

POWELL MICHAEL  
Form 4/A  
December 22, 2011

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SOFINNOVA VENTURE PARTNERS VI L P

2. Issuer Name and Ticker or Trading Symbol  
Anthera Pharmaceuticals Inc [ANTH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

(Last) (First) (Middle)  
2800 SAND HILL ROAD, SUITE 150,  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
03/04/2010

4. If Amendment, Date Original Filed(Month/Day/Year)  
03/08/2010

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

MENLO PARK, CA 94025

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/04/2010		C	V Amount 1,714,329 (A) Price (1) (3) (4)	1,714,329	D (2)	
Common Stock	03/04/2010		C	V Amount 339,655 (A) Price (1) (3) (4)	339,655	D (9)	
Common Stock	03/04/2010		C	V Amount 23,369 (A) Price (1) (3) (4)	23,369	D (10)	
Common Stock	03/04/2010		C(8)	V Amount 194,785 (A) Price \$ 5.25	1,909,114	D (2)	
Common Stock	03/04/2010		C(8)	V Amount 38,592 (A) Price \$ 5.25	378,247	D (9)	

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Common Stock	03/04/2010	C <sup>(8)</sup>	2,655	A	\$ 5.25	26,024	D <sup>(10)</sup>
Common Stock	03/04/2010	C <sup>(8)</sup>	288,883	A	\$ 5.25	2,197,997	D <sup>(2)</sup>
Common Stock	03/04/2010	C <sup>(8)</sup>	57,235	A	\$ 5.25	435,482	D <sup>(9)</sup>
Common Stock	03/04/2010	C <sup>(8)</sup>	3,938	A	\$ 5.25	29,962	D <sup>(10)</sup>
Common Stock	03/04/2010	C <sup>(8)</sup>	128,018	A	\$ 6.58	2,326,015	D <sup>(2)</sup>
Common Stock	03/04/2010	C <sup>(8)</sup>	25,364	A	\$ 6.58	460,846	D <sup>(9)</sup>
Common Stock	03/04/2010	C <sup>(8)</sup>	1,745	A	\$ 6.58	31,707	D <sup>(10)</sup>
Common Stock	03/04/2010	A	614,393	A	\$ 6.58	2,940,408	D <sup>(2)</sup>
Common Stock	03/04/2010	A	121,728	A	\$ 6.58	582,574	D <sup>(9)</sup>
Common Stock	03/04/2010	A	8,375	A	\$ 6.58	40,082	D <sup>(10)</sup>
Common Stock	03/04/2010	A	420,166	A	\$ 7	3,360,574	D <sup>(2)</sup>
Common Stock	03/04/2010	A	83,246	A	\$ 7	665,820	D <sup>(9)</sup>
Common Stock	03/04/2010	A	5,727	A	\$ 7	45,809	D <sup>(10)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title

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Series A-2 Convertible Preferred Stock	<u>(1)</u>	03/04/2010	C	317,039	<u>(1)</u>	<u>(5)</u>	Common Stock
Series A-2 Convertible Preferred Stock	<u>(1)</u>	03/04/2010	C	62,814	<u>(1)</u>	<u>(5)</u>	Common Stock
Series A-2 Convertible Preferred Stock	<u>(1)</u>	03/04/2010	C	4,322	<u>(1)</u>	<u>(5)</u>	Common Stock
Series B-1 Convertible Preferred Stock	<u>(3)</u>	03/04/2010	C	683,571	<u>(3)</u>	<u>(5)</u>	Common Stock
Series B-1 Convertible Preferred Stock	<u>(3)</u>	03/04/2010	C	135,434	<u>(3)</u>	<u>(5)</u>	Common Stock
Series B-1 Convertible Preferred Stock	<u>(3)</u>	03/04/2010	C	9,318	<u>(3)</u>	<u>(5)</u>	Common Stock
Series B-2 Convertible Preferred Stock	<u>(4)</u>	03/04/2010	C	713,719	<u>(4)</u>	<u>(5)</u>	Common Stock
Series B-2 Convertible Preferred Stock	<u>(4)</u>	03/04/2010	C	141,407	<u>(4)</u>	<u>(5)</u>	Common Stock
Series B-2 Convertible Preferred Stock	<u>(4)</u>	03/04/2010	C	9,729	<u>(4)</u>	<u>(5)</u>	Common Stock
Stock Purchase Warrant	\$ 7	03/04/2010	A	34,799	<u>(6)</u>	07/17/2014	Common Stock
Stock Purchase Warrant	\$ 7	03/04/2010	A	6,894	<u>(6)</u>	07/17/2014	Common Stock
Stock Purchase Warrant	\$ 7	03/04/2010	A	474	<u>(6)</u>	07/17/2014	Common Stock

