Walsh Matthew O. Form 3 May 29, 2013

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GENESEE & WYOMING INC [GWR] Walsh Matthew O. (Month/Day/Year) 05/22/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 20 WEST AVENUE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person DARIEN, CTÂ 06820 (give title below) (specify below) Form filed by More than One SVP, Corporate Development Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Class A Common Stock, \$.01 par value 57,839 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect		

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				Shares		(I) (Instr. 5)	
Stock Option (Right to Buy)	(2)	02/26/2014	Class A Common Stock, \$.01 par value	12,149	\$ 20.89	D	Â
Stock Option (Right to Buy)	(3)	05/28/2014	Class A Common Stock, \$.01 par value	8,691	\$ 28.92	D	Â
Stock Option (Right to Buy)	(4)	08/30/2014	Class A Common Stock, \$.01 par value	8,024	\$ 31.38	D	Â
Stock Option (Right to Buy)	(5)	11/29/2014	Class A Common Stock, \$.01 par value	8,203	\$ 31.12	D	Â
Stock Option (Right to Buy)	(6)	02/25/2015	Class A Common Stock, \$.01 par value	3,877	\$ 31.85	D	Â
Stock Option (Right to Buy)	(7)	05/27/2015	Class A Common Stock, \$.01 par value	3,431	\$ 35.99	D	Â
Stock Option (Right to Buy)	(8)	08/30/2015	Class A Common Stock, \$.01 par value	2,918	\$ 38.81	D	Â
Stock Option (Right to Buy)	(9)	11/29/2015	Class A Common Stock, \$.01 par value	2,497	\$ 47.49	D	Â
Stock Option (Right to Buy)	(10)	02/27/2016	Class A Common Stock, \$.01 par	4,026	\$ 52.09	D	Â

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			value				
Stock Option (Right to Buy)	(11)	05/30/2016	Class A Common Stock, \$.01 par value	3,717	\$ 59.35	D	Â
Stock Option (Right to Buy)	(12)	08/30/2016	Class A Common Stock, \$.01 par value	3,844	\$ 51.94	D	Â
Stock Option (Right to Buy)	(13)	11/29/2016	Class A Common Stock, \$.01 par value	3,260	\$ 61.07	D	Â
Stock Option (Right to Buy)	(14)	02/27/2017	Class A Common Stock, \$.01 par value	4,284	\$ 60.54	D	Â
Stock Option (Right to Buy)	(15)	05/30/2017	Class A Common Stock, \$.01 par value	4,658	\$ 50.11	D	Â
Stock Option (Right to Buy)	(16)	08/30/2017	Class A Common Stock, \$.01 par value	3,892	\$ 63.56	D	Â
Stock Option (Right to Buy)	(17)	11/29/2017	Class A Common Stock, \$.01 par value	3,386	\$ 72.95	D	Â
Stock Option (Right to Buy)	(18)	02/27/2018	Class A Common Stock, \$.01 par value	3,185	\$ 89.52	D	Â

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

### Edgar Filing: Walsh Matthew O. - Form 3

Director 10% Owner Officer Other

Walsh Matthew O. 20 WEST AVENUE DARIEN. CTÂ 06820

 $\hat{A}$   $\hat{A}$   $\hat{A}$  SVP, Corporate Development  $\hat{A}$ 

### **Signatures**

Allison M. Fergus, Attorney-in-Fact for Matthew O. Walsh

05/29/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The amount includes 5,038 shares of Class A Common Stock represented by unvested restricted stock granted under the Second
  (1) Amended and Restated 2004 Omnibus Incentive Plan of Genesee & Wyoming Inc. (the "Plan"), of which 3,093 shares will vest on February 28, 2014, 1,693 shares will vest on February 28, 2015, and 252 shares will vest on February 29, 2016.
- (2) This option award was granted under the Plan and is fully exercisable as of the date hereof.
- (3) This option award was granted under the Plan and is fully exercisable as of the date hereof.
- (4) This option award was granted under the Plan and is fully exercisable as of the date hereof.
- (5) This option award was granted under the Plan and is fully exercisable as of the date hereof.
- (6) This option award was granted under the Plan and is fully exercisable as of the date hereof.
- (7) This option award was granted under the Plan and is fully exercisable as of the date hereof.
- (8) This option award was granted under the Plan and is fully exercisable as of the date hereof.
- (9) This option award was granted under the Plan and is fully exercisable as of the date hereof.
- (10) This option award was granted under the Plan and is exercisable as to 2,684 of such shares as of the date hereof and will become exercisable as to 1,342 of such shares on February 28, 2014.
- (11) This option award was granted under the Plan and is exercisable as to 2,478 of such shares as of the date hereof and will become exercisable as to 1,239 of such shares on February 28, 2014.
- (12) This option award was granted under the Plan and is exercisable as to 2,563 of such shares as of the date hereof and will become exercisable as to 1,281 of such shares on February 28, 2014.
- (13) This option award was granted under the Plan and is exercisable as to 2,173 of such shares as of the date hereof and will become exercisable as to 1,087 of such shares on February 28, 2014.
- (14) This option award was granted under the Plan and is exercisable as to 1,428 of such shares as of the date hereof and will become exercisable as to 1,428 of such shares on February 28, 2014, and as to 1,428 of such shares on February 28, 2015.
- (15) This option award was granted under the Plan and is exercisable as to 1,553 of such shares as of the date hereof and will become exercisable as to 1,552 of such shares on February 28, 2014, and as to 1,553 of such shares on February 28, 2015.
- (16) This option award was granted under the Plan and is exercisable as to 1,298 of such shares as of the date hereof and will become exercisable as to 1,297 of such shares on February 28, 2014, and as to 1,297 of such shares on February 28, 2015.
- (17) This option award was granted under the Plan and is exercisable as to 1,129 of such shares as of the date hereof and will become exercisable as to 1,128 of such shares on February 28, 2014, and as to 1,129 of such shares on February 28, 2015.
- (18) This option award was granted under the Plan and will become exercisable as to 1,416 shares on February 28, 2014, as to 1,415 shares on February 28, 2015, and as to 354 shares on February 29, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4