#### MCGINLEY MARK D

Form 4 April 02, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: January 31, Expires: 2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

**SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and A	Symbol	r Name <b>an</b> S CORP	d Ticker or Trading [STE]	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (N	Middle) 3. Date o	f Earliest T	ransaction	(Chec	ck all applicable	)	
5960 HEIS	LEY ROAD	(Month/I 04/01/2	Day/Year) 2013		Director _X Officer (give below) Sr. VP, Ge	e title 10% below) en Counsel, and	er (specify	
	4. If Amo	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
MENTOR,	OH 44060	Filed(Mo	nth/Day/Yea	ar)	Applicable Line) _X_ Form filed by 0 Form filed by N Person			
(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Securities Acq	quired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transacti Code (Instr. 8)	4. Securities Acquired for(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership	

		Tabi	CI-MOH-D	ciivative	occur i	nes Acqu	in cu, Disposcu oi	, or beneficial	y Owncu
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Shares, No Par Value	04/01/2013		M	16,000	A	\$ 27.44	33,982	D	
Common Shares, No Par Value	04/01/2013		S <u>(1)</u>	16,000	D	\$ 41.74	17,892 <u>(2)</u>	D	
Common Shares, No Par Value							7,009	I	See Footnote Below. (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option Exercise	\$ 27.44	04/01/2013		M	16,000	<u>(4)</u>	05/22/2014	Common Shares, No Par Value	16,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MCGINLEY MARK D 5960 HEISLEY ROAD MENTOR, OH 44060

Sr. VP, Gen Counsel, and Sec.

## **Signatures**

/s/ Dennis P. Patton, Authorized Representative under Power of Attorney

04/02/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These open market sales of a total of 16,000 Common Shares is pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person on March 18, 2013.
- (2) 6,600 of these Common Shares are restricted. The restrictions on these Common Shares lapse as follows: 4,200 on May 20, 2014, 800 on May 31, 2013, 800 on June 2, 2014 and 800 on June 1, 2015.
- (3) Represents 7,152.223 units of the STERIS Corporation 401(k) Plan STERIS Stock Fund which equals 7,009 Common share equivalents as of April 1, 2013.
- (4) These options became exercisable as follows: 4,000 on April 22, 2005, 4,000 on April 22, 2006, 4,000 on April 22, 2007, and 4,000 on April 22, 2008.

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