Bradshaw Wayne-Kent A. Form 5

February 14, 2012 FORM 5

#### **OMB APPROVAL**

3235-0362

2005

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: January 31, Expires:

**OMB** 

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

30(h) of the Investment Company Act of 1940

Estimated average burden hours per response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

Form 4

Transactions Reported

	Address of Reporting Wayne-Kent A.	Symbol BROA	2. Issuer Name <b>and</b> Ticker or Trading Symbol BROADWAY FINANCIAL CORP \DE\ [BYFC]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)		(Month) 12/31/	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2011			ive title Otherwise below)  perating Officer.		
4800 WIL	SHIRE BOULEV	ARD						
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Reporting  (check applicable line)				
LOS ANG	ELES, CA 90	010				by One Reporting ly More than One I		
(City)	(State)	(Zip) Tal	ble I - Non-De	rivative Securities Acc	quired, Disposed	of, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Fiscal Year

(Instr. 3 and 4)

(A)

or

(D) Price

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Am
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Derivative	Expiration Date	Underlying Sec
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

Amount

(9-02)

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	Derivative Security				or Disposed of (D) (Instr. 3, 4, and 5)				
					(A)	(D)	Date Exercisable	Expiration Date	Title
Stock Option (Right to Buy)	\$ 4.98	03/18/2009	03/18/2009(1)	A	75,000	Â	03/18/2014(1)	03/18/2019	Common Stock
Employee Stock Ownership Plan (2)	Â	Â	Â	Â	Â	Â	(2)	(2)	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting owner runner runners	Director	10% Owner	Officer	Other			
Bradshaw Wayne-Kent A. 4800 WILSHIRE BOULEVARD LOS ANGELES, CA 90010	Â	Â	Chief Operating Officer/Presid	Â			

# **Signatures**

\s\ Daniele C. Johnson,
Attorney-as-in-fact

02/14/2012

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On March 18, 2009 the Board of Directors granted 75,000 shares of Stock Options under the Long Term Incentive Plan. The stock price on that day was \$4.98 per share. The shares vest in five (5) equal annual installments each year beginning the following year, on March 18. The options are fully exercisable on March 18, 2014. If not exercised, the stock options will expire on March 18, 2019 or upon termination of employment.
- (2) These shares are held in the Employee Stock Ownership Plan (ESOP). When employee is no longer with the company, the shares become exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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