

COHERENT INC  
Form 4  
February 15, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
AMBROSE JOHN

(Last) (First) (Middle)

P.O. BOX 54980

(Street)

SANTA CLARA, CA 95056-0980

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
COHERENT INC [COHR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/13/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Pres. and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount (A) or (D) Price			
Common Stock	02/13/2017		S		12,879 (1) \$ 194.351 (2)	168,663	I	Family Trust
Common Stock	02/13/2017		S		5,866 (1) \$ 195.363 (3)	162,797	I	Family Trust
Common Stock	02/13/2017		S		1,336 (1) \$ 196.456 (4)	161,461	I	Family Trust
Common Stock	02/13/2017		S		5,300 (1) \$ 197.513	156,161	I	Family Trust

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Common Stock	02/13/2017	S	1,300 (1)	D	<sup>(5)</sup> \$ 198.352 (6)	154,861	I	Family Trust
Common Stock	02/13/2017	S	100 (1)	D	\$ 199.79	154,761	I	Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
AMBROSEJO JOHN P.O. BOX 54980 SANTA CLARA, CA 95056-0980	X Pres. and CEO

## Signatures

/s/ Bret DiMarco, Pursuant to Power of Attorney  
02/15/2017  
\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Sold Pursuant to a Rule 10b5-1 sales plan adopted on November 14, 2016.
- (2) Represents the weighted average sales price for the shares. The range of prices for such sales was \$193.90 to \$194.87. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (3) Represents the weighted average sales price for the shares. The range of prices for such sales was \$194.90 to \$195.88. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (4) Represents the weighted average sales price for the shares. The range of prices for such sales was \$195.99 to \$196.93. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (5) Represents the weighted average sales price for the shares. The range of prices for such sales was \$197.03 to \$197.94. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (6) Represents the weighted average sales price for the shares. The range of prices for such sales was \$198.11 to \$198.62. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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