### Edgar Filing: Schweinfurth Lynn S - Form 4

Schweinfurth	Lynn S										
Form 4	• • • • •										
February 05,	_										
FORM	$ 4 _{\text{UNITEDS}}$	TATES SI	FCHD	ITIES AT	ND FY(	ינואי	NCE	COMMISSION	т	PPROVAL	
Choole the		IAIES SI		hington,			NGE V		OMB Number:	3235-0287	
Check this if no long	er			Expires:	January 31, 2005						
subject to Section 16 Form 4 or Form 5	51 A I EM 5.	EMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								average Irs per 0.5	
obligation may conti <i>See</i> Instru 1(b).	nue. Section 17(a	) of the Pul	blic Uti		ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	n		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Schweinfurth Lynn S			2. Issuer Name <b>and</b> Ticker or Trading Symbol RED ROBIN GOURMET BURGERS INC [RRGB]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 6312 S. FIDDLER'S GREEN			3. Date of Earliest Transaction (Month/Day/Year) 02/04/2019					Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer			
CIR., STE. 2	200N										
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
GREENWO VILLAGE, O								Form filed by M Person	More than One Ro	eporting	
(City)	(State) (	Zip)	Table	e I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution Date, i		Date, if	Code Disposed of (D)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
						or	D.	(Instr. 3 and 4)			
Common Stock	02/04/2019			Code V A	Amount 3,594	(D) A	Price \$ 0	3,594	D		
Common Stock	02/04/2019			А	5,783 (2)	А	\$ 0	9,377	D		
Common Stock	02/04/2019			А	5,570 (3)	А	\$0	14,947 <u>(4)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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#### required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	S		Unde Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Schweinfurth Lynn S 6312 S. FIDDLER'S GREEN CIR. STE. 200N GREENWOOD VILLAGE, CO 80111			Chief Financial Officer				
Signatures							

## iynalu

/s/ Michael L. Kaplan, Attorney-in-Fact

\*\*Signature of Reporting Person

Date

02/05/2019

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents a grant of time-based restricted stock units under the issuer's 2017 Performance Incentive Plan. Each restricted stock unit represents the contingent right to receive, upon vesting of the unit, one share of the issuer's common stock. One-third of the units are (1)

scheduled to vest on each of the first, second, and third anniversaries of the date of grant. The closing price on the date of grant, February 4, 2019, was \$31.99.

Represents a grant of time-based restricted stock units under the issuer's 2017 Performance Incentive Plan. Each restricted stock unit (2) represents the contingent right to receive, upon vesting of the unit, one share of the issuer's common stock. The units are scheduled to cliff vest on the third anniversary of the date of grant. The closing price on the date of grant, February 4, 2019, was \$31.99.

Represents a grant of time-based restricted stock units under the issuer's 2017 Performance Incentive Plan. Each restricted stock unit represents the contingent right to receive, upon vesting of the unit, one share of the issuer's common stock. One-fourth of the units are

(3) scheduled to vest on each of the first, second, third, and fourth anniversaries of the date of grant. The closing price on the date of grant, February 4, 2019, was \$31.99

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(4) Includes 14,947 restricted stock units subject to vesting and forfeiture restrictions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.