	ed, Disposed of, o	r Beneficially	Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	3. Transacti Code (Instr. 8)	4. Securities A orDisposed of (E (Instr. 3, 4 and Amount	<b>)</b>	d (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Shares	06/01/2013			A	20.3104 <u>(1)</u>	A	\$ 53.13	8,238.2017	D		
Common Shares	09/03/2013			A	23.9756 (2)	A	\$ 51.69	8,262.1773	D		
Common Shares	09/03/2013			D	5.2019 (3)	D	\$ 0	8,256.9754	D		
Common Shares	09/03/2013			A	8,256.9754 (4)	A	\$ 0	16,513.9508	D		
Common Shares	12/02/2013			A	45.0744 <u>(5)</u>	A	\$ 27.71	16,559.0252	D		

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Common Shares	01/28/2014	A	1,797	A	\$ 0	18,356.0252	D	
Common Shares	01/28/2014	A	5,436.172	A	\$0	10,654.884	I	401k

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	ection (8)	5. inNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships								
• 0	Director	10% Owner	Officer	Other					
GEDNEY WILLIAM C									
1021 JASMINE STREET			Vice President						

## **Signatures**

REDLANDS, CA 92374

/s/ William C.

Gedney 01/30/2014

\*\*Signature of Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 6/1/2013 as dividend at FMV

Reporting Owners 2

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- (2) DER units credited on 9/3/2013 as dividend at FMV
- (3) Adjustment due to partial shares
- (4) Adjustment due to 2 for 1 stock split
- (5) DER units credited on 12/2/2013 as dividend at FMV

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.