

RR Donnelley & Sons Co
Form 3
November 05, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Coxhead Andrew B.
(Last) (First) (Middle)

C/O RR DONNELLEY,Â 111
SOUTH WACKER DRIVE

(Street)

CHICAGO,Â ILÂ 60606

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
10/24/2007

3. Issuer Name **and** Ticker or Trading Symbol
RR Donnelley & Sons Co [RRD]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Controller

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

7,792 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (2)	03/25/2008	Common Stock	300	\$ 41.5625	D	Â
Employee Stock Option (right to buy)	Â (3)	03/24/2009	Common Stock	1,000	\$ 34.2813	D	Â
Employee Stock Option (right to buy)	Â (4)	03/21/2011	Common Stock	800	\$ 25.41	D	Â
Employee Stock Option (right to buy)	Â (5)	03/27/2012	Common Stock	1,000	\$ 30.88	D	Â
Employee Stock Option (right to buy)	Â (6)	03/25/2013	Common Stock	1,800	\$ 18.8	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Coxhead Andrew B. C/O RR DONNELLEY 111 SOUTH WACKER DRIVE CHICAGO, IL 60606	Â	Â	Â Controller	Â

Signatures

Jennifer Reiners, pursuant to Power of Attorney 11/05/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 292 shares held directly and 7,500 restricted stock units granted on 1/10/07 vesting in equal amounts over four years on the anniversary of the grant date. The restricted stock units were issued pursuant to Rule 16b-3 Plan.
- (2) The option became fully vested on 3/26/2002.
- (3) The option became fully vested on 3/25/2003.
- (4) The option became fully vested on 3/22/2005.
- (5) The option became fully vested on 3/28/2006.
- (6) The option became fully vested on 3/26/2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.