Edgar Filing: Bott Richard Harold - Form 4

Bott Richard	Harold										
Form 4											
October 02, 2	2012										
FORM	4	CECUD		т	OMB APPROVAL						
	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287			
Check this if no long subject to Section 16 Form 4 or	er STATEN 5.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
Form 5 obligation may conti <i>See</i> Instru 1(b).	nue. ction										
(Print or Type R	esponses)										
Bott Richard Harold Sy G			Symbol					5. Relationship of Reporting Person(s) to Issuer			
			GENESEE & WYOMING INC [GWR]					(Check all applicable)			
(Last) (First) (Middle) C/O GENESEE & WYOMING INC., 66 FIELD POINT ROAD			3. Date of Earliest Transaction (Month/Day/Year) 10/01/2012					_X_Director10% Owner Officer (give title below) Other (specify below)			
CREENWIG	File			. If Amendment, Date Original ïled(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
GREENWIC	CH, CT 06830							Person			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. De (Month/Day/Year) Execut any (Month			3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A))	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock, \$.01 par value	10/01/2012			А	1,033 (1)	A	\$0	2,533	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Security	Acquired (A) or Disposed of (D) (Instr. 3,				
	(Inst. 5, 4, and 5)				
	Code V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Relationships

Reporting Owners

1. Title of

Security

(Instr. 3)

Derivative

2.

Conversion

or Exercise

Derivative

Price of

Reporting Owner Name / Address Director 10% Owner Officer Other Bott Richard Harold C/O GENESEE & WYOMING INC. Х 66 FIELD POINT ROAD GREENWICH, CT 06830 Signatures /s/ Allison M. Fergus, Attorney-in-Fact for Richard 10/02/2012 H. Bott

3. Transaction Date 3A. Deemed

any

(Month/Day/Year)

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This restricted stock award was granted under the Second Amended and Restated 2004 Omnibus Incentive Plan ("Omnibus Plan") of (1) Genesee & Wyoming Inc. (the "Company"). The shares subject to the restricted stock award will vest on the date of the Company's next annual meeting of the stockholders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

4.

Code

(Instr. 8)

Execution Date, if

(Month/Day/Year)

5.

of

Derivative

Securities

TransactionNumber

7. Title and

Amount of

Underlying

(Instr. 3 and 4)

Securities

8. Price of

Derivative

Security

(Instr. 5)

9. Nt

Deriv

Secu

Bene

Own Follo Repo Trans (Insti

6. Date Exercisable and

Expiration Date

(Month/Day/Year)

2

Date