Edgar Filing: LEGENHAUSEN JAY A - Form 3/A

LEGENHAUSEN JAY A

Form 3/A

November 18, 2011

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

LEGENHAUSEN JAY A

(Last) (First) (Middle)

915 DEGUIGNE DRIVE, P.O. **BOX 3453**

(Street)

SUNNYVALE, CAÂ 94088

(State)

1. Title of Security

(City)

(Instr. 4)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

11/10/2011

4. Relationship of Reporting

Person(s) to Issuer

Spansion Inc. [CODE]

(Check all applicable)

10% Owner Director _X__ Officer Other (give title below) (specify below)

SVP, Worldwide Sales

5. If Amendment, Date Original

Filed(Month/Day/Year)

07/06/2011

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title

Amount or Number of Shares

Derivative Security

Security: Direct (D) or Indirect (I)

1

(Inote	51
(Instr.	ט)

Stock Option (right to buy)	(1)	07/15/2018	Class A Common Stock	80,000	\$ 18.51	D	Â
Restricted Stock Units (2)	(3)	(3)	Class A Common Stock	20,000	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
LEGENHAUSEN JAY A					
915 DEGUIGNE DRIVE	â	â	SVP, Worldwide Sales	Â	
P.O. BOX 3453	A	Α	A SVF, Worldwide Sales	A	
SUNNYVALE, CA 94088					

Signatures

/s/ Scot A. Griffin, attorney-in-fact for: JAY A LEGENHAUSEN

11/18/2011

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third (1/3) of the shares will vest upon the first anniversary of the July 15, 2011 grant date and the remainder will vest monthly in equal installments over the remaining twenty-four (24) months.
- (2) Upon vesting of the restricted stock units ("RSUs"), the Reporting Person is entitled to receive one (1) share of common stock for each one (1) RSU.
- Fifty percent (50%) of the RSUs will vest in four (4) successive and equal annual installments on the last trading day in January of each calendar year, beginning in 2012. Subject to the achievement of certain performance objectives, the remaining fifty percent (50%) of the RSUs will vest in four (4) successive and equal annual installments on the last trading day in January of each calendar year, beginning in 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2