

HARRIS JOSHUA
Form 3
March 29, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â HARRIS JOSHUA

(Last) (First) (Middle)

C/O APOLLO GLOBAL
MANAGEMENT, LLC, Â 9
WEST 57TH STREET, 43RD
FLOOR

(Street)

NEW YORK, Â NY Â 10019

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/29/2011

3. Issuer Name **and** Ticker or Trading Symbol

Apollo Global Management LLC [APO]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner

☒ Officer ☐ Other

(give title below) (specify below)

Senior Managing Director

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person

☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Apollo Operating Group units	Â (1)	Â (1)	Class A shares	59,008,262	\$ (2)	I	By AP Professional Holdings, L.P. (3)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARRIS JOSHUA C/O APOLLO GLOBAL MANAGEMENT, LLC 9 WEST 57TH STREET, 43RD FLOOR NEW YORK, NY 10019	Â X	Â X	Â Senior Managing Director	Â

Signatures

/s/ Jessica Lomm,
attorney-in-fact

03/29/2011

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Subject to accelerated vesting in certain circumstances as set forth in the Agreement Among Principals, dated July 13, 2007, by and
(1) among the reporting person, Leon Black and Marc Rowan, the Apollo Operating Group (AOG) units vest in equal monthly installments of 995,644 (or 995,645) units through December 31, 2011. Of these units, 49,051,816 units have vested as of the date hereof.

Each AOG unit represents a right to receive one Class A share, subject to the restrictions and provisions set forth in the Agreement
(2) Among Principals described in footnote (1) above and the Exchange Agreement, dated July 13, 2007, by and between the Issuer and AP Professional Holdings, L.P.

The reporting person is a limited partner in BRH Holdings, L.P., which holds 87.8% of the limited partnership interests in AP Professional Holdings, L.P., the direct holder of the AOG units. The AOG units indirectly held by the reporting person are the number of
(3) AOG units that he has a right to receive as a limited partner in BRH Holdings, L.P., subject to the restrictions and provisions set forth in the Agreement Among Principals described in footnote (1) above and the Exchange Agreement described in footnote (2) above.

Â

Remarks:

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.