McNulty James F. Form 4 May 23, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

ROME, L6 00186

(City)

1. Name and Address of Reporting Person \* McNulty James F.

(First) (Middle)

(Zip)

VIA DELLA BARCHETTA 12

(Street)

2. Issuer Name and Ticker or Trading Symbol

AMERICAN STATES WATER CO [AWR]

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

05/21/2013

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Se	curiti	es Acquir	ed, Disposed of,	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities oner Disposed (Instr. 3, 4 ar	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	08/13/2012		A	20.8322 (1)	A	\$ 44.31	3,850.032	D	
Common Shares	11/12/2012		A	21.6187 (2)	A	\$ 43.04	3,871.6507	D	
Common Shares	02/15/2013		A	18.2093 (3)	A	\$ 51.52	3,889.86	D	
Common Shares	05/15/2013		A	14.6417 (4)	A	\$ 54.38	3,904.5017	D	
Common Shares	05/21/2013		A	918.7799	A	\$ 54.42	4,823.2816	D	

#### Edgar Filing: McNulty James F. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	<ol><li>Date Exerc</li></ol>	cisable and	7. Title a	and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amount	of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlyi	ing	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	
	Derivative				Securities			(Instr. 3	and 4)		
	Security				Acquired						
					(A) or						
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
								Δ	mount		
								or			
						Date	Expiration sable Date	Title N			
						Exercisable		of			
				Code V	(A) (D)				hares		
					(1-)			- 01			

## **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips					
	Director	10% Owner	Officer	Other		

McNulty James F. VIA DELLA BARCHETTA 12 X ROME, L6 00186

## **Signatures**

/s/ James F. 05/23/2013 McNulty

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 8/132/2012 as dividend at FMV
- (2) DER units credited on 11/12/2012 as dividend at FMV
- (3) DER units credited on 2/15/2013 as dividend at FMV
- (4) DER units credited on 5/15/2013 as dividend at FMV

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

9. Nu Deriv

**SEC 1474** 

(9-02)

Secu Bene Own Follo Repo Trans (Insti