Rentfrow Diane D Form 4 May 28, 2013

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

January 31, Expires:

**OMB APPROVAL** 

2005 Estimated average 0.5

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

Common

Common

**Shares** 

Shares

05/24/2013

05/24/2013

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person ** Rentfrow Diane D			2. Issuer Name <b>and</b> Ticker or Trading Symbol AMERICAN STATES WATER CO [AWR]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 5550 VIA V	(First) (M	, -, -, -, -, -, -, -, -, -, -, -, -, -,	of Earliest Tra 'Day/Year) 2013	nsaction			Director 10% Owner _X_ Officer (give title Other (specify below)  Vice President			
WODDAAA	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
YORBA LI	NDA, CA 92887									
(City)	(State)	(Zip) Ta	ble I - Non-De	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, i any (Month/Day/Year	Transaction Code (Instr. 8)	4. Securi (A) or Di (Instr. 3,	(A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Shares	05/24/2013		M	966	A	\$ 33.36	6,229.8508	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

S

100

866

D

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

6,129.8508

5,263.8508

D

D

### Edgar Filing: Rentfrow Diane D - Form 4

#### number.

(Ir

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	ion (Month/Day/Year) Execution Date, if Transactiomf ise any Code Derivative (Month/Day/Year) (Instr. 8) Securitie Acquirece (A) or Disposed of (D)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exerci Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 33.36	05/24/2013		M	966	01/31/2011	01/31/2020	common shares	966

# **Reporting Owners**

Director 10% Owner Officer Other

Rentfrow Diane D 5550 VIA VALLARTA YORBA LINDA, CA 92887

Vice President

# **Signatures**

/s/ Diane D. 05/28/2013 Rentfrow

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2