Edgar Filing: Huttner Jack - Form 4

| Huttner Jack Form 4 | | | | | | | | | | | |
|--|--|--|-------------------------------------|--|--------------------|----------------------------------|----------------------------|---|---|----------------------|--|
| November 28 | Л | | GECUD | | | | NCE | | | PPROVAL | |
| | UNITE | DSTATES | | ITIES A hington, | | | NGE (| COMMISSION | OMB Number: | 3235-0287 | |
| Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti | er STATE | STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange ction 17(a) of the Public Utility Holding Company Act of 1 | | | | | | | Expires: January 3 20 Estimated average burden hours per response (| | |
| <i>See</i> Instru 1(b). | ction | 30(h) | of the Inv | vestment | Compan | y Act | t of 194 | 40 | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| | | | Symbol | Name and | | Tradir | ıg | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | (First) INC., 345 INV BUILDING C, | | 3. Date of (Month/Da 11/25/20 | - | ansaction | | | Director X Officer (give below) | | Owner er (specify | |
| ENGLEWO | (Street) 4. If Amer Filed(Mon | | | | - | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | Securi | ities Acc | uired, Disposed of | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | Title of ecurity2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if | | med n Date, if | Transaction(A) or Dispo Code (D) (Instr. 8) (Instr. 3, 4 a | | ties A ispose 4 and (A) | cquired d of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 11/25/2011 | | | Code V S(1) | Amount 515 | or (D) D | Price \$ 5.33 (2) | (Instr. 3 and 4) 16,480 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Under Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriy Secu Bene Own Follo Repo Tran (Inst |
|---|---|---|--|---|---------------------|--------------------|------------------------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|---|------------|---------------|---------|--------------------------------|--|--|--|--|
| /O GEVO, INC., 345 INVERNESS DRIVE S. UILDING C, SUITE 310 NGLEWOOD, CO 80112 ignatures | Director | 10% Owner | Officer | Other | | | | |
| Huttner Jack C/O GEVO, INC., 345 INVERNESS DRIVE S. BUILDING C, SUITE 310 ENGLEWOOD, CO 80112 | | | | EVP, Commercial&Public Affairs | | | | |
| Signatures | | | | | | | | |
| /s/ Brett Lund, Attorney-in-Fact | 11/28/2011 | | | | | | | |
| **Signature of Reporting Person | Date | | | | | | | |

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 10, 2011. (1) The shares sold represent shares of restricted stock granted to the reporting person on March 23, 2011 that had vested on November 23, 2011. Such shares were sold, in part, to satisfy certain tax obligations of the reporting person triggered by the vesting of such shares.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.23 to \$5.45, inclusive. The reporting person undertakes to provide to Gevo, Inc., any security holder of Gevo, Inc., or the staff of the

(2) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.