

SUN MICROSYSTEMS, INC.  
Form 3  
September 15, 2006

**FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Fowler John F		(Month/Day/Year)	SUN MICROSYSTEMS, INC. [SUNW]	
(Last)	(First)	(Middle)	09/05/2006	
4150 NETWORK CIRCLE			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
SANTA CLARA,Â CAÂ 95054			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			EVP, Systems Group	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	137,678 (1)	D	Â
Common Stock	9,834	I	Shares held by wife.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	---	---	---	---

Edgar Filing: SUN MICROSYSTEMS, INC. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy) <u>(2)</u>	11/11/1999 <sup>(3)</sup>	11/11/2006	Common Stock	24,000	\$ 7.8672	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	04/20/2000 <sup>(3)</sup>	04/20/2007	Common Stock	4,000	\$ 12.5313	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	08/11/2000 <sup>(3)</sup>	08/11/2007	Common Stock	8,000	\$ 17	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	11/10/2000 <sup>(3)</sup>	11/10/2007	Common Stock	20,000	\$ 28.0782	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	04/12/2001 <sup>(3)</sup>	04/12/2008	Common Stock	10,000	\$ 40	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	04/18/2002 <sup>(3)</sup>	04/18/2009	Common Stock	8,000	\$ 18.58	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	09/27/2002 <sup>(3)</sup>	09/27/2009	Common Stock	10,000	\$ 7.91	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	11/07/2002 <sup>(3)</sup>	11/07/2009	Common Stock	25,000	\$ 12.59	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	11/07/2002 <sup>(3)</sup>	11/07/2009	Common Stock	25,000	\$ 12.59	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	03/19/2003 <sup>(3)</sup>	03/19/2010	Common Stock	200	\$ 9.14	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	03/19/2003 <sup>(3)</sup>	03/19/2010	Common Stock	5,000	\$ 9.14	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	07/25/2003 <sup>(4)</sup>	07/25/2010	Common Stock	8,000	\$ 3.7	D	Â
Employee Stock Option (Right to Buy) <u>(2)</u>	07/25/2003 <sup>(5)</sup>	07/25/2010	Common Stock	26,883	\$ 3.7	D	Â
Employee Stock	05/21/2004 <sup>(6)</sup>	05/21/2011	Common	30,000	\$ 4.2	D	Â

Option (Right to Buy)		Stock						
<u>(2)</u>								
Employee Stock								
<u>(2)</u>	Option (Right to Buy)	11/13/2004 <sup>(7)</sup>	11/13/2011	Common Stock	25,000	\$ 4.208	D	Â
Employee Stock								
<u>(2)</u>	Option (Right to Buy)	07/29/2005 <sup>(8)</sup>	07/29/2012	Common Stock	300,000	\$ 3.79	D	Â
Employee Stock								
<u>(2)</u>	Option (Right to Buy)	07/28/2006 <sup>(9)</sup>	07/28/2013	Common Stock	300,000	\$ 3.85	D	Â
Employee Stock								
<u>(2)</u>	Option (Right to Buy)	07/27/2007 <sup>(10)</sup>	07/27/2014	Common Stock	500,000	\$ 4.26	D	Â
Employee Stock								
<u>(2)</u>	Option (Right to Buy)	11/11/1999 <sup>(3)</sup>	11/11/2006	Common Stock	80,000	\$ 7.8672	I	Options held by wife.
Employee Stock								
<u>(2)</u>	Option (Right to Buy)	04/18/2002 <sup>(3)</sup>	04/18/2009	Common Stock	1,500	\$ 18.58	I	Options held by wife.
Employee Stock								
<u>(2)</u>	Option (Right to Buy)	03/19/2003 <sup>(3)</sup>	03/19/2010	Common Stock	200	\$ 9.14	I	Options held by wife.
Employee Stock								
<u>(2)</u>	Option (Right to Buy)	12/17/2003 <sup>(11)</sup>	07/25/2010	Common Stock	3,000	\$ 3.7	I	Options held by wife.
Employee Stock								
<u>(2)</u>	Option (Right to Buy)	02/09/2006 <sup>(12)</sup>	09/17/2012	Common Stock	3,000	\$ 3.94	I	Options held by wife.

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fowler John F 4150 NETWORK CIRCLE SANTA CLARA, CA 95054	Â	Â	Â EVP, Systems Group	Â

## Signatures

/s/ John F. Fowler  
09/15/2006

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This includes 85,750 shares of unvested restricted stock.
- (2) This option was granted under the Sun Microsystems, Inc. 1990 Long-Term Equity Incentive Plan.
- (3) Immediately.
- (4) This option vests and becomes exercisable in five equal annual installments of 1,600 shares beginning on July 25, 2003.
- (5) This option vests and becomes exercisable in five equal annual installments of approximately 5,377 shares beginning on July 25, 2003.
- (6) This option vests and becomes exercisable in five equal annual installments of 6,000 shares beginning on May 21, 2004.
- (7) This option vests and becomes exercisable in five equal annual installments of 5,000 shares beginning on November 13, 2004.
- (8) This option vests and becomes exercisable in five equal annual installments of 60,000 shares beginning on July 29, 2005.
- (9) This option vests and becomes exercisable in five equal annual installments of 60,000 shares beginning on July 28, 2006.
- (10) This option vests and becomes exercisable in five equal annual installments of 100,000 shares beginning on July 27, 2007.
- (11) This option vests and becomes exercisable in five equal annual installments of 600 shares beginning on December 17, 2003.
- (12) This option vests and becomes exercisable in five equal annual installments of 600 shares beginning on February 9, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.