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HARRIS MCCLELLAN III

Form 4

January 31, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB 3235-0287

Number:

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January 31,

2005

0.5

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subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * HARRIS MCCLELLAN III | | 2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [AWR] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|---------------------|---|---|--|--|--|
| (Last) (First) 3419 VIA LIDO DRI | (Middle) VE PMB#334 | 3. Date of Earliest Transaction (Month/Day/Year) 01/29/2013 | Director 10% OwnerX Officer (give title Other (specify below) Sr. Vice President | | | |
| (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| NEWPORT BEACH, | CA 92663 | | Form filed by More than One Reporting Person | | | |

| | | | | | | • | CISON | | |
|--------------------------------------|---|--|---|---|---------|-------------|--|--|---|
| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securitie oper Disposed (Instr. 3, 4 a | d of (Ľ |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Shares | 09/01/2012 | | A | 43.3112 (1) | A | \$ 43.58 | 10,903.9684 | D | |
| Common Shares | 12/01/2012 | | A | 41.8215 (2) | A | \$ 45.5 | 10,945.7899 | D | |
| Common Shares | 01/29/2013 | | A | 1,025 (3) | A | \$ 0 | 11,970.7899 | D | |
| Common Shares | 01/29/2013 | | A | 753.159 | A | \$0 | 10,169.909 | I | 401k |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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6 Data Evergicable and 7 Title and

SEC 1474 (9-02)

quired. Disposed of, or Beneficially Owned

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | Э. | 6. Date Exer | cisable and | 7. Title a | ana | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|-----------------------------------|--------------|-------------|---------------|------------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | TransactionNumber Expiration Date | | Amount | of | Derivative | Deriv | |
| Security | or Exercise | | any | Code | of | (Month/Day/ | /Year) | Underlyi | ing | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | Securitie | es | (Instr. 5) | Bene |
| | Derivative | | | | Securities | ; | | (Instr. 3 | and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | Λ. | mount | | |
| | | | | | | | | | | | |
| | | | | | | Date | Expiration | or Title N | umber | | |
| | | | | | | Exercisable | Date | | | | |
| | | | | C-J- V | (A) (D) | | | of | | | |
| | | | | Code V | (A) (D) | | | Sr | hares | | |

Reporting Owners

Reporting Owner Name / Address Relationships

2 Transaction Data 24 Dasmad

Director 10% Owner Officer Other

HARRIS MCCLELLAN III 3419 VIA LIDO DRIVE PMB#334 NEWPORT BEACH, CA 92663

Sr. Vice President

Signatures

1 Title of 2

/s/ McClellan Harris III 01/31/2013

**Signature of Reporting Date
Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 9/1/2012 as dividend at FMV
- (2) DER units credited on 12/1/2012 as dividend at FMV
- (3) RSU's granted on 1/29/2013

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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