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FATE THERAPEUTICS INC

Form 3

September 30, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Multani Pratik S

(Last)

(First)

C/O FATE THERAPEUTICS,

ATOMICS COURT, SUITE 200

(Street)

INC., Â 3535 GENERAL

(Middle)

Statement

(Month/Day/Year)

09/30/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

FATE THERAPEUTICS INC [FATE]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

_X__ Officer Other (give title below) (specify below) Chief Medical Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SAN DIEGO. CAÂ 92121

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

5. Conversion or Exercise

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4) Price of

4.

Expiration

Exercisable Date Title

Amount or Number of

Security: Derivative Security Direct (D)

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				Shares		or Indirect (I) (Instr. 5)	
tock Option (right to uy)	(1)	05/11/2019	Common Stock	25,691	\$ 0.52	D	Â
tock Option (right to uy)	(2)	02/08/2022	Common Stock	43,638	\$ 1.63	D	Â
tock Option (right to uy)	(3)	02/08/2022	Common Stock	22,400	\$ 1.63	D	Â
tock Option (right to uy)	(4)	07/23/2022	Common Stock	20,294	\$ 1.37	D	Â
tock Option (right to uy)	(5)	08/11/2023	Common Stock	24,615	\$ 7.87	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Multani Pratik S C/O FATE THERAPEUTICS, INC. 3535 GENERAL ATOMICS COURT, SUITE 200 SAN DIEGO Â CAÂ 92121	Â	Â	Chief Medical Officer	Â	

Signatures

/s/ Pratik S.
Multani

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is fully vested and exercisable in full.
- The shares subject to the option shall vest and become exercisable at the rate of 1/4th of the shares on May 30, 2012 and 1/48th of the shares each month thereafter such that the option is fully exercisable on May 30, 2015. This option is subject to accelerated vesting upon a change of control of the Issuer and in the event of termination of employment under certain circumstances following a change of control of the Issuer.
- The shares subject to this option shall vest and become exercisable in 24 equal monthly installments beginning on the date one month (3) after the completion of the Issuer's initial public offering. This option is subject to accelerated vesting upon a change of control of the Issuer after the completion of the Issuer's initial public offering.
- The shares subject to this option shall vest and become exercisable in 48 equal monthly installments beginning on August 3, 2012 such (4) that this option is fully exercisable on July 3, 2016. This option is subject to accelerated vesting upon a change of control of the Issuer and in the event of termination of employment under certain circumstances following a change of control of the Issuer.
- 1/4th of the shares subject to this option shall vest and become exercisable in 48 equal monthly installments from the completion of the (5) Issuer's initial public offering, and the remaining 3/4th of the shares are divided into five equal parts, each of which will vest and become exercisable in 24 equal monthly installments following the Issuer's achievement of each of five specified performance based milestones.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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