CHASE PETER R

Form 4

February 16, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations may continue.

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* CHASE PETER R

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

295 UNIVERSITY AVE.

CHASE CORP [CCF]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ Director X\_\_ 10% Owner X\_ Officer (give title \_ Other (specify below)

02/15/2018

**Executive Chairman** 

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WESTWOOD, MA 02090

						1 CISON		
(City)	(State)	(Zip	Table I	- Non-Der	ivative Securities Ac	quired, Disposed	of, or Beneficial	lly Owned
1.Title of	2. Transacti	on Date	2A. Deemed	3.	4. Securities	5. Amount of	6.	7. Nature of
Security	(Month/Day	//Year)	Execution Date, if	Transactio	onAcquired (A) or	Securities	Ownership	Indirect
(Instr. 3)			any	Code	Disposed of (D)	Beneficially	Form: Direct	Beneficial
			(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	(D) or	Ownership
						Following	Indirect (I)	(Instr. 4)
					(4)	Reported	(Instr. 4)	
					(A)			

G

Transaction(s) or Price

5,193

Code V Amount (D)

200

(Instr. 3 and 4)

Chase

Corporation 02/15/2018 Common

D

D

Stock

Chase Corporation Common Stock

\$0

258,451 (2) I Chase 2016 Qualified Annuity Trust #2-ML

Peter R.

 $236,260^{(1)}$ I

### Edgar Filing: CHASE PETER R - Form 4

Chase Corporation Common Stock			Peter R. Chase Insurance Trust
Chase Corporation Common Stock	75,612 <u>(3)</u>	I	Peter R. Chase 2018 Qualified Annuity Trust #2-ML
Chase Corporation Common Stock	415,000 (4)	I	Peter R. Chase 2018 Qualified Annuity Trust-RJ

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CHASE PETER R 295 UNIVERSITY AVE.	X	X	Executive Chairman				

Reporting Owners 2

WESTWOOD, MA 02090

## **Signatures**

Paula Myers by power of attorney

02/16/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares held by the Peter R. Chase Insurance Trust.
- (2) Reflects shares held by the Peter R. Chase 2016 Qualified Annuity Trust #2, a grantor retained annuity trust. ML
- (3) Represents shares held by the Peter R. Chase 2018 Qualified Annuity Trust #2, a grantor retained annuity trust. ML
- (4) Represents shares held by the Peter R. Chase 2018 Qualified Annuity Trust, a grantor retained annuity trust. RJ

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3