Stock Purchase Warrant	\$ 7	03/04/2010	A	52,197	<u>(7)</u>	09/09/2014	Common Stock
Stock Purchase Warrant	\$ 7	03/04/2010	A	10,342	<u>(7)</u>	09/09/2014	Common Stock
Stock Purchase Warrant	\$ 7	03/04/2010	A	712	<u>(7)</u>	09/09/2014	Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SOFINNOVA VENTURE PARTNERS VI L P 2800 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X		
Sofinnova Management VI, L.L.C. 2800 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X		
Sofinnova Venture Partners VI GmbH & Co. KG 2800 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X		
Sofinnova Venture Affiliates VI LP 2800 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X		
Buatois Eric C/O SOFINNOVA VENTURES 2800 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X		
Azan Alain 2800 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X		
POWELL MICHAEL C/O SOFINNOVA VENTURES 2800 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025		X		
HEALY JAMES C/O SOFINNOVA VENTURES 2800 SAND HILL ROAD, SUITE 150 MENLO PARK, CA 94025	X	X		

## Signatures

/s/ Nathalie Auber, Attorney-in-Fact for Sofinnova Venture Partners VI, L.P.	12/22/2011
__Signature of Reporting Person	Date
/s/ Nathalie Auber, Attorney-in-Fact for Sofinnova Venture Partners VI GmbH & Co. KG	12/22/2011
__Signature of Reporting Person	Date
/s/ Nathalie Auber, Attorney-in-Fact for Sofinnova Venture Affiliates VI, L.P.	12/22/2011
__Signature of Reporting Person	Date
/s/ Nathalie Auber, Attorney-in-Fact for Sofinnova Management VI, L.L.C.	12/22/2011
__Signature of Reporting Person	Date
/s/ Nathalie Auber, Attorney-in-Fact for Michael F. Powell	12/22/2011
__Signature of Reporting Person	Date
/s/ Nathalie Auber, Attorney-in-Fact for Alain L. Azan	12/22/2011
__Signature of Reporting Person	Date
/s/ Nathalie Auber, Attorney-in-Fact for Eric P. Buatois	12/22/2011
__Signature of Reporting Person	Date
/s/ Nathalie Auber, Attorney-in-Fact for James Healy	12/22/2011
__Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series A-2 Convertible Preferred Stock was automatically converted into one share of Common Stock upon the closing of the Issuer's initial public offering.
- (2) Shares are held by Sofinnova Venture Partners VI, L.P. ("SV VI"). Sofinnova Management VI, L.L.C. ("SV LLC") is the general partner of SV VI and James I. Healy ("Healy"), a director of the issuer, Michael F. Powell ("Powell"), Alain L. Azan ("Azan") and Eric P. Buatois ("Buatois"), the managing members of SV LLC, may be deemed to share voting and dispositive power over the shares held by such entities.
- (3) Each share of Series B-1 Convertible Preferred Stock was automatically converted into one share of Common Stock upon the closing of the Issuer's initial public offering.
- (4) Each share of Series B-2 Convertible Preferred Stock was automatically converted into one share of Common Stock upon the closing of the Issuer's initial public offering.
- (5) The Series A-2, Series B-1 and Series B-2 Convertible Preferred Stock have no expiration date.
- (6) The warrants were issued on July 17, 2009 and are immediately exercisable, subject to the satisfaction of certain conditions.
- (7) The warrants were issued on September 9, 2009 and are immediately exercisable, subject to the satisfaction of certain conditions.
- (8) The Common Stock was acquired upon conversion of a Senior Secured Convertible Promissory Note exempt from the definition of a derivative security and whose conversion ratio did not become fixed until automatic conversion at the time of the closing of the

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Issuer's initial public offering.

- (9) Shares are held by Sofinnova Venture Partners VI GmbH & Co. KG ("SV KG"). SV LLC is the managing limited partner of SV KG, and Healy, Powell, Azan and Buatois, the managing members of SV LLC, may be deemed to share voting and dispositive power over the shares held by such entities.
- (10) Shares are held by Sofinnova Venture Affiliates VI L.P. ("SV A"). SV LLC is the general partner of SV A, and Healy, Powell, Azan and Buatois, the managing members of SV LLC, may be deemed to share voting and dispositive power over the shares held by such entities.

### Remarks:

SV LLC and its managing members disclaim beneficial ownership of these shares except to the extent of any pecuniary interest.

This Form 4/A is being filed to add reporting persons who were omitted in the prior filing and correct share totals as a result of

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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