## Edgar Filing: AMERICAN STATES WATER CO - Form 4

AMERICAN STATES WATER CO Form 4 November 13, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box Expires: if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading CHANG SHENGDER DAVID Issuer Symbol AMERICAN STATES WATER CO (Check all applicable) [AWR] 3. Date of Earliest Transaction (Last) (First) (Middle) Director \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) below) 22872 CAMINITO ORO 11/13/2007 VP ENVIRONMENTAL QUALITY (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LAGUNA HILLS, CA 92653 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or (Instr. 8) Owned Indirect (I) (Month/Day/Year) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Common 11/08/2007 Α 132 Α 44.65 stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3235-0287

January 31,

10% Owner

Beneficial

Ownership

(Instr. 4)

2005

0.5

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Derivative	Expiration Da (Month/Day/	Date Exercisable and cpiration Date fonth/Day/Year) 7. Title and Arr of Underlying Securities (Instr. 3 and 4)		ng	8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee stock option (right to buy)	\$ 44.65	11/08/2007		A	592	<u>(1)</u>	11/07/2017	common	592	\$ 4

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## **Reporting Owners**

Reporting Owner Name / A	ddress	Relationships					
	Director	10% Owner	Officer	Other			
CHANG SHENGDER D 22872 CAMINITO ORO LAGUNA HILLS, CA 92			VP ENVIRONMENTAL QUALITY				
Signatures							
/s/Shengder D.							
Chang	11/13/2007						
<b>**</b> Signature of Reporting Person	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning November 7, 2008

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.