Edgar Filing: Sarpong Sam - Form 4/A

Sarpong Sam

Form 4/A April 30, 2009	9									
· · · ·	FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION						OMB APPROVAL			
	UNITE	D STATES		ITIES Al hington, 1			COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or	S CHANGES IN BENEFICIAL OWN SECURITIES				NERSHIP OF burden hor response		irs per			
Form 5 obligation: may contin <i>See</i> Instruct 1(b).	s Section 1	7(a) of the	Public Ut	ility Hold	ing Com		ge Act of 1934, f 1935 or Sectio 40	'n		
(Print or Type Ro	esponses)									
Sarpong Sam Sy B			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
			BROADWAY FINANCIAL CORP \DE\ [BYFC]				(Check all applicable)			
(Last) 4800 WILSH	(First)	(Middle)	3. Date of (Month/Da 10/22/20	-	insaction		Director X Officer (give below) Chief		b Owner er (specify er	
F			4. If Amendment, Date Original Filed(Month/Day/Year) 03/26/2009				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
LOS ANGEI	LES, CA 9001	0	03120120					More than One Re		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executio any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D) 4 and 5) (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				Code V	Amount	or (D) Price	(Instr. 3 and 4)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock option grant (right to buy) (1)	\$ 5.95	10/22/2008		А	15,000	10/22/2013	10/22/2018	Common Stock	15,000
Stock option (right to buy)	\$ 13.11					04/21/2009	04/21/2014	Common Stock	10,000 (2)
Stock option (right to buy)	\$ 10.25					05/24/2011	05/24/2016	Common Stock	15,000
Stock Grant	\$ 10.25					05/24/2011	05/24/2016	Common Stock	3,000 (3)

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Sarpong Sam 4800 WILSHIRE BOULEVARD LOS ANGELES, CA 90010			Chief Financial Officer			
Signatures						
\s\ Daniele C. Johnson, Attorney-as-in-fact		04/30/2	009			

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option (right to buy) will vest at 20% each year for each of the following years.
- (2) Of these securites, this is the amount vested at this time.
- (3) Of these securites, this is the amount vested at this time.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.