Mann Thomas H Form 4 February 15, 2008

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Mann Thomas H

2. Issuer Name and Ticker or Trading

Symbol

GENWORTH FINANCIAL INC [GNW]

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

02/13/2008

C/O GENWORTH FINANCIAL. INC., 6620 WEST BROAD STREET

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

**EXECUTIVE VICE PRES - GENWORTH** 

below)

10% Owner

Other (specify

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

X\_ Officer (give title

RICHMOND, VA 23230

(City)

(Instr. 3)

1. Title of 2. Transaction Date 2A. Deemed Security

(State)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A) Transaction(s)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

5. Number of **TransactionDerivative** 

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr.	8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	02/13/2008		A		7,334		(2)	(2)	Class A Common Stock	7,334
Stock Settled SARs	\$ 22.8	02/13/2008		A		88,000		(3)	02/13/2018	Class A Common Stock	88,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mann Thomas H C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230

**EXECUTIVE VICE PRES - GENWORTH** 

## **Signatures**

/s/ Richard J. Oelhafen, Jr., Attorney-in-Fact

02/15/2008

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.
- (2) Restricted Stock Units reported on this Form 4 vest and convert to Class A Common Stock: 50% on 2/13/2011 and 50% on 2/13/2013.
- (3) Vests in 20% annual increments beginning on 2/13/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. terially. Any forward-looking statements herein are made as of the date of this filing, and the Company undertakes no duty to update or revise any such statements except as required by the federal securities laws. Forward-looking statements are not guarantees of future performance and are subject to risks and uncertainties. Important factors that could cause actual results, developments and business decisions to differ materially from forward-looking statements are described in the Company s filings with the U.S. Securities and Exchange Commission (SEC) from time to time and which are accessible on the SEC s website at www.sec.gov, including in the section entitled Risk Factors in the Company s Form 10-K for the fiscal year ended December 31, 2015 and its Form 10-Q for the quarterly period ended July 1, 2016. Among the factors that could cause future results to differ materially from those provided in this Current Report on Form 8-K are: (i) the Company s ability to obtain Bankruptcy Court approval

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with respect to motions in the Chapter 11 Cases, (ii) the effects of the Company s bankruptcy filing on the Company and on the interests of various constituents, (iii) Bankruptcy Court s rulings in the Chapter 11 Cases and the outcome of the cases in general, (iv) the length of time the Company will remain in bankruptcy, (v) risks associated with third-party motions in the Chapter 11 Cases, (vi) the potential adverse effects of the Chapter 11 Cases on the Company s liquidity or results of operations, (vii) increased legal costs to formulate and implement the Company s exit from bankruptcy, and other risks and uncertainties, (viii) the outcome of the adversary proceeding commenced by the Committee of Unsecured Creditors against, among others, Jansan Acquisition, LLC and Debtors prepetition second priority lender, and (ix) the factors discussed in the section entitled Risk Factors in the Company s Form 10-K for the fiscal year ended December 31, 2015 and its Form 10-Q for the quarterly period ended July 1, 2016.

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#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### KII LIQUIDATING INC.

Dated: November 13, 2017 /s/ Robert W. Zimmer

By: Robert W. Zimmer
Its: Chief Financial Officer

